

NOTE

2016 Calendar of the Chair and Executive Director of ESMA

Date	Meetings/Conferences	Topics discussed
<u>January</u>		
12	The Chair of ESMA, Steven Maijor, meets with Paul Tucker and Sheila Bair from the Systemic Risk Council	
13	The Executive Director of ESMA, Verena Ross, meets with Mike Pedroni, U.S. Treasury Representative for Europe at the U.S. Mission to the European Union	MiFID 2/MiFIR, EMiR and CCPs timetable, Benchmarks
14	The Chair of ESMA, Steven Maijor, meets with Monique Goyens, BEUC	Investor protection, comparison websites, MiFID II / MiFIR
14	The Chair of ESMA, Steven Maijor, gives an interview to the Het Financieele Dagblad	
19	The Chair of ESMA, Steven Maijor, speaks at the Asia Financial Forum	



19	The Chair of ESMA, Steven Maijor, gives an interview to Thompson Reuters	
19	The Chair of ESMA, Steven Maijor, gives an interview to the Guangzhou Daily	
19	The Chair of ESMA, Steven Maijor, meets with Ashley Alder, Chief Executive, Hong Kong Securities and Futures Commission	
19	The Chair of ESMA, Steven Maijor, meets with Norman Chan, Chief Executive; Hong Kong Monetary Authority	
19	The Chair of ESMA, Steven Maijor, meets with Professor Chan, Secretary for Financial Services and the Treasury	
19	The Executive Director of ESMA, Verena Ross, meets with David Marsh Managing Director and Paul van den Noord from OMFIF	ESMA strategy, esp. on Risk Analysis, current research priorities
20	The Chair of ESMA, Steven Maijor, meets with Commissioner Mori, Japan FSA	
20	The Chair of ESMA, Steven Maijor, meets with Vice-Minister Masa Kono, Japan FSA	
20	The Chair of ESMA, Steven Maijor, participates in the EU-Japan Financial Markets Regulatory Dialogue	
20	The Chair of ESMA, Steven Maijor, meets with representatives of the European Business Council in Japan	EU regulatory response to the financial crisis



20	The Chair of ESMA, Steven Maijor, meets with the Ambassador of the European Union to Japan	
21	The Chair of ESMA, Steven Maijor, meets with representatives of the Japan Securities Dealers Association	Benchmark regulation, implementation of MiFID II / MiFIR
21	The Chair of ESMA, Steven Maijor, meets with Akira Kiyota, CEO, Japan Stock Exchange	Japanese Capital Market; Benchmark regulation, EMIR, MiFID II / MiFIR
28	The Chair of ESMA, Steven Maijor, speaks at the ECON Scrutiny Slot on Working methods and cooperation with the Commission and ESAs on Delegated acts and other implementing measures	
28	The Chair of ESMA, Steven Maijor, meets with MEP Cora van Nieuwenhuizen	
28	The Executive Director of ESMA, Verena Ross, speaks at CONT hearing on EU Agencies discharge 2014	
<u>February</u>		
2	The Chair of ESMA, Steven Maijor, speaks at the Politico conference on CMU	
2	The Executive Director of ESMA, Verena Ross, meets with BBA delegation	ESMA strategy, Work Programme 2016, 3 rd country, CMU, Supervisory Convergence
4	The Chair of ESMA, Steven Maijor, meets with representatives of FESE	MiFID II / MiFIR, PRIIPs, MAR, Benchmarks regulation, Prospectus review



9	The Chair of ESMA, Steven Maijor, meets with Sir Jon Cunliffe, Bank of England	
9	The Chair of ESMA, Steven Maijor, meets with representatives of the Financial Reporting Council (FRC)	
9	The Chair of ESMA, Steven Maijor, meets with representatives of New Financial	Regulatory reform in response to the financial crisis
12	The Chair of ESMA, Steven Maijor, meets with Janine van Diggelen, Chair of IFIAR	
12	The Executive Director of ESMA, Verena Ross, meets with Yann Le Pallec and Oliver Miller from S&P's	CRA Supervision
16	The Executive Director of ESMA, Verena Ross, meets with representatives from EMMI	Benchmark Regulation
18	The Executive Director of ESMA, Verena Ross, meets with MEP Valli	ECA Report on CRA Supervision
23	The Chair of ESMA, Steven Maijor, meets with MEP Philippe De Backer	
23	The Chair of ESMA, Steven Maijor, meets with MEP Paul Tang	
23	The Chair of ESMA, Steven Maijor, meets with MEP Sven Giegold	
23	The Chair of ESMA, Steven Maijor, meets with MEP Roberto Gualtieri	



