

NOTE

2013 Calendar of the Chair and Executive Director of ESMA

Date	Meetings/Conferences	Topics discussed
<u>January</u>		
7	The Executive Director of ESMA, Verena Ross, meets with Fabrice Demarigny of Mazars	ESMA Developments, Audit Regulation, ESFS Review
8	The Chair of ESMA, Steven Maijoor, gives an interview to Global Investor	
9	The Executive Director of ESMA, Verena Ross, meets with a CRA	CRA supervision
10	The Chair of ESMA, Steven Maijoor, meets with representatives from Institut der Wirtschaftsprüfer in Deutschland e.V.	ESMA developments, reform of Audit regulation and supervision
10	The Executive Director of ESMA, Verena Ross, meets with the Committee on Budgets of the European Parliament	ESA funding
10	The Executive Director of ESMA, Verena Ross, attends the NYSE	N/A



	Euronext Cocktail reception	
11	The Chair of ESMA, Steven Maijor, gives an interview for the Dutch Journal of Compliance (Tijdschrift voor Compliance)	
11	The Executive Director of ESMA, Verena Ross, met with Margaret Cole and Ian Dilks of PWC	ESMA organisation and objectives, Audit Regulation
11	The Chair of ESMA, Steven Maijor, is interviewed by the Wall Street Journal, Dutch National Radio and the Financial Times	Euribor
11	The Executive Director of ESMA, Verena Ross, meets with Margaret Cole and Ian Dilks of PWC	ESMA organisation and objectives, Audit Regulation
14	The Executive Director of ESMA, Verena Ross, meets with Andre and Mark Boleat, Michael Vercnocke and Paul Sizeland of City of London	ESMA organisation and objectives
15	The Chair of ESMA, Steven Maijor, meets with representatives of the Israel Securities Authority	
21	The Chair of ESMA, Steven Maijor, gives an interview to the Financial Times	
22	The Chair of ESMA, Steven Maijor, meets with representatives of the FRC	
22	The Executive Director of ESMA, Verena Ross, meets with Roman Busch of the Swiss Embassy in Paris	ESMA Developments, FINMA developments, MoUs
23	The Chair of ESMA, Steven Maijor, meets with MEPs Sharon Bowles, Sven Giegold, Kay Swinburne, Sylvie Goulard, Arlene McCarthy, Jean-	



	Paul Gauzès, Udo Bullmann and Pervenche Beres	
25	The Executive Director of ESMA, Verena Ross, meets with Jean-François Théodore	ESMA Developments
31	The Chair of ESMA, Steven Maijor, meets with James Doty, Chairman of the Public Company Accounting Oversight Board	
31	The Chair of ESMA, Steven Maijor, and the Executive Director, Verena Ross, meet with European Commissioner for Internal Market and Services, Michel Barnier	EMIR, ESFS review, ESMA development etc...
<u>February</u>		
1	The Executive Director of ESMA, Verena Ross, meets with Michel Prada of the <i>Conseil de Normalisation des Comptes Publics</i>	ESMA Developments, IASB
6	The Chair of ESMA, Steven Maijor, attends the OTC Regulators Meeting	
6	The Executive Director of ESMA, Verena Ross, attends the EFRAG Supervisory Board	ESMA organisation and objectives
6	The Executive Director of ESMA, Verena Ross, meets with Jean-Jacques de Dardel (Swiss Ambassador in France), Jacques de Larosière, Axel Weber (UBS AG), Jean-Frédéric de Leusse (UBS France) and Roman Busch (Swiss Embassy)	EU regulatory system and recent developments
7	The Chair of ESMA, Steven Maijor, meets with Alexander Justham, CEO of the LSE	ESMA Developments, secondary markets



