

## List of Central Counterparties authorised to offer services and activities in the Union

The Central Counterparties (CCPs) listed below have been authorised to offer services and activities in the Union in accordance with Regulation (EU) No 648/2012 of the European Parliament and of the Council of 4 July 2012 on OTC derivatives, central counterparties and trade repositories (EMIR).

The tables below are published by ESMA in accordance with Article 88(1) of EMIR.

**Table 1: List of CCPs that have been authorised to offer services and activities in the Union**

No	Name of the CCP	Identification Code of CCP (LEI)	Established in the Union or in a Third Country	Country of establishment	Competent authority (if established in the Union)	Date of initial authorisation
1	Nasdaq OMX Clearing AB	54930002A8LR1AA UCU78	In the Union	Sweden	Finansinspektionen	18 March 2014
2	European Central Counterparty N.V.	724500937F740MH CX307	In the Union	Netherlands	De Nederlandsche Bank (DNB)	1 April 2014
3	KDPW_CCP	2594000K576D5CQ XI987	In the Union	Poland	Komisja Nadzoru Finansowego (KNF)	8 April 2014
4	Eurex Clearing AG	529900LN3S50JPU 47S06	In the Union	Germany	Bundesanstalt für Finanzdienstleistungs aufsicht (Bafin)	10 April 2014
5	Cassa di Compensazione e Garanzia S.p.A. (CCG)	8156006407E264D2 C725	In the Union	Italy	Banca d'Italia	20 May 2014
6	LCH SA	R11O4YJ0O79SMW VCHB58	In the Union	France	Autorité de Contrôle Prudentiel et de Résolution (ACPR)	22 May 2014
7	European Commodity Clearing	529900M6JY6PUZ9 NTA71	In the Union	Germany	Bundesanstalt für Finanzdienstleistungs aufsicht (Bafin)	11 June 2014
8	LCH Ltd	F226TOH6YD6XJB 17KS62	In the Union	United Kingdom	Bank of England	12 June 2014

9	Keler CCP	529900MHIW6Z8O TOAH28	In the Union	Hungary	Central Bank of Hungary (MNB)	4 July 2014
10	CME Clearing Europe Ltd <sup>1</sup>	6SI7IOVECKBHVY BTB459	In the Union	United Kingdom	Bank of England	4 August 2014
11	CCP Austria Abwicklungsstelle für Börsengeschäfte GmbH (CCP.A)	529900QF6QY66Q ULSI15	In the Union	Austria	Austrian Financial Market Authority (FMA)	14 August 2014
12	LME Clear Ltd	213800L8AQD59D3 JRW81	In the Union	United Kingdom	Bank of England	3 September 2014
13	BME Clearing	5299009QA8BBE2 OOB349	In the Union	Spain	Comisión Nacional del Mercado de Valores (CNMV)	16 September 2014
14	OMIClear - C.C., S.A.	5299001PSXO7X2J X4W10	In the Union	Portugal	Comissão do Mercado de Valores Mobiliários (CMVM)	31 October 2014
15	ICE Clear Netherlands B.V. <sup>2</sup>	7245003TLNC4R9X FDX32	In the Union	Netherlands	De Nederlandsche Bank (DNB)	12 December 2014
16	Athens Exchange Clearing House (Athex Clear)	213800IW53U9JMJ 4QR40	In the Union	Greece	Hellenic Capital Market Commission	22 January 2015
17	ICE Clear Europe Limited (ICE Clear Europe)	5R6J7JCQRIPQR1 EEP713	In the Union	United Kingdom	Bank of England	19 September 2016

<sup>1</sup> CME's authorisation was partially renounced and withdrawn under Article 20 of EMIR on 23 June 2017 (some instruments were de-authorised as the CCP was winding down). The authorization was totally renounced and withdrawn on 12 October 2017. Please, see table 2 below and the [Public Register for the clearing obligation under EMIR](#).

<sup>2</sup> Previously named Holland Clearing House B.V.

