

ESMA Staff Stakeholder contacts

in the First Quarter 2021

ESMA is committed to the highest standards of transparency in its interactions with stakeholders. ESMA will therefore publish information on ESMA staff meetings with external stakeholders.

Date	Institution met	Main topics discussed
11/01/2021	BMLL Tech	Presentation of Plato Metric post trade consolidated tape
12/01/2021	EVIA	OTF Review Report
12/01/2021	Eurex STS	Catch up on CSDR settlement discipline regime
13/01/2021	Electronic Debt Markets Association (EDMA)	consultation paper on Organised Trading Facilities (OTF)
14/01/2021	EEX	Position limits and developments in commodity derivatives markets
14/01/2021	Euroclear	Catch up on CSDR issues
15/01/2021	Milieu	Study on Audit Directive and Regulation for EU Commission
17/01/2021	Large audit networks	Income tax accounting Brexit
25/01/2021	JP Morgan	Consultation paper on Organised Trading Facilities (OTF)
26/01/2021	ECSDA	Catch up on CSDR issues

26/01/2021	FTSE-Russell	Green Revenues data access
26/01/2021	AFME	Sizing and Resolving COVID-19 European Corporate Recapitalisation - Equity and Hybrid Markets Solutions
26/01/2021	CFA Institute and CFA Society Portugal	On the Road to a European Recovery Post-Covid
27/01/2021	Peterson Institute for International Economics	Early Post-Brexit Observations on the City of London Confirmation
02/02/2021	RepRisk	ESG ratings methodology
02/02/2021	BlackRock	Lessons from COVID-19 – financial market ecosystem; meeting at request of Blackrock
02/02/2021	AFME	ESMA Securities Markets Annual Statistical Report
03/02/2021	ASIC	Quarterly updates
04/02/2021	Irish Funds	Topics covered include systemic risk and data requirements, MMF developments, AIFMD review and sustainable finance.
05/02/2021	Better Finance and DSW	Implementation of the Shareholder Rights Directive II and Implementing Regulation - Sustainable Corporate Governance Initiative and CMU Action Plan
05/02/2021	Europex	Data collection for the review of RTS 2 for commodity derivatives
08/02/2021	London Metal Exchange (LME)	Position Management Controls
08/02/2021	BEUC	CP on Guidelines on MIFID II appropriateness and execution-only requirements
09/02/2021	FESE	Update on the review of RTS 2 and more specifically the transparency regime for bonds; Guidelines on market data
10/02/2021	ICE	Transition of the EUA market from London to Amsterdam
10/02/2021	FIA/EPTA	Guidelines on market data
12/02/2021	ISS	EU Taxonomy alignment assessment tool
16/02/2021	ICMA	Phone call to follow up SMSG meeting on 9 February regarding treatment of green bonds in PAI indicators in the SFDR RTS published on 4 February 2021

18/02/2021	FIA	Discussion on Consultation Paper on the review report on algorithmic trading
19/02/2021	Bloomberg	DTO
22/02/2021	Clarus	DTO
22/02/2021	TradeWeb	DTO
25/02/2021	FESE	DTO
25/02/2021	Engie Global Market SAS	Recovery Package and position limits
25/02/2021	AFME	Call with AFME on ESMA consultation paper on algo trading
01/03/2021	Enterprise Data Management Council (EDMC)	Introductory discussion on the importance of standardisation in the ESG data field and work of the EDM in this regard
02/03/2021	Deutsche Boerse Group	MiFIR open access provisions for ETDs
05/03/2021	Bundesverband Crowdfunding eV	Crowdfunding regulation (ECSPR) and ESMA's consultation paper
05/03/2021	TP ICAP	DTO
08/03/2021	Italian Private Banking Association	MIFID II, client categorisation, product governance
08/03/2021	Quandl Astec	Data use and data quality in quandl FIS Astec Analytics Short Lending Data
09/03/2021	Tradition SA	DTO
09/03/2021	Managed Funds Association (MFA)	Market developments in an investment fund context
09/03/2021	CEPS	Capital Markets Union, investment funds, financial innovation
11/03/2021	Finreg-e	Regtech tools for digital single rulebook
11/03/2021	FIA/EPTA	FIA EPTA research paper on warrant market structures

12/03/2021	Blackrock	Market data guidelines
15/03/2021	SIR Group	Reference data fields
16/03/2021	AFME	AFME report on Recapitalising EU businesses post COVID-19 - How equity and hybrid markets instruments can drive recovery
17/03/2021	EACH	CSDR settlement discipline
24/03/2021	Large audit networks	Brexit, Covid-19, IFRS 9, TLTRO III, SPACs, ESG impacts in financial statements, ESEF
26/03/2021	Deutscher Sparkassen- und Giroverband	Risks in financial markets, ESG ratings
26/03/2021	Deutsche Boerse group	Payment for order flow
29/03/2021	Eurex STS	CSDR buy-in regime
30/03/2021	Fitch	Money Market Funds
31/03/2021	AFME	Call with AFME on Pre - hedging