7	The Chair of ESMA, Steven Maijor, meets with representatives of the Dubai FSA	
7	The Chair of ESMA, Steven Maijor, speaks at the Afzi (French Association of Institutional investors) annual conference	
7	The Executive Director of ESMA, Verena Ross, meets with Alexander Justham of LSE plc	EMIR, Mifid, ESMA developments
8	The Executive Director of ESMA, Verena Ross, meets with Nikhil Rathi of Her Majesty Treasury	ESMA Developments, ESFS Review, EMIR, 3 rd country issues
8	The Executive Director of ESMA, Verena Ross, speaks at TheCityUK's Debate, London	ESMA organisation and objectives, ESMA priorities for 2013, EMIR, MiFID etc...
11	The Chair of ESMA, Steven Maijor, gives an interview to Risk magazine	
12	The Chair of ESMA, Steven Maijor, gives an interview to the Sueddeutsche Zeitung	
14	The Chair of ESMA, Steven Maijor, gives an interview to The Banker	Credit Rating Agencies
15	The Executive Director of ESMA, Verena Ross, meets with Rachida Dati, Mayor of Paris 7 th and Member of the European Parliament	ESMA organisation and objectives, location of office in the 7 th arrondissement
19	The Chair of ESMA, Steven Maijor, meets with Dan Waters of ICI Global	ESMA developments, AIFMD
19	The Executive Director of ESMA, Verena Ross, meets with Carlo	Benchmarks



	Comporti and Tony Murphy of Promontory Financial Group	
21	The Chair of ESMA, Steven Maijor meets with Didier Cahen, Secretary General of Eurofi	Eurofi programme
22	The Chair of ESMA, Steven Maijor, interviewed by De Telegraaf (NL)	UCITS/ETFs Guidelines
26	The Executive Director of ESMA, Verena Ross, speaks at the Public Sector Issuer Forum organised by ICMA	ESMA organisation and objectives, ESMA priorities for 2013, EMIR, SSR, MiFID
<u>March</u>		
1	The Executive Director of ESMA, Verena Ross, meets with David Wright of IOSCO	IOSCO and ESMA developments Benchmarks
6	The Chair of ESMA, Steven Maijor, speaks at the EFR Stakeholder Roundtable	
7	The Chair of ESMA, Steven Maijor, meets with representatives of the AFEP and the MEDEF	Financial reporting, IFRS
7	The Chair of ESMA, Steven Maijor, meets with Professor Marco Lamandini	
11	The Chair of ESMA, Steven Maijor, gives an interview to Reuters	ESMA
11	The Chair of ESMA, Steven Maijor, speaks at the CDU/CSU conference on Financial Markets, Berlin	Financial Market Regulation
11	The Executive Director of ESMA, Verena Ross, speaks at the Chatham	EMIR issues



	House City Series Conference, London	
15	The Executive Director of ESMA, Verena Ross, meets with Sylvie Matherat of <i>la Banque de France</i>	Financial Stability Review contribution, Post-trading/EMIR issues Economic/FS research
18	The Chair of ESMA, Steven Maijor, meets with the Dutch Finance Minister Dijsselbloem	
19	The Chair of ESMA, Steven Maijor, speaks at the joint ECB/European Commission conference on Post-trade harmonisation and financial integration in Europe, Frankfurt	“How will EU regulations contribute to post-trade harmonisation and financial integration in Europe?”
19	The Chair of ESMA, Steven Maijor, speaks at the Frankfurt Financial Summit	“How regulation and crisis management will change the world's financial landscape”
19	The Chair of ESMA, Steven Maijor, meets with Vitor Constâncio	
20	The Chair of ESMA, Steven Maijor, interviewed by to Handelsblatt (DE)	Credit rating agencies
22	The Chair of ESMA, Steven Maijor, and the Executive Director of ESMA, Verena Ross, meet with Kathleen Casey and Jiří Król of AIMA	ESMA work programme AIFMD, EMIR, SSR
27	The Chair of ESMA, Steven Maijor, interviewed by Investment & Pensions Europe (NL)	ESMA
27	The Chair of ESMA, Steven Maijor, speaks at the Holland Financial Centre and KAS Bank Conference on EMIR	Access to the speech



<u>April</u>		
2	The Chair of ESMA, Steven Maijoor, and the Executive Director of ESMA, Verena Ross, meet with Fabrice Demarigny of Mazars	ESFS Review
3	The Chair of ESMA, Steven Maijoor, meets with Pierre de Lauzun of AMAFI	ESMA Developments, secondary markets, investment firms
9	The Chair of ESMA, Steven Maijoor, speaks at the IFRS Foundation / ICAEW event	
10	The Chair of ESMA, Steven Maijoor, speaks at the Eurofi Conference, Dublin	
11	The Chair of ESMA, Steven Maijoor, attends the Eurofi High Level Seminar	
11	The Executive Director of ESMA , Verena Ross, attends the Eurofi High Level Seminar	EMIR issues
15	The Executive Director of ESMA, Verena Ross, meets with Manoj Narang, CEO of Tradeworx, Inc.	SEC Trade Surveillance System
16/17	The Chair of ESMA, Steven Maijoor meets with representatives of the Finance Ministry, National Bank and external stakeholders in Budapest	
19	The Chair of ESMA, Steven Maijoor, meets with representatives of the Ethics Standards Board for Accountants (IESBA)	