**Table 1 bis: List of CCPs that have been granted an extension of authorisation**

No	Name of the CCP	Identification Code of CCP (LEI)	Established in the Union or in a Third Country	Country of establishment	Competent authority (if established in the Union)	Date of extension of authorisation
1	CME Clearing Europe Ltd <sup>3</sup>	6SI7IOVECKBHVY BTB459	In the Union	United Kingdom	Bank of England	<i>9 January 2015</i>
						<i>18 September 2015</i>
						<i>30 October 2015</i>
2	Nasdaq OMX Clearing AB	54930002A8LR1AA UCU78	In the Union	Sweden	Finansinspektionen	<i>25 February 2015<sup>4</sup></i>
3	LCH Ltd	F226TOH6YD6XJB 17KS62	In the Union	United Kingdom	Bank of England	<i>27 March 2015</i>
						<i>21 July 2016</i>
						<i>20 November 2017</i>
4	Eurex Clearing AG	529900LN3S50JPU 47S06	In the Union	Germany	Bundesanstalt für Finanzdienstleistungs- aufsicht (Bafin)	<i>3 July 2015</i>
						<i>18 Dec. 2017</i>
5	BME Clearing	5299009QA8BBE2 OOB349	In the Union	Spain	Comisión Nacional del Mercado de Valores (CNMV)	<i>21 July 2015</i>
						<i>18 May 2017</i>
6	KDPW_CCP	2594000K576D5CQ XI987	In the Union	Poland	Komisja Nadzoru Finansowego (KNF)	<i>9 August 2016</i>
7	LCH SA	R11O4YJ0O79SMW VCHB58	In the Union	France	Autorité de Contrôle Prudentiel et de Résolution (ACPR)	<i>30 May 2017</i>
						<i>29 November 2017</i>
8	OMIClear - C.C., S.A.	5299001PSXO7X2J X4W10	In the Union	Portugal	Comissão do Mercado de Valores Mobiliários (CMVM)	<i>5 April 2018</i>
9	ICE Clear Netherlands B.V. <sup>5</sup>	7245003TLNC4R9X FDX32	In the Union	Netherlands	De Nederlandsche Bank (DNB)	<i>13 July 2018</i>
10	ICE Clear Europe Limited (ICE Clear Europe)	5R6J7JCQRIPQR1 EEP713	In the Union	United Kingdom	Bank of England	<i>31 July 2018</i>

<sup>3</sup> CME's authorisation was partially renounced and withdrawn under Article 20 of EMIR on 23 June 2017 (some instruments were de-authorised as the CCP was winding down). The authorization was totally renounced and withdrawn on 12 October 2017. Please, see table 2 below and the [Public Register for the clearing obligation under EMIR](#).

<sup>4</sup> Nasdaq OMX Clearing AB's extension of the initial authorisation was renounced and withdrawn under Article 20 of EMIR on 3 March 2016

<sup>5</sup> Previously named Holland Clearing House B.V.

**Table 2: Classes of financial instruments covered by the CCP's authorisation<sup>i</sup>**

Please refer to Table 3 below for more information on the definition of the classes			Nasdaq OMX Clearing AB	European Central Counterparty N.V.	KDPW_CCP	Eurex Clearing AG	CCG	LCH SA	European Commodity Clearing	LCH Ltd	Keler CCP	CME Clearing Europe Ltd <sup>6</sup>		
MiFID financial instruments	Securities (financial instruments referred to in points 1, 2 and 3 of Section C of Annex I of MiFID)	Equity	OTC <sup>ii</sup>		1 April 2014	8 April 2014	10 April 2014	20 May 2014	22 May 2014		12 June 2014	4 July 2014		
			RM <sup>iii</sup>		1 April 2014	8 April 2014 <i>9 August 2016</i>	10 April 2014	20 May 2014	22 May 2014		12 June 2014	4 July 2014		
		Debt	OTC			8 April 2014 <i>9 August 2016</i>	10 April 2014	20 May 2014	22 May 2014		12 June 2014	4 July 2014		
			RM			8 April 2014 <i>9 August 2016</i>	10 April 2014	20 May 2014	22 May 2014			4 July 2014		
		Equity	OTC	18 March 2014								12 June 2014		
			RM	18 March 2014		8 April 2014	10 April 2014	20 May 2014	22 May 2014			12 June 2014	4 July 2014	
		Debt	OTC	18 March 2014			10 April 2014					12 June 2014		
			RM	18 March 2014		8 April 2014	10 April 2014						4 July 2014	
	Interest Rate	OTC	18 March 2014		8 April 2014 <i>9 August 2016</i>	10 April 2014					12 June 2014		4-August 2014 <sup>7</sup>	
		RM	18 March 2014		8 April 2014	10 April 2014					<i>21 July 2016</i>	4 July 2014	<i>18 September 2015<sup>8</sup></i>	
	Inflation Rate	OTC				<i>3 July 2015</i>					<i>27 March 2015</i>			
		RM												
	Credit	OTC							22 May 2014 <i>30 May 2017</i> <i>29 Nov. 2017</i>					
		RM												
	Currencies	OTC	<i>25-February 2015<sup>x</sup></i>				<i>18 Dec. 2017</i>				12 June 2014			
		RM	<i>25-February 2015<sup>x</sup></i>		8 April 2014	10 April 2014			22 May 2014			4 July 2014	4-August 2014 <sup>9</sup>	

