



**Europass
Curriculum Vitae**

Personal information

Name, surname **BOBOC FLORENTINA**
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Nationality Romanian
Date of birth 03.04.1974
Marital status Married, 1 child

Work Experience

Employer name and address Financial Supervisory Authority (FSA)/ 15, Splaiul Independenței, Bucharest
Type/area of activity Capital market
Period 2015 - present
Job title Director, Regulation - Licensing Division

Main activities and responsibilities Coordinating the licensing and regulatory activity in relation to regulated entities (investment firms, management companies, collective investment undertakings, alternative investment fund managers, investment advisors, market operators, central depositories, central counterparties).

Other responsibilities:

- 2017 – present: member of the Supervisory Coordination Network;
- September 2011 – present: CNVM/FSA representative in the ESMA Investor Protection and Intermediaries Standing Committee;
- May 2012 – present: main CNVM/ASF representative in the IOSCO Committee on Regulation of Market Intermediaries (Committee 3)

Employer name and address Financial Supervisory Authority (FSA)/