

NOTE

2015 Calendar of the Chair and Executive Director of ESMA

Date	Meetings/Conferences	Topics discussed
<u>January</u>		
5	The Chair of ESMA, Steven Maijor, gives an interview on BNR Nieuws Radio	
7	The Chair of ESMA, Steven Maijor, gives an interview to Les Echos	
9	The Chair of ESMA, Steven Maijor, meets with representatives of VEB	Representation of investors at EU level MiFID II. Suitability of certain financial products
14	The Chair of ESMA, Steven Maijor, meets with representatives of the German Cooperative Banks	MiFID II
15	The Chair of ESMA, Steven Maijor, meets with representatives of FREP	IFRS Enforcement
15	The Executive Director of ESMA, Verena Ross, meets with Andre	Benchmark Regulation, LIBOR Developments



	Villeneuve, Chairman of ICE Benchmark Administration, and Finbarr Hutcheson, President of ICE Benchmark Administration	
18	The Chair of ESMA, Steven Maijor, meets with Tim Massad, Chair of the CFTC	
19	The Chair of ESMA, Steven Maijor, speaks at the Asian Financial Forum in Hong Kong	
19	The Chair of ESMA, Steven Maijor, gives interviews to CNBC, Thomson Reuters and to Bloomberg	
19	The Chair of ESMA, Steven Maijor, meets with representatives from the Hong Kong Treasury	
19	The Chair of ESMA, Steven Maijor, meets with Mr Norman Chan, Chief Executive, Hong Kong Monetary Authority,	
20	The Chair of ESMA, Steven Maijor, meets with Ashley Alder, CEO, Securities and Futures Commission, Hong Kong	
20	The Chair of ESMA, Steven Maijor, meets with Ken Hitchner, President of Asia Pacific Ex-Japan, Goldman Sachs	Third country equivalence EU Financial regulation. EMIR
20	The Chair of ESMA, Steven Maijor, meets with representatives of the Office of the <i>European Commission in Hong Kong</i>	
20	The Chair of ESMA, Steven Maijor, Mr C K Chow, Chairman, Hong Kong Stock Exchange and Clearing	Third country equivalence EU Financial regulation. EMIR



20	The Chair of ESMA, Steven Maijor, meets with Members of the EU Chamber of Commerce in Hong Kong	
26	The Chair of ESMA, Steven Maijor, meets Mr Masa Kono, Japan FSA	
	The Chair of ESMA, Steven Maijor, meets Mr Nicolas Veron, Breugel	CMU
	The Chair of ESMA, Steven Maijor, speaks at the BBA conference on Capital Markets Union	
27	The Executive Director of ESMA, Verena Ross, attends the CONT hearing in the European Parliament	
<u>February</u>		
4	The Executive Director of ESMA, Verena Ross, meets with representatives of the Bundesverband Investment und Asset Management	MiFID II European Rating Platform
6	The Executive Director of ESMA, Verena Ross, meets with Etienne Oudot de Dainville, Minister Counsellor for financial affairs, head of the economic and monetary affairs department at the Permanent Representation of France to the EU	CMU ESFS Review
16	The Chair of ESMA, Steven Maijor, meets with representatives of the Oslo Stock Exchange	Range of regulatory issues
16	The Chair of ESMA, Steven Maijor, meets with the Norwegian Minister of Finance, Siv Jensen.	



16	The Chair of ESMA, Steven Maijor, meets with representatives of the Norwegian Fund and Asset Management Association	Range of regulatory issues
16	The Chair of ESMA, Steven Maijor, meets with representatives of the Norwegian Securities Dealers Association	Range of regulatory issues
16	The Chair of ESMA, Steven Maijor, meets with EU ambassador to Norway, Helen Campbell	
16	The Chair of ESMA, Steven Maijor, meets with representatives of the Norwegian Ministry of Finance	
18	The Chair of ESMA, Steven Maijor, meets with Members of the Cercle des Indépendants	MiFID II
23	The Executive Director of ESMA, Verena Ross, speaks at an IRSG Council Meeting	
24	The Executive Director of ESMA, Verena Ross, meets with Nick Collier from Thomson Reuters	MiFID II Benchmark Regulation
25	The Executive Director of ESMA, Verena Ross, speaks at Capital Markets Conference	
27	The Executive Director of ESMA, Verena Ross, meets with representatives of The Baltic Exchange, Baltex, Cargill and Afore Consulting	MiFID II Freight Market