<sup>6</sup> CME's authorisation was partially renounced and withdrawn under Article 20 of EMIR on 23 June 2017 (some instruments were de-authorised as the CCP was winding down). The authorization was totally renounced and withdrawn on 12 October 2017. Please, see the [Public Register for the clearing obligation under EMIR](#).

<sup>7</sup> Authorisation was renounced and withdrawn under Article 20 of EMIR for these instruments on 23 June 2017.

<sup>8</sup> Authorisation was renounced and withdrawn under Article 20 of EMIR for these instruments on 23 June 2017.

<sup>9</sup> Authorisation was renounced and withdrawn under Article 20 of EMIR for these instruments on 30 June 2017.

Please refer to Table 3 below for more information on the definition of the classes				Nasdaq OMX Clearing AB	European Central Counterparty N.V.	KDPW_CCP	Eurex Clearing AG	CCG	LCH SA	European Commodity Clearing	LCH Ltd	Keler CCP	CME Clearing Europe Ltd <sup>9</sup>	
	Commodities	OTC	18 March 2014							11 June 2014	12 June 2014		4-August 2014 <sup>10</sup>	
		RM	18 March 2014			10 April 2014	20 May 2014	22 May 2014	11 June 2014	12 June 2014	4 July 2014		4-August 2014 <sup>11</sup>	
	Emission/Climatic	OTC	18 March 2014							11 June 2014	12 June 2014			
		RM	18 March 2014			10 April 2014 <sup>iv</sup>				11 June 2014				30-October 2015 <sup>12</sup>
	Freight	OTC								11 June 2014	12 June 2014			4-August 2014 <sup>13</sup>
		RM	7 April 2014 <sup>v</sup>							11 June 2014	12 June 2014			

Please refer to Table 3 below for more information on the definition of the classes				Nasdaq OMX Clearing AB	European Central Counterparty N.V.	KDPW_CCP	Eurex Clearing AG	CCG	LCH SA	European Commodity Clearing	LCH Ltd	Keler CCP	CME Clearing Europe Ltd <sup>14</sup>
Other	Repo	Equity Debt	OTC	18 March 2014		8 April 2014	10 April 2014	20 May 2014	22 May 2014		12 June 2014		
			RM					20 May 2014					
Other	Securities Lending	Equity Debt	OTC				10 April 2014						
Other	Collateralised € Deposits	N.A.	OTC					20 May 2014					
Other	Derivatives that are not MiFID financial instruments	Commodities, Emission/ Climatic, Freight								11 June 2014		4 July 2014	
Other	Assets that are not MiFID financial instruments	Commodities, Emission/ Climatic, Freight/ Currencies		18 March 2014			18 Dec. 2017			11 June 2014	20 Nov. 2017	4 July 2014	9-Jan-2015 <sup>15</sup>

<sup>10</sup> Authorisation was renounced and withdrawn under Article 20 of EMIR for these instruments on 23 June 2017.

<sup>11</sup> Authorization was renounced and withdrawn under Article 20 of EMIR for these instruments on 12 October 2017.

<sup>12</sup> Authorisation was renounced and withdrawn under Article 20 of EMIR for these instruments on 23 June 2017.

<sup>13</sup> Authorisation was renounced and withdrawn under Article 20 of EMIR for these instruments on 23 June 2017.

<sup>14</sup> Authorisation was renounced and withdrawn under Article 20 of EMIR for these instruments on 23 June 2017.

<sup>15</sup> Authorisation was renounced and withdrawn under Article 20 of EMIR for these instruments on 23 June 2017.