22	The Chair of ESMA, Steven Maijor, interviewed by BBC Radio 4 “Face the facts”	
22	The Chair of ESMA, Steven Maijor, speaks at the City Week Conference, London	
22	The Chair of ESMA, Steven Maijor, speaks at the FRC High-Level event in Brussels	
24/25	The Chair of ESMA, Steven Maijor meets with representatives of the Finance Ministry, Banco de Espana and external stakeholders in Madrid	
25	The Executive Director of ESMA, Verena Ross, speaks at the joint EBF – Febelfin Conference entitled “Towards an EU-Securities Market: Challenges for Banks” in Brussels	EMIR
25	The Executive Director of ESMA, Verena Ross, meets with EBF Deputy Chief Executive, Robert Priester	ESMA Developments, Stakeholder engagement, EMIR, Mifid
25	The Executive Director of ESMA, Verena Ross, speaks at a British Chamber of Commerce in Belgium briefing	ESMA developments
29	The Executive Director of ESMA, Verena Ross, meets with Jean-François Boulter, CEO Aviva Investors France	Investment management issues
<u>May</u>		
14	The Chair of ESMA, Steven Maijor, participates in a meeting of Chairs of EU decentralised agencies	



15	The Chair of ESMA, Steven Maijor, meets with representatives of Amundi	ESMA developments, regulatory reform in asset management
15	The Chair of ESMA, Steven Maijor meets with Paul Koster, Senior advisor Governor's Office, Dubai International Financial Centre	
16	The Chair of ESMA, Steven Maijor, meets with Philippe Maystadt, Special Adviser to enhance EU's role in promoting high quality accounting standards	
17	The Executive Director of ESMA, Verena Ross, meets with the Joint Trade Association Group in London	ESMA Developments, Stakeholder engagement, work programme 2013-2014, my ESMA work streams
23	The Chair of ESMA, Steven Maijor, meets with representatives of the Irish Auditing & Accounting Supervisory Authority in Dublin.	
24	The Chair of ESMA, Steven Maijor, interviewed by Mlex (BE) and the Wall Street Journal (BE)	EMIR, equivalency, Regulatory developments
24	The Chair of ESMA, Steven Maijor, speaks at the ESFS Open Hearing, at the European Commission in Brussels.	Review of the European System of Financial Supervision Presentation - ESAs—Achievement and Challenges
29	The Chair of ESMA, Steven Maijor, is interviewed by Portuguese TV Diario Economico, Dinheiro Vivo, Lusa, Jornal de Negócios, Reuters and Bloomberg in Lisbon.	ESMA and its role in securities markets
<u>June</u>		
7	The Chair of ESMA, Steven Maijor interviewed by BBC Radio 4	ESMA and benchmarks



	“Today” (UK) and Het Financieele Dagblad (NL).	
10	The Executive Director of ESMA, Verena Ross, meets with Federal Reserve Bank of New York, New York	Trade Repository supervision
10	The Executive Director of ESMA, Verena Ross, meets with a CRA	CRA supervision
10	The Executive Director of ESMA, Verena Ross, meets with a CRA	CRA supervision
11	The Executive Director of ESMA, Verena Ross, speaks at FINRA’s International Advisory Working Group, New York	ESMA Developments, Work programme, EMIR, third country issues, ESFS review, Stakeholder Engagement
11	The Executive Director of ESMA, Verena Ross, meets with CFTC staff, Washington.	OTC Derivatives, AIFMD MOU, Trade Repository supervision
12	The Executive Director of ESMA, Verena Ross, meets with US SEC staff, Washington.	OTC Derivatives, AIFMD MOU, CRA supervision, Accounting
12	The Executive Director of ESMA, Verena Ross, meets with Ceyla Pazarbasioglu of IMF, Washington.	ESMA, ESFS Review, IMF FSAP, OTC Derivatives
12	The Executive Director of ESMA, Verena Ross, meets with US Treasury staff, Washington.	ESMA Developments, OTC Derivatives, Benchmarks
13	The Chair of ESMA, Steven Maijor, meets with representatives of BATS-Chi-X	ESMA developments, secondary markets
18	The Chair of ESMA, Steven Maijor, meets with Judith Hardt of FESE	ESMA developments, secondary markets
19	The Chair of ESMA, Steven Maijor, speaks at the ISLA (International	Keynote Speech - ISLA 22nd Annual Conference, Prague