March



3	The Executive Director of ESMA, Verena Ross, meets with the Secretary General and other representatives of Finance Watch	MiFID II, CMU, Consultation/Stakeholder engagement, ESMA priorities
3	The Chair of ESMA, Steven Maijor, and the Executive Director, Verena Ross, meet with Jonathan Hill, European Commissioner	
3	The Chair of ESMA, Steven Maijor, meets with MEP Burkhard Balz	
3	The Executive Director of ESMA, Verena Ross, meets with Karel Lannoo, CEO of CEPS	CMU, ESMA priorities
3	The Executive Director of ESMA, Verena Ross, meets with DDRL representatives	TR supervision
5	The Chair of ESMA, Steven Maijor, speaks at the EFR Stakeholder Roundtable	
5	The Chair of ESMA, Steven Maijor, meets with MEP Jakob von Weizsäcker	
5	The Executive Director of ESMA, Verena Ross, speaks at the ACT Conference	
6 - 9	The Executive Director of ESMA, Verena Ross, meets with a number of CRAs	CRA supervision
9	The Chair of ESMA, Steven Maijor, speaks at the Chatham House Conference on 'Creating an Effective Financial System: Market- Based Finance, Growth and the Real Economy'.	



12	The Chair of ESMA, Steven Maijor, speaks at the NAPF Investment Conference	
13	The Chair of ESMA, Steven Maijor, meets with representatives of the NBA (Nederlandse Beroepsorganisatie van Accountants)	Range of accounting and audit issues
17	The Chair of ESMA, Steven Maijor, meets with representatives of European Institute of Financial Regulation (EIFR)	
24	The Chair of ESMA, Steven Maijor, speaks at the ALFI Spring Conference	
25	The Chair of ESMA, Steven Maijor, speaks at City Week 2015: The International Financial Services Forum	
25	The Chair of ESMA, Steven Maijor, meets Jon Cunliffe, Bank of England	
25	The Chair of ESMA, Steven Maijor, meets with journalists from Bloomberg and Reuters	
<u>April</u>		
1	The Chair of ESMA, Steven Maijor, meets with representatives of the Common Content Program	Education and training of auditors
8	The Executive Director of ESMA, Verena Ross, meets with representatives from Deloitte	Stress Testing, Recovery & Resolution
9	The Chair of ESMA, Steven Maijor meets with representatives of the EFTA Surveillance Authority	



9	The Executive Director of ESMA, Verena Ross, meets with Arlene McCarthy, Bloomberg	EMiR, MiFID II, MAR
20	The Executive Director of ESMA, Verena Ross, speaks at FPSB European Forum	
21	The Executive Director of ESMA, Verena Ross, meets with representatives from S&P's	CRA Supervision
22	The Executive Director of ESMA, Verena Ross, meets with representatives from Merrill Lynch	MiFID II, EMiR, Power of ESMA
23	The Chair of ESMA, Steven Maijor, meets with Koos Timmermans, CRO of ING	Range of regulatory issues
23	The Executive Director of ESMA, Verena Ross, meets with representatives from S&P's	CRA Supervision
23	The Executive Director of ESMA, Verena Ross, meets with representatives from BNY Mellon	AIFMD, CSDR, CMU
23	The Chair of ESMA, Steven Maijor and the Executive Director of ESMA, Verena Ross, meet with representatives from ICE	MiFID II, EMiR
24	The Executive Director of ESMA, Verena Ross, meets with representatives from Euroclear and Clearstream	CSDR
24	The Executive Director of ESMA, Verena Ross, meets with representatives from State Street Bank	AIFMD, MiFID II, CMU



