

Settlement Finality Directive: Authorities designated to be notified of insolvency proceedings

Further to Article 6(2) of Directive No 98/26/EC (Settlement Finality Directive), the opening of insolvency proceedings in respect of a participant to a system has to be notified to the national authorities listed below.

Updates to this list should be sent by mail to: SFD_insolvency@esma.europa.eu using this [template](#).

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|-----------------------|--|---|
| <p>Austria</p> | <p>Oesterreichische Nationalbank Austrian Central Bank Otto-Wagner-Platz 3 1090 Vienna, Austria</p> | <p>Notifications to be sent by fax to the Zahlungsverkehrsabteilung (Payment Systems Division) Fax: +43 1 40420 4695 or Fax: +43 1 40420 4696 email: mailbox.target@oenb.at</p> <p>Ms. Katharina Selzer-Haas Tel. +43 1 40420-4600 Mobile phone: +43 6649211529 E-mail: Katharina.Selzer-Haas@oenb.at</p> <p>Mr. Markus Pammer Tel. +43 1 40420-4601 Mobile phone: +43 664 6210432 E-mail: markus.pammer@oenb.at</p> <p>Ms. Eva Heinzl Tel. +43 1 40420-4610 Mobile phone: +43 664 2424268 E-mail: eva.heinzl@oenb.at</p> |
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| Bulgaria | For payment systems: Bulgarian National Bank 1, Knyaz Alexander I Square 1000 Sofia, Bulgaria www.bnb.bg | Stefan Antimov Head of Division, Oversight on Payment Systems and Payment Services Tel.: +359 2 9145 762 Fax: +359 2 980 2425 sfd@bnbank.org |
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| | <p>For payment systems Hrvatska narodna banka (Croatian National Bank) Trg hrvatskih velikana 3, 10000 Zagreb, Croatia www.hnb.hr</p> | <p>Ivan Biluš, Executive Director Tel. +385 1 45 64 627 Fax +385 1 45 64 971 E-mail ivan.bilus@hnb.hr</p> <p>Marijo Pastuović, Director Tel. +385 1 45 65 038 Fax +385 1 45 64 704 E-mail marijo.pastuovic@hnb.hr</p> <p>Mirela Benko, Deputy Director Tel. +385 1 45 65 057 Fax +385 1 45 64 704 E-mail hsvp@hnb.hr</p> |

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| | <p>For securities settlement systems:</p> <p>Czech National Bank Na Příkopě 28 115 03 Praha 1, Czech Republic</p> | <p>Notifications are to be sent to the Securities and Regulated Markets Division by:</p> <p>Fax: +420 224 412 572 e-mail: vyporadaci.systemy@cnb.cz</p> <p>Contact persons:</p> <p>Ladislav Staník Tel: +420 224 412 422</p> |

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| Estonia | Financial Supervision Authority Sakala 4 Tallinn 15030, Estonia Website: http://www.fi.ee | Phone: + 372 66 80 500 Fax: + 372 66 80 501 e-mail: info@fi.ee |
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| | SUOMEN PANKKI (Bank of Finland) | |

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| Country | Designated Authority | Contact Details |
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| <p>France</p> | <p>For questions concerning the information in case of bankruptcy of participants in the system:</p> <p>Autorité de contrôle prudentiel et de resolution (ACPR)</p> | <p>Mr Henry De Ganay Director of legal department Tel. +33 (0) (0) 1 49 95 45 69 Fax. +33 (0)1 42 92 66 23 e-mail: henry.deganay@acpr.banque-france.fr</p> <p>Ms Anne-Marie Moulin Deputy director of legal department Tel : +33 (0) 1 49 95 44 43 e-mail : anne-marie.moulin@acpr.banque-france.fr</p> <p>Ms Hélène Arveiller Head of business law and private law division Tel : +33 (0) 1 49 95 50 87 e-mail : helene.arveiller@acpr.banque-france.fr</p> <p>Ms Camille RIESI Legal expert Tel: +33 (0)1 49 95 44 40 Email: camille.riesi@acpr.banque-france.fr</p> <p>Mr Mehdi KRICHAH Legal assistant Tel : +33 (0)1 49 95 41 53 e-mail : mehdi.krichah@acpr.banque-france.fr</p> |

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| | <p>For questions relating to the oversight of the systems and market infrastructures:</p> <p>Banque de France 31 rue Croix des Petits-Champs 75001 Paris France Website: www.banque-france.fr</p> | <p>Ms Emmanuelle Assouan Director of payment systems and market infrastructures department Tel. +33 (0)1 42 97 70 23 Mobile phone: +33 (0)633 56 94 75 Fax. +33 (0)1 42 92 54 23 e-mail: emmanuelle.assouan@banque-france.fr</p> <p>Ms Claudine Hurman Head of payment and securities settlement systems oversight division Tel. +33(0) 1 42 92 61.18 Mobile phone : +33(0) 6 76 87 44 71 Fax. +33 (0)1 42 92 76 95 e-mail: claudine.hurman@banque-france.fr</p> |
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| | Commission de Surveillance du Secteur Financier 283, route d'Arlon L-1150 Luxembourg BP : L-2991 Luxembourg | |
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| | <p>for securities settlement systems: Portuguese Securities Market Commission / Comissão do Mercado de Valores Mobiliários (CMVM) Rua Laura Alves, n 4, Apartado 14258 1064-003 Lisboa, Portugal www.cmvm.pt</p> | <p>Ms. Rita Oliveira Pinto Director of the Regulatory Policy and International Affairs Department Tel: (+351) 21-317-7 002 Mobile phone: (+351) 91-663-89-40 Fax: (+351) 21-353-70-77/78 E-mail : SFD_cmvm@cmvm.pt</p> |

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| | <p>For Securities Settlement Systems under the supervision of the CNMV or under the supervision of Local governments</p> <p>Comisión Nacional del Mercado de Valores, C/ Marqués de Villamagna, 3 28001 Madrid, Spain</p> | <p>Javier Ruiz Tel. +34 91 585 1623 Fax. +34 91 585 4106 e-mail: jruiz@cnmv.es</p> <p>María José Ausejo Tel. +34 91 585 1623 Fax. +34 91 585 4106 e-mail: ausejo@cnmv.es</p> <p>Jorge Pereiró Tel. +34 91 585 1623 Fax. +34 91 585 4106 e-mail: pereiro@cnmv.es</p> <p>Socorro Pascual Tel. +34 91 585 1623 Fax. +34 91 585 4106 e-mail: socorro@cnmv.es</p> <p>e-mail: notificaciones.SFD@cnmv.es</p> |

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| EFTA countries | | |
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| Country | Designated Authority | Contact Details |
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| Lichtenstein | FMA Finanzmarktaufsicht Lichtenstein (Financial Services Authority) Heiligkreuz 8 Postfach 684 LI - 9490 Vaduz, Principality of Liechtenstein Website: www.fma-li.li | Tel. +423 236 73 73 Fax. +423 236 73 74 e-mail: info@fma-li.li |

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