**Table 2 (continued): Classes of financial instruments covered by the CCP's authorisation<sup>vi</sup>**

Please refer to Table 3 below for more information on the definition of the classes				CCP.A	LME Clear Ltd	BME Clearing	OMIClear	ICE Clear Netherlands B.V. <sup>16</sup>	Athex Clear	ICE Clear Europe
MiFID financial instruments	<b>Securities</b> (financial instruments referred to in points 1, 2 and 3 of Section C of Annex I of MiFID)	Equity	OTC <sup>vii</sup>			21 July 2015				
			RM <sup>viii</sup>	14 August 2014		21 July 2015		22 January 2015		
		Debt	OTC			16 September 2014				
			RM	14 August 2014		16 September 2014			22 January 2015	
	<b>Derivatives</b> (financial instruments referred to in points 4 to 10 of Section C of Annex I of MiFID)	Equity	OTC					12 December 2014		
			RM			16 September 2014		13 July 2018	22 January 2015	19 September 2016
		Debt	OTC							
			RM			16 September 2014				19 September 2016
		Interest Rate	OTC			21 July 2015				
			RM							19 September 2016
		Inflation Rate	OTC							
			RM							
		Credit	OTC							19 September 2016
			RM							
		Currencies	OTC							19 September 2016
			RM						22 January 2015	
		Commodities	OTC		3 September 2014	18 May 2017	31 October 2014			31 July 2018
			RM		3 September 2014	16 September 2014	31 October 2014		22 January 2015	19 September 2016
		Emission/Climatic	OTC							
			RM							19 September 2016
		Freight	OTC							
			RM							19 September 2016

<sup>16</sup> Previously named Holland Clearing House B.V.

<i>Please refer to Table 3 below for more information on the definition of the classes</i>				CCP.A	LME Clear Ltd	BME Clearing	OMIClear	ICE Clear Netherlands B.V. <sup>17</sup>	Athex Clear	ICE Clear Europe
Other	<b>Repo</b>	Equity Debt	OTC			16 September 2014				
			RM			16 September 2014				
Other	<b>Securities Lending</b>	Equity Debt	OTC						22 January 2015	
Other	<b>Collateralised € Deposits</b>	N.A.	OTC							
Other	<b>Derivatives that are not MiFID financial instruments</b>	Commodities, Emission/ Climatic, Freight				<i>18 May 2017</i>	<i>5 April 2018</i>			<i>31 July 2018</i>
Other	<b>Assets that are not MiFID financial instruments</b>	Commodities, Emission/ Climatic, Freight				<i>18 May 2017</i>				19 September 2016

<sup>17</sup> Previously named Holland Clearing House B.V.