24	The Chair of ESMA, Steven Maijor, and the Executive Director of ESMA, Verena Ross, meet with representatives from Nasdaq OMX	EMiR, Market Data, MiFID II
24	The Chair of ESMA, Steven Maijor, meets with Roberto Gualtieri, MEP, Chair of ECON	
23-24	The Chair of ESMA, Steven Maijor, and Executive Director, Verena Ross, speak at the Eurofi Conference	
28	The Executive Director of ESMA, Verena Ross, meets with representatives from Bloomberg	TR Supervision
<u>May</u>		
6	The Chair of ESMA, Steven Maijor, meets with representatives of the Latvian Banking Association	Range of regulatory issues
6	The Chair of ESMA, Steven Maijor, meets with representatives of Nasdaq OMX Riga	CSDR
11	The Chair of ESMA, Steven Maijor, gives an interview to NRC Handelsblad	
11	The Chair of ESMA, Steven Maijor, gives an interview for the Reuters Regulatory Summit	
12	The Chair of ESMA, Steven Maijor, speaks at the Luxembourg Stock Exchange Day	
18	The Chair of ESMA, Steven Maijor, meets with representatives of	Cross-border issues AIFMD



	EVCA	
19	The Chair of ESMA, Steven Maijor, speaks at the ESRB Shadow Banking Workshop	
22	The Chair of ESMA, Steven Maijor, speaks at the European Capital Markets Union Summit in London	
26	The Chair of ESMA, Steven Maijor, meets with the ECON-MIF negotiation team	
27	The Chair of ESMA, Steven Maijor, speaks at the Exchange of Ideas #3 Conference in London	
27	The Chair of ESMA, Steven Maijor, meets with Alison Cottrell, CEO of the Banking Standards Review Council	Conduct of business
28	The Chair of ESMA, Steven Maijor, speaks at the ASF Days conference in Bucharest	
28	The Executive Director of ESMA, Verena Ross, meets with MEP Jens Geier	Budget, Discharge
29	The Chair of ESMA, Steven Maijor, participates in the European Commission Public Hearing on the Commission's Review of EMIR	
29	The Executive Director of ESMA, Verena Ross, meets with Douglas J. Elliott, The Brookings Institution	ESMA, CMU

June



1	The Chair of ESMA, Steven Maijor speaks at the IBA 26th Annual Conference on the Globalisation of Investment Funds	
1	The Chair of ESMA, Steven Maijor meets with Scot O'Malia, IDSA	EMIR, MiFID II/MiFIR
3	The Chair of ESMA, Steven Maijor, speaks at the Joint ESAs Consumer Protection Day	
4	The Chair of ESMA, Steven Maijor, meets with Michel Prada, Chair of the Conseil de Normalisation des Comptes Publics and Chair of the Board of Trustees of the IFRS Foundation	Financial reporting, IFRS
4	The Chair of ESMA, Steven Maijor, speaks at the EU Commission Conference - Charting a Course for Better Economic Policy in the EU	
5	The Chair of ESMA, Steven Maijor, speaks at the ICMA AGM & Annual Conference	
5	The Chair of ESMA, Steven Maijor, gives an interview to Het Financieele Dagblad	
5	The Chair of ESMA, Steven Maijor, gives an interview to Financial News	
5	The Executive Director of ESMA, Verena Ross, meets with Saeb Eigner, Chairman, Dubai Financial Services Authority	ESMA, Dubai FSA, International Cooperation
8	The Chair of ESMA, Steven Maijor, speaks at the EU Commission Conference on Building a CMU	