23	The Chair of ESMA, Steven Maijor, speaks at the Joint Reception of Deutsche Börse AG and the State of Hessen	
24	The Chair of ESMA, Steven Maijor, meets MEP Kay Swinburne	
24	The Chair of ESMA, Steven Maijor, meets MEP Elisa Ferreira	
24	The Chair of ESMA, Steven Maijor, meets MEP Othmar Karas	
25	The Chair of ESMA, Steven Maijor, meets with representatives of NGM and Aktietorget	MiFID II / MiFIR
25	The Chair of ESMA, Steven Maijor, meets with representatives of Nasdaq	MiFID II / MiFIR, EMIR, Stress testing
25	The Chair of ESMA, Steven Maijor, meets with representatives of the Swedish Ministry of Finance	
25	The Chair of ESMA, Steven Maijor, meets with representatives of Riksbank	
25	The Chair of ESMA, Steven Maijor, meets with representatives of the Swedish Consumer Banking & Finance Bureau and the Swedish Shareholder's Association	MiFID II, Closet indexing



25	The Chair of ESMA, Steven Maijor, meets with representatives of the Swedish Securities Dealers Association and the Swedish Investment Fund Association	MiFID II / MiFIR, Closet indexing, PRIIPs, Q&As
<u>March</u>		
7	The Executive Director of ESMA, Verena Ross, speaks at conference "How imminent is the real Fintech Revolution?"	
8	The Chair of ESMA, Steven Maijor, meets with representatives of a CRA	
9	The Chair of ESMA, Steven Maijor, speaks at an EIB Seminar	
9	The Chair of ESMA, Steven Maijor, speaks at the ALFI Spring Conference	
9	The Executive Director of ESMA, Verena Ross, meets with IESBA Chairman, Stavros Thomadakis and Technical Director, Ken Siong	IESBA strategic projects, ESMA's role in the new Audit Regulation
11	The Chair of ESMA, Steven Maijor, meets with MEP Alain Lamassoure	
14	The Executive Director of ESMA, Verena Ross, speaks at public hearing of the Finance Committee of the Deutsche Bundestag	
15	The Chair of ESMA, Steven Maijor, speaks at the EFR Annual Stakeholder Roundtable	
15	The Executive Director of ESMA, Verena Ross, meets with AFME	ESMA strategy and key priorities, supervisory convergence,



	Board members	MiFID II implementation, MAD, ESA review
15	The Executive Director of ESMA, Verena Ross, meets Henry Knapman, UBS	ESMA strategy and key priorities, Data, MiFID Implementation
16	The Executive Director of ESMA, Verena Ross, meets with Senior Executive Partners of PwC	Supervisory Convergence, MiFID Implementation
17	The Chair of ESMA, Steven Maijor, meets with Daniele Nouy	
18	The Executive Director of ESMA, Verena Ross, speaks at 7th Bruges European Business Conference	
21	The Chair of ESMA, Steven Maijor, meets with representatives of UBS	UK Referendum, Bond market transparency, implications low interest rate
22	The Chair of ESMA, Steven Maijor, rings the bell at Euronext, Amsterdam	
22	The Chair of ESMA, Steven Maijor, meets with representatives of Euronext	
22	The Chair of ESMA, Steven Maijor, gives an interview to Het Financieele Dagblad	
31	The Executive Director of ESMA, Verena Ross, meets with Michel Madelain from Moody's	CRA Supervision
<u>April</u>		
1	The Executive Director of ESMA, Verena Ross, meets with Sylvie Matherat and Matt Holmes from Deutsche Bank	MiFID II implementation, Benchmarks, SFTR and market data reporting



7	The Executive Director of ESMA, Verena Ross, speaks at European Capital Markets Union	“The review of post-financial crisis regulation”
7	The Executive Director of ESMA, Verena Ross, meets with Simon Lewis (AFME)	AFME strategy
13	The Chair of ESMA, Steven Maijor, meets with MEPs Sven Giegold, Markus Ferber, Annelise Dodds and Kay Swinburne	
13	The Chair of ESMA, Steven Maijor, meets with representatives of APG, MN, Insight and PGGM	EMIR implications for pension funds
20-21	The Chair of ESMA, Steven Maijor, speaks at the Eurofi conference	
20	The Chair of ESMA, Steven Maijor, meets with representatives of Barclays	UK Referendum, reporting rules, uncleared margins
20	The Chair of ESMA, Steven Maijor, meets with representatives of Bloomberg	MiFID II / MiFIR, EMIR equivalence, CCP stress testing
20	The Chair of ESMA, Steven Maijor, meets with CFTC Commissioner Sharon Bowen	
20 – 22	The Executive Director of ESMA, Verena Ross, speaks at Eurofi Conference	<ul style="list-style-type: none"> - Investor protection - Global coordination of capital market regulation
20	The Executive Director of ESMA, Verena Ross, meets with representatives from S&P's	CRA Supervision