**Table 3: Definition of the classes of financial instruments covered by the CCP's authorisation**

		Definitions		
MIFID financial instruments	Securities	Equity	OTC	Equity securities (including indices of equity securities) the execution of which does not take place on a regulated market as within the meaning of Article 4(1)(14) of MiFID
			RM	Equity securities (including indices of equity securities) the execution of which takes place on a regulated market as within the meaning of Article 4(1)(14) of MiFID
		Debt	OTC	Debt securities the execution of which does not take place on a regulated market as within the meaning of Article 4(1)(14) of MiFID
			RM	Debt securities the execution of which takes place on a regulated market as within the meaning of Article 4(1)(14) of MiFID
	Derivatives	Equity	OTC	Derivative contracts relating to equity securities (including indices of equity securities) which are OTC derivative contracts as within the meaning of Article 2(7) of Regulation 648/2012
			RM	Derivative contracts relating to equity securities (including indices of equity securities) the execution of which takes place on a regulated market as within the meaning of Article 4(1)(14) of MiFID
		Debt	OTC	Derivative contracts relating to debt instruments which are OTC derivative contracts as within the meaning of Article 2(7) of Regulation 648/2012
			RM	Derivative contracts relating to debt instruments the execution of which takes place on a regulated market as within the meaning of Article 4(1)(14) of MiFID
		Interest Rate	OTC	Derivative contracts relating to interest rates which are OTC derivative contracts as within the meaning of Article 2(7) of Regulation 648/2012
			RM	Derivative contracts relating to interest rates the execution of which takes place on a regulated market as within the meaning of Article 4(1)(14) of MiFID
		Inflation Rate	OTC	Derivative contracts relating to inflation rates or other economic variables which are OTC derivative contracts as within the meaning of Article 2(7) of Regulation 648/2012
			RM	Derivative contracts relating to inflation rates or other economic variables the execution of which takes place on a regulated market as within the meaning of Article 4(1)(14) of MiFID
		Credit	OTC	Derivative contracts relating to the transfer of credit risk which are OTC derivative contracts as within the meaning of Article 2(7) of Regulation 648/2012
			RM	Derivative contracts relating to the transfer of credit risk the execution of which takes place on a regulated market as within the meaning of Article 4(1)(14) of MiFID
		Currencies	OTC	Derivative contracts relating to currencies which are OTC derivative contracts as within the meaning of Article 2(7) of Regulation 648/2012
			RM	Derivative contracts relating to currencies the execution of which takes place on a regulated market as within the meaning of Article 4(1)(14) of MiFID
		Commodities	OTC	Derivative contracts relating to commodities which are OTC derivative contracts as within the meaning of Article 2(7) of Regulation 648/2012
			RM	Derivative contracts relating to commodities the execution of which takes place on a regulated market as within the meaning of Article 4(1)(14) of MiFID
		Emission/ Climatic	OTC	Derivative contracts relating to climatic variables or emission allowances which are OTC derivative contracts as within the meaning of Article 2(7) of Regulation 648/2012
			RM	Derivative contracts relating to climatic variables or emission allowances the execution of which takes place on a regulated market as within the meaning of Article 4(1)(14) of MiFID
Freight	OTC	Derivative contracts relating to freight rates which are OTC derivative contracts as within the meaning of Article 2(7) of Regulation 648/2012		
	RM	Derivative contracts relating to freight rates the execution of which takes place on a regulated market as within the meaning of Article 4(1)(14) of MiFID		
Other			Services in respect of the clearing of instruments which are not a financial instrument under Section C of Annex I of MiFID	



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<sup>i</sup> Authorisation is granted on the basis of activities or services which share a common risk profile. Therefore, an extension of authorisation would be needed where the CCP intends to undertake additional activities or services which expose the CCP to new or increased risks, e.g. where the CCP intends to provide clearing services in respect of a class of financial instruments with a different risk profile or that has material differences from the CCP's existing product set, even where the additional activity service falls within one of the categories above for which the CCP has already received authorisation.

<sup>ii</sup> Over The Counter (OTC): the execution of the security or derivative does not take place on a regulated market as within the meaning of Article 4(1)(14) of Directive 2004/39/EC or on a third country market considered as equivalent to a regulated market in accordance with Article 19(6) of Directive 2004/39/EC (Article 2(7) of EMIR)

<sup>iii</sup> Regulated Market (RM): the execution of the security or derivative takes place on a regulated market as within the meaning of Article 4(1)(14) of Directive 2004/39/EC or on a third country market considered as equivalent to a regulated market in accordance with Article 19(6) of Directive 2004/39/EC (Article 2(7) of EMIR)

<sup>iv</sup> Within this class, the authorisation of some products is limited until 31 July 2014.

<sup>v</sup> The class was covered by the initial authorisation of the CCP. However, this class was only introduced to the CCP product set as of 7 April 2014 following the merger with NOS Clearing.

<sup>vi</sup> Authorisation is granted on the basis of activities or services which share a common risk profile. Therefore, an extension of authorisation would be needed where the CCP intends to undertake additional activities or services which expose the CCP to new or increased risks, e.g. where the CCP intends to provide clearing services in respect of a class of financial instruments with a different risk profile or that has material differences from the CCP's existing product set, even where the additional activity service falls within one of the categories above for which the CCP has already received authorisation.

<sup>vii</sup> Over The Counter (OTC): the execution of the security or derivative does not take place on a regulated market as within the meaning of Article 4(1)(14) of Directive 2004/39/EC or on a third country market considered as equivalent to a regulated market in accordance with Article 19(6) of Directive 2004/39/EC (Article 2(7) of EMIR)

<sup>viii</sup> Regulated Market (RM): the execution of the security or derivative takes place on a regulated market as within the meaning of Article 4(1)(14) of Directive 2004/39/EC or on a third country market considered as equivalent to a regulated market in accordance with Article 19(6) of Directive 2004/39/EC (Article 2(7) of EMIR).

<sup>ix</sup> Authorisation was renounced and withdrawn under Article 20 of EMIR on 3 March 2016.