9	The Chair of ESMA, Steven Maijor, speaks at the FIN-FSA Conference on EU Regulation and Supervision: Financing growth through Capital Markets	
9	The Chair of ESMA, Steven Maijor, meets with representatives of the Federation of Finnish Financial Services	Regulatory response to the financial crisis
9	The Chair of ESMA, Steven Maijor, meets with representatives of the Confederation of Finnish Industries	EMIR, Corporate governance
9	The Chair of ESMA, Steven Maijor, meets with representatives of the Bank of Finland	
9	The Executive Director of ESMA, Verena Ross, speaks at the International Derivatives Expo	
9	The Executive Director of ESMA, Verena Ross, meets with FIA clearing members	EMIR, Equivalence, MiFID II
10	The Executive Director of ESMA, Verena Ross, meets with Dr Lex Hoogduin, Chairman of LCH.Clearnet	EMIR, CCP colleges
11	The Executive Director of ESMA, Verena Ross, speaks at the ICMA Regulatory Policy Committee	
12	The Executive Director of ESMA, Verena Ross, meets with Carlo Comporti, Promontory	ESMA Strategic Orientation, CMU
16	The Chair of ESMA, Steven Maijor, speaks to the ECON	MiFID/MiFIR delegated acts/technical standards



16	The Chair of ESMA, Steven Maijor meets with representatives of the Hedge Fund Standards Board	Regulatory response to the crisis. Fair and effective markets review
17	The Chair of ESMA, Steven Maijor, meets with Paul Andrews, FINRA	Conduct of business
18	The Executive Director of ESMA, Verena Ross, speaks at the IOSCO Annual Conference	
18	The Executive Director of ESMA, Verena Ross, speaks at International Regulators Dinner on Global Financial Reform	
22	The Chair of ESMA, Steven Maijor meets with François Villeroy de Galhau	CMU
29	The Chair of ESMA, Steven Maijor speaks at the IFRSF Conference	
29	The Executive Director of ESMA, Verena Ross, meets with Fabrice Demarigny, Mazars	ESMA Strategic Orientation, CMU, SME Financing
30	The Chair of ESMA, Steven Maijor, speaks at the Salzburg Seminar	
July		
1	The Chair of ESMA, Steven Maijor, meets with representatives of AMAFI	CMU
1	The Chair of ESMA, Steven Maijor, meets with representatives of ICE Endex	MiFID II/MiFIR
1	The Executive Director of ESMA, Verena Ross, speaks at CISI Annual	



	Conference	
7	The Chair of ESMA, Steven Maijor, speaks at the Paris Europlace Financial Forum	
7	The Executive Director of ESMA, Verena Ross, meets with Comité Directeur du groupement des banques étrangères of the Fédération Bancaire Française	ESMA Objectives/Activities, CMU, MiFID II/MiFIR, EMIR
9	The Chair of ESMA, Steven Maijor, meets with representatives of the Asociación Española De Banca	PRIIPS, market liquidity
22	The Chair of ESMA, Steven Maijor, meets with Alex Wilmot Witwell, Bank of America Merrill Lynch Europe	MiFID II/MiFIR; market liquidity
22	The Executive Director of ESMA, Verena Ross, meets with representatives of Moody's	CRA supervision
<u>August</u>		
5	The Executive Director of ESMA, Verena Ross, meets with representatives of Oliver Wyman	CMU, market liquidity
20	The Chair of ESMA, Steven Maijor, meets with the Governor of the Central Bank of Iceland	
20	The Chair of ESMA, Steven Maijor, meets with representatives of Nasdaq Iceland	Iceland Capital Market, regulatory response to the financial crisis
20	The Chair of ESMA, Steven Maijor, meets with the Icelandic Financial	Regulatory response to the financial crisis



	Services Association	
20	The Chair of ESMA, Steven Maijor, meets with representatives of the Icelandic Ministry of Finance and Economic Affairs	
28	The Chair of ESMA, Steven Maijor, meets with representatives of Nasdaq Copenhagen	MiFID II / MiFIR, EMIR, ESMA Strategy, CMU
28	The Chair of ESMA, Steven Maijor, meets with representatives from the Danish Bankers Association and the Danish Securities Dealers Association	Economic developments, CMU, ESMA Strategy, MiFID II / MiFIR
28	The Chair of ESMA, Steven Maijor, meets the vice-governor of the Danish Central Bank	
28	The Chair of ESMA, Steven Maijor, meets with representatives of the Danish Ministry of Business and Growth	
<u>September</u>		
1	The Executive Director of ESMA, Verena Ross, meets with Henry Knapman, UBS	ESMA strategy, CMU, market liquidity
3	The Chair of ESMA, Steven Maijor, meets with representatives of FINMA	
4	The Chair of ESMA, Steven Maijor, gives an interview to Magazine for Compliance	
7	The Chair of ESMA, Steven Maijor, gives an interview for ISDA's magazine	