20	The Executive Director of ESMA, Verena Ross, meets with Philip Warland (Fidelity Worldwide Investment)	Fund reporting, PRIIPs
20	The Executive Director of ESMA, Verena Ross, meets with representatives from Euronext	Benchmark Regulation, PRIIPs
21	The Chair of ESMA, Steven Maijoor, meets with representatives of the U.S. Office of Financial Research	
21	The Chair of ESMA, Steven Maijoor, meets with Hans-Ole Jochumsen, Nasdaq	MiFI II / MiFIR, EMIR, Supervisory Convergence
21	The Chair of ESMA, Steven Maijoor, meets with Koos Timmermans, ING Bank	EMIR review, IOSCO, Fintech, Benchmark regulation
21	The Chair of ESMA, Steven Maijoor, meets with Svein Andresen, Secretary General, FSB	
21	The Chair of ESMA, Steven Maijoor, meets with Michael Cole-Fontayn, Bank of New York Mellon	UCITS V, Asset segregation
21	The Chair of ESMA, Steven Maijoor, meets with Elizabeth Corley, Allianz GI	PRIIPs
21	The Executive Director of ESMA, Verena Ross, meets with representatives from Bank of America Merrill Lynch	MAD/MAR
21	The Executive Director of ESMA, Verena Ross, meets with representatives from Capital Group	Remuneration under UCITS V



21	The Chair of ESMA, Steven Maijoor and the Executive Director of ESMA, Verena Ross, meet with representatives from FIA	EMiR, CCP stress testing, 3 rd country issues
21	The Executive Director of ESMA, Verena Ross, meets with representatives from State Street	CSDR, AIFMD
21	The Executive Director of ESMA, Verena Ross, meets with representatives from Caisse des Dépôts	Long term investments
22	The Executive Director of ESMA, Verena Ross, meets with representatives from EACH CCP	Supervisory convergence, EMiR
22	The Executive Director of ESMA, Verena Ross, meets with representatives from DTCC	TR supervision, FinTec
28	The Chair of ESMA, Steven Maijoor, meets with Jean-Pierre Pinatton, ODDO & Cie	MiFID II / MiFIR
29	The Chair of ESMA, Steven Maijoor, meets with Martin Andersson, Oliver Wyman	Broad range of regulatory issues
29	The Chair of ESMA, Steven Maijoor, meets with Finance Watch	Strategy, Finance Watch and interaction with stakeholders
<u>May</u>		
3	The Executive Director of ESMA, Verena Ross, meets with representatives from Scope	CRA supervision
9	The Chair of ESMA, Steven Maijoor, meets with Tim Massad	



9	The Chair of ESMA, Steven Maijoor, meets with representatives of the China Securities Regulatory Commission	
9	The Executive Director of ESMA, Verena Ross, speaks at City Week 2016 Conference in London	"The road to MiFID II"
12	The Executive Director of ESMA, Verena Ross, meets with representatives from Kroll Bond Rating Agency	CRA supervision
17	The Chair of ESMA, Steven Maijoor, participates in the Public Hearing on the Call for Evidence	
17	The Executive Director of ESMA, Verena Ross, meets with Michel Madelain and Rob Fauber from Moody's	CRA Supervision
18	The Chair of ESMA, Steven Maijoor, speaks at the Reuters Regulation Summit	
18	The Chair of ESMA, Steven Maijoor, meets with the Financial Times	
18	The Chair of ESMA, Steven Maijoor, meets with Financial News	
18	The Chair of ESMA, Steven Maijoor, meets with Mark Yallop, Head FICC Markets Standards Board	Global set-up and objectives FICC Markets Standards Board, Interaction with EU legislation
18	The Executive Director of ESMA, Verena Ross, gives opening keynote speech at AIMA's Global Policy & Regulatory Forum, London	"The Capital Markets Union, supervisory convergence and asset management"
19	The Chair of ESMA, Steven Maijoor, meets with representatives of the Department of Finance, Ireland	



19	The Chair of ESMA, Steven Maijor, meets with representatives of Irish Funds	AIFMD, CMU, UK Referendum, supervisory convergence
19	The Chair of ESMA, Steven Maijor, meets with Deidre Somers, CEO, Irish Stock Exchange	MiFI II / MiFIR, Prospectus review
19	The Chair of ESMA, Steven Maijor, speaks at ICMA's Annual Conference	
26	The Chair of ESMA, Steven Maijor, speaks at Financial News 20 th Anniversary	
27	The Chair of ESMA, Steven Maijor, meets with Risk	
31	The Chair of ESMA, Steven Maijor, gives an interview to Decideurs Magazine	
<u>June</u>		
3	The Chair of ESMA, Steven Maijor, meets with representatives of ABN Amro	Fintech, Robo-advice
6	The Chair of ESMA, Steven Maijor, speaks at the ESRB conference on Margins and Hair cuts	
6	The Executive Director of ESMA, Verena Ross, meets with Peter Tufano, Dean of Oxford's Saïd Business School.	Financial Innovation
8	The Executive Director of ESMA, Verena Ross, meets with MEP Markus Ferber	ESMA Budget 2017