	Securities Lending Association) Annual Conference, Prague	
19	The Chair of ESMA, Steven Maijor, meets with representatives of the Czech National Bank, Prague.	
24	The Chair of ESMA, Steven Maijor, gives an interview to The Economist	ESMA and its work
27	The Chair of ESMA, Steven Maijor, speaks at the FESE Annual Conference, Berlin	Keynote Speech - FESE Convention 2013, Berlin
28	The Chair of ESMA, Steven Maijor, speaks at the EVCA Conference, Istanbul.	
July		
2	The Chair of ESMA, Steven Maijor, meets with representatives of Af2i	ESMA developments, regulatory reforms in asset management
3	The Chair of ESMA, Steven Maijor, meets with Ramon Fernandez, Directeur Général du Trésor	
3	The Executive Director of ESMA, Verena Ross, meets with a CRA	CRA supervision
9	The Executive Director of ESMA, Verena Ross, spoke at Institutional Investor's 7th Annual OTC Derivatives Summit in Surrey, United Kingdom.	
10	The Chair of ESMA, Steven Maijor, meets with MEPs Olle Schmidt, Juergen Klute, Sharon Bowles, Kay Swinburne, Elisa Ferreira and Jean-Paul Gauzès	



11	The Chair of ESMA, Steven Maijoor, meets with Steven Haddrill, Financial Reporting Council	
11	The Chair of ESMA. Steven Maijoor, speaks at the conference on Britain, the EU and the Single Market in Financial Services, London	
12	The Chair of ESMA, Steven Maijoor, attended the FSB Roundtable on Risk Disclosure in Basel	
15	The Chair of ESMA, Steven Maijoor, meets Fabrice Demarigny, Mazars	Evaluatoin of ESFS
15	The Executive Director of ESMA, Verena Ross, meets with David Wright, Secretary General of IOSCO, Madrid.	IOSCO/ESMA cooperation, third country issues, benchmarks, OTC derivatives,
15	The Executive Director of ESMA, Verena Ross, meets with Jose Manuel Gonzalez Paramo, Head of Regulation BBVA, Madrid.	ESMA Developments and Priorities, EMIR, third country issues, benchmarks
15	The Executive Director of ESMA, Verena Ross, meets with the Asociación de Mercados Financieros, Madrid.	ESMA Developments, Work programme and priorities, EMIR, SSR
15	The Executive Director of ESMA, Verena Ross, meets with Jaime Ponce, Deputy Director of Spanish Treasury, Madrid.	ESMA Developments, ESFS review
16	The Chair of ESMA, Steven Maijoor, gives and interview to EVCA	
17/18	The Chair of ESMA, Steven Maijoor, meets with representatives of the Ministry of Finance, National Bank and external stakeholders in Slovenia	
18	The Chair of ESMA, Steven Maijoor, is interviewed by Slovenian TV	ESMA and Credit rating agencies



22	The Executive Director of ESMA, Verena Ross, attends the BBA Annual Banking Dinner, London.	
24	The Executive Director of ESMA, Verena Ross, meets with Rich Cordray, Director of the US Consumer Financial Protection Bureau	Consumer protection + consumer education
<u>August</u>		
7	The Executive Director of ESMA, Verena Ross, meets with Mr Dr. Holle of the Finance Ministry in Berlin	ESMA developments, FSC, ESFS Review.
19-21	The Chair of ESMA, Steven Maijoor, speaks at the Salzburg Global Seminar on Financial Regulation.	
<u>September</u>		
12	The Executive Director of ESMA, Verena Ross, meets with Mr Magnus Billing, President NASDAQ OMX Stockholm	Meeting in margin of Eurofi. EMIR, Mifid , Market structure.
12	The Executive Director of ESMA, Verena Ross, meets with Ms Perrine Herrenschildt, LCH Clearnet	Meeting in margin of Eurofi EMIR, 3 rd countries issues.
13	The Executive Director of ESMA, Verena Ross, meets with Mr Thierry Philipponnat, Secretary General & Mr Joost Mulder, Head of Public Affairs	Meeting in margin of Eurofi ESMA developments, ESFS Review, Mifid.
18	The Chairman of ESMA, Steven Maijoor and the Executive Director of ESMA, Verena Ross, meet with Commissioner O Malia	Meeting in the margin of IOSCO. EMIR, Dodd-Frank-Act, 3 rd countries issues.