7	The Chair of ESMA, Steven Maijor, meets with Jeremy Cox, CEO of the Bermuda Monetary Authority (BMA)	
10-11	The Chair of ESMA, Steven Maijor, and Executive Director, Verena Ross, speak at the Eurofi Conference	
10	The Chair of ESMA, Steven Maijor, meets with representatives from VÖB	CMU
10	The Chair of ESMA, Steven Maijor, meets with representatives from BBVA	CMU, Ratings
10	The Chair of ESMA, Steven Maijor, meets with Commissioner Kara Stein of the US SEC	
10	The Chair of ESMA, Steven Maijor, meets with representatives from JP Morgan	CMU, ESMA long-term strategy
11	The Chair of ESMA, Steven Maijor, meets with Tomas Steffen, German Federal Ministry of Finance	
11	The Executive Director of ESMA, Verena Ross, meets with representatives from EACH	EMiR, CCP stress testing and colleges
11	The Executive Director of ESMA, Verena Ross, meets with representatives from S&P	CRA Supervision
16	The Executive Director of ESMA, Verena Ross, meets with MEP Peter Simon	ESMA strategy, objectives, activities and budget



23	The Chair of ESMA, Steven Maijor, meets with Nobuchika Mori, Commissioner, Japan FSA	
28	The Chair of ESMA, Steven Maijor, and the Executive Director of ESMA, Verena Ross, meet with Jon Cunliffe, Bank of England	CMU, EMiR, CCPs
28	The Chair of ESMA, Steven Maijor, meets with representatives from Finance Watch	Broad range of regulatory topics
29	The Chair of ESMA, Steven Maijor, meets with Bart Chilton, former CFTC Commissioner	EMIR
30	The Chair of ESMA, Steven Maijor, speaks at the PIOB 10 th Anniversary Seminar	
30	The Chair of ESMA, Steven Maijor, meets with representatives from ADICAE	Retail investors, PRIIPs, CMU, MiFID II
30	The Chair of ESMA, Steven Maijor, meets with representatives from AEMEC	Retail investors, collective action
30	The Chair of ESMA, Steven Maijor, meets with representatives from FOGAIN	MiFID II
30	The Chair of ESMA, Steven Maijor, meets with representatives from BME	EMIR, MiFID II
<u>October</u>		
1	The Chair of ESMA, Steven Maijor, meets with representatives from	MiFID II



	the Association of German Banks	
1	The Chair of ESMA, Steven Maijor, speaks at the European American Press Club	
1	The Executive Director of ESMA, Verena Ross, meets with representatives from the Associazione Bancaria Italiana	MAD disclosures, MiFID Guidelines on Complex Products & on Knowledge and Competence, benchmarks
5	The Executive Director of ESMA, Verena Ross, meets with representatives from London Metal Exchange	LME governance and business, EMiR, CCPs
8	The Chair of ESMA, Steven Maijor, meets with Tim Massad, Chairman of the CFTC	
8	The Chair of ESMA, Steven Maijor, speaks at the Ontario Securities Commission conference	
13	The Chair of ESMA, Steven Maijor, meets with MEP Markus Ferber	
14	The Chair of ESMA, Steven Maijor, meets with MEP Sven Giegold	
14	The Chair of ESMA, Steven Maijor, and the Executive Director, Verena Ross, meet with Commissioner Jonathan Hill	
14	The Chair of ESMA, Steven Maijor, meets with MEP Kay Swinburne	
15	The Chair of ESMA, Steven Maijor, meets with representatives from Fitch Ratings	Ratings, CRA Supervision
20	The Executive Director of ESMA, Verena Ross, meets with	CMU, MiFID II/MiFIR, EMiR