10	The Chair of ESMA, Steven Maijor, speaks at the CFO Network	
10	The Executive Director of ESMA, Verena Ross, speaks at „Zweites DSGVO-Kapitalmarktsymposium – MiFID: Die Zukunft des Wertpapiergeschäfts“	„MiFID II – Von der Gestaltung auf Level II zur Umsetzung durch ESMA“
13	The Chair of ESMA, Steven Maijor, speaks at the 6 th European Financial Congress	
13	The Executive Director of ESMA, Verena Ross, speaks at ECON Public Hearing on Securitisation	
15	The Chair of ESMA, Steven Maijor, meets with representatives of the Central Bank of Malta	
15	The Chair of ESMA, Steven Maijor, meets with representatives of the Malta Stock Exchange	CSDR, proportionate application of EU law
15	The Chair of ESMA, Steven Maijor, speaks at the FESE Annual Conference	
16	The Executive Director of ESMA, Verena Ross, speaks at ICMA RPC	ESMA objectives and 2016 work programme, MiFID II/MAD, implementation issues
21	The Chair of ESMA, Steven Maijor, participates in the ECON scrutiny slot on delegated acts and regulatory technical standards adopted under MiFID II / MiFIR	
21	The Chair of ESMA, Steven Maijor, speaks at the EPFSF lunch	



21	The Chair of ESMA, Steven Maijor, meets with MEPs Olle Ludvigsson and Petr Jezek	
22	The Chair of ESMA, Steven Maijor, meets with Board Members of ISLA	SFTR, Stability risks in securities markets
22	The Chair of ESMA, Steven Maijor, speaks at ISLA's Annual conference	
23	The Executive Director of ESMA, Verena Ross, speaks at Irish Funds Annual Conference	European Regulator Panel
24	The Chair of ESMA, Steven Maijor, speaks at the Banque de France conference on Recovery and resolution of CCPs	
27	The Chair of ESMA, Steven Maijor, speaks at the Salzburg Global Seminar	
29	The Chair of ESMA, Steven Maijor, speaks at the Politico Morning Exchange	
30	The Chair of ESMA, Steven Maijor, meets with Corso Bavagnoli, Direction General du Tresor	
<u>July</u>		
5	The Chair of ESMA, Steven Maijor, meets with the Minister of Finance of Cyprus	
5	The Executive Director of ESMA, Verena Ross, meets with	UK referendum, third-country equivalence, MiFID/R, closet



	representatives of Nomura Holdings INC	indexing, Securitisation
6	The Chair of ESMA, Steven Maijor, meets with the Cyprus Association of Investors	Self-placement, auditor independence, investor education
6	The Chair of ESMA, Steven Maijor, meets with representatives of the Central Bank of Cyprus	
6	The Chair of ESMA, Steven Maijor, meets with representatives of the Cyprus Investment Promotion Agency & Cyprus Investment Funds Association	Future of asset management, MiFID II / MiFIR, UCITS/AIFMD
6	The Chair of ESMA, Steven Maijor, meets with representatives of the Cyprus Stock Exchange	Supervisory convergence
6	The Chair of ESMA, Steven Maijor, meets with the Cyprus Financial Ombudsman	Complaints about Forex/CFD products
6	The Chair of ESMA, Steven Maijor, meets with representatives of the Association of Cyprus International Investment Firms	Complaints about Forex/CFD products
7	The Executive Director of ESMA, Verena Ross, meets with David Schraa of IIF Europe	UK referendum, FinTech, RegTech
8	The Executive Director of ESMA, Verena Ross, speaks at European Institute of Financial Regulation (EIFR) lunch	PRIPs, Convergence and Competitiveness, EU legislative process, CMU, Engagement with ESMA, Market liquidity
13	The Executive Director of ESMA, Verena Ross, meets with ECON rapporteurs	Securitisation



13	The Executive Director of ESMA, Verena Ross, meets with MEP Paul Tang	Securitisation
14	The Chair of ESMA, Steven Maijoor, meets with Klaas Knot, Governor of the Dutch National Bank	
<u>August</u>		
4	Laurent Degabriel, PWC	Mainly private, except exchange on UK referendum, ESMA Developments, PRIIPS
<u>September</u>		
<u>October</u>		



<u>November</u>		
<u>December</u>		