18	The Executive Director of ESMA, Verena Ross, meets with Mr Kostas Pantazopoulos - G.Sachs	Meeting in the margin of IOSCO. EMIR, 3 rd countries issues.
18	The Executive Director of ESMA, Verena Ross, meets with Mr Muller KPMG Luxembourg	Meeting in the margin of IOSCO. ESMA developments, AIFMD, Mifid.
18	The Chair of ESMA, Steven Maijor, interviewed by Börsen-Zeitung(DE) and the Wall Street Journal (BE)	ESMA issues
30	The Chair of ESMA, Steven Maijor, interviewed by Het Financieele Dagblad (NL) and Mlex (BE)	EMIR
<u>October</u>		
1	The Chair of ESMA, Steven Maijor, speaks at the Annual bwf/ICMA Capital Markets conference	
3	The Executive Director of ESMA, Verena Ross, speaks at the 6th Conference of the AMF Sanctions Committee	
8	The Executive Director of ESMA, Verena Ross, speaks at the APCIMS Annual Conference in London	
9	The Executive Director of ESMA, Verena Ross meets with Sir David Tweedie - International Valuation Standards Council	ESMA developments, IUSC's organisation and work.
11	The Executive Director of ESMA, Verena Ross meets with Finbarr Hutcheson (LIFFE CEO) and Mr Laurence Walton re Benchmark	Benchmarks, LIBOR.



	administration	
17	The Chair of ESMA, Steven Maijoor, speaks at the BVCA Summit	
21	The Executive Director of ESMA, Verena Ross attends a dinner with Dubai Financial Services Authority's Chairman and Board	
22	The Executive Director of ESMA, Verena Ross meets with Thomas Hanke, journalist at Handelsblatt	ESMA Developments
24	The Executive Director of ESMA, Verena Ross speaks at Austrian Federal Economic Chamber and at FMA	
29	The Chair of ESMA, Steven Maijoor, interviewed by Financial News (UK)	
30	The Chair of ESMA, Steven Maijoor, gives an interview to Neue Zürcher Zeitung (CH)	Investor protection
<u>November</u>		
5	The Chair of ESMA, Steven Maijoor, speaks at the EFAMA Annual Conference	
5	The Executive Director of ESMA, Verena Ross meets with Mr Schakmann Fallis and Dr. Henning Bergmann (Head of Capital Markets) from Deutsche Sparkassen	ESMA Developments, Mifid, EMIR
11	The Chair of ESMA, Steven Maijoor, speaks at the Financial Reporting Outlook conference	



11	The Executive Director of ESMA, Verena Ross speaks at ICMA seminar in London	
15	The Executive Director of ESMA, Verena Ross, meets with a CRA	CRA supervision
18	The Chair of ESMA, Steven Maijor, meets with Michel Prada	
18	The Chair of ESMA, Steven Maijor, meets with Sénateur Richard Yung	Benchmarks
18	The Chair of ESMA, Steven Maijor, interviewed by Reuters (UK) and Bloomberg (UK)	IFRS Comparability
19	The Chair of ESMA, Steven Maijor, speaks at the Euro Finance Week conference	
19	The Chair of ESMA, Steven Maijor, interviewed by Börsen-Zeitung (DE)	EMIR, MiFID, MAR, Investor protection
27	The Chair of ESMA, Steven Maijor, meets with representatives of LCH Clearnet	
28	The Chair of ESMA, Steven Maijor, speaks at “Les entretiens de l’AMF”	
<u>December</u>		
2	The Chair of ESMA, Steven Maijor, interviewed by Reuters (UK), Bloomberg (UK), Les Echos (FR), the Wall Street Journal (UK), Agefi (FR), Handelsblatt (DE), Het Financieele Dagblad (NL), Financial	Credit rating agencies Sovereign Processes Report



	Times (UK), BNR Nieuwsradio (NL) and Financial News (UK).	
10	The Chair of ESMA, Steven Maijoor, meets with representatives from FINMA	
13	The Chair of ESMA, Steven Maijoor, speaks at the French Treasury Talks	