	representatives from the Fédération Bancaire Française	
27	The Executive Director of ESMA, Verena Ross, speaks at the MFA Global Regulatory Summit, London	
29	The Chair of ESMA, Steven Maijor, speaks at the ECN Convention	Crowd funding
30	The Executive Director of ESMA, Verena Ross, speaks at the CNMV Seminar on The New Securities Regulation in the European Union	
<u>November</u>		
2	The Chair of ESMA, Steven Maijor, speaks at the Financial Reporting Outlook Conference	
3	The Chair of ESMA, Steven Maijor, meets with representatives of EACT	EMIR
9	The Executive Director of ESMA, Verena Ross, meets with Moody's Independent Board members in the EU	CRA Supervision
10	The Chair of ESMA, Steven Maijor, meets with MEP Burkhard Balz	
10	The Chair of ESMA, Steven Maijor, meets with representatives of Moody's	Ratings, CRA Supervision
10	The Executive Director of ESMA, Verena Ross, meets with representatives of Dagong Europe	CRA Supervision
11	The Chair of ESMA, Steven Maijor, speaks at the Bank of England	



	Open Forum	
11	The Executive Director of ESMA, Verena Ross, meets with MEP Markus Ferber	
11	The Executive Director of ESMA, Verena Ross, meets with MEP Kay Swinburne	
12	The Chair of ESMA, Steven Maijor, gives an interview to CNBC	
12	The Chair of ESMA, Steven Maijor, meets with representatives of HM Treasury	
12	The Chair of ESMA, Steven Maijor, speaks at a BBA Roundtable	ESMA Strategy, CMU, supervisory convergence, regulatory response to the financial crisis
12	The Chair of ESMA, Steven Maijor, speaks at the Cumberland Lodge conference	
18	The Chair of ESMA, Steven Maijor, speaks at EFAMA's Investment Management Forum	
19	The Chair of ESMA, Steven Maijor, speaks at the conference on sustainable development	
19	The Executive Director of ESMA, Verena Ross, speaks at 9. MiFID-Kongress – Regulatorisches Symposium	
20	The Chair of ESMA, Steven Maijor, speaks at the European Banking Conference	



24	The Executive Director of ESMA, Verena Ross, meets with representatives of State Street	EMIR, Securities Lending, UCITS V, US/EU
26	The Chair of ESMA, Steven Maijoor, speaks at the AFM-DUFAS investment Management Conference	
26	The Executive Director of ESMA, Verena Ross, meets with representatives of HSBC	Regulatory Reforms, MiFID II
27	The Executive Director of ESMA, Verena Ross, meets with representatives of the Bank of England	ESMA strategic orientation, CMU, CCPs MiFID II
<u>December</u>		
3	The Executive Director of ESMA, Verena Ross, meets with representatives of S&P's	CRA supervision
7	The Executive Director of ESMA, Verena Ross, speaks at SSE Leaders Luncheon: Climate	
10	The Chair of ESMA, Steven Maijoor, speaks at the Agefi conference on Financial Regulation	
16	The Chair of ESMA, Steven Maijoor, meets with representatives of the Warsaw Stock Exchange	CMU, MiFID II / MiFIR
16	The Chair of ESMA, Steven Maijoor, meets with representatives of the Polish National Depository for Securities	Implementation of EMIR and CSDR
16	The Chair of ESMA, Steven Maijoor, meets with representatives of the	



	Polish Ministry of Finance	
16	The Chair of ESMA, Steven Maijor, meets with representatives from the Polish Chamber of Brokerage Houses	Implementation of MiFID II / MiFIR
17	The Executive Director of ESMA, Verena Ross, meets with representatives of Euronext	
17	The Executive Director of ESMA, Verena Ross, meets with representatives of ICI Global	