

### Declaration of Interests (DoI)

|                                 |                             |
|---------------------------------|-----------------------------|
| <b>First Name</b>               | Christopher                 |
| <b>Surname</b>                  | Woolard                     |
| <b>Authority / MS</b>           | Financial Conduct Authority |
| <b>Current ESMA involvement</b> | Board Observer              |

- ✓ I declare that I have read the Decision of the Board of Supervisors adopting a Policy on Independence and Decision-Making Processes for avoiding Conflicts of Interest (Conflict of Interest Policy) for Non-Staff (ESMA-22-328-402) of 29 January 2020 and that I am aware of my obligations.
- ✓ I do hereby declare on my honour that, to the best of my knowledge, the only interests<sup>1</sup> that create a Conflict of Interest<sup>2</sup> as defined in Article 1(2)(c) in respect of my activities which fall under ESMA's scope of action are those listed in the annex.
- ✓ Whenever I have a Conflict of Interest, I will alert ESMA.

|                                |                                 |
|--------------------------------|---------------------------------|
| <b>Date:</b><br><br>09/04/2020 | <b>Signature:</b><br><br>signed |
|--------------------------------|---------------------------------|

*Please send a signed copy of this form to ESMA's Ethics Officer at [ethics@esma.europa.eu](mailto:ethics@esma.europa.eu)*

<sup>1</sup> as defined in Article 1(2)(a) and (b)

<sup>2</sup> conflict of interest means a conflict between the public duty of ESMA and any interests of an individual, interests of his/her close family members or of his/her employer, which could improperly influence the performance of his/her official duties and responsibilities, including the duty to act in the sole interest of the Union as a whole, or could compromise his/her impartiality, objectivity or independence

**Annex to Declaration of Interests**

*In all cases, please provide as many details as possible (in the case of a body or employer, full name, location, private or public nature and your role, if interest of close family member, whether interest is current or was in the past 2 years).*

**Interests to be declared in accordance with Art 1(3) of the Conflict of Interest Policy**

| <b>Nature of interests</b>  | <b>Period (from /till)</b> | <b>Organisation</b> | <b>Subject matter/ Reasons why my independence is impaired</b> |
|---|----------------------------|---------------------|--|
| I. Holding of Financial Instrument  | 1.<br>2.                   |                     |  |
| II. Membership a managing body or equivalent structure or a trade association | 1.<br>2.                   |                     |  |
| III. Employment or Consultancy  | 1.<br>2.                   |                     |  |

**Interests to be declared in accordance with Art 1(4) of the Conflict of Interest Policy**

| <b>Nature of interests</b>   | <b>Period (from /till)</b> | <b>Organisation</b> | <b>Subject matter/ Reasons why my independence may be impaired</b> |
|--|----------------------------|---------------------|--|
| IV. Economic Interest  | 1.<br>2.                   |                     |  |
| V. Membership a managing body or equivalent structure or a trade association | 1.<br>2.                   |                     |  |
| VI. Employment or Consultancy  | 1.<br>2.                   |                     |  |
| VII. Intellectual Property Rights  | 1.<br>2.                   |                     |  |
| VIII. Other  | 1.<br>2.                   |                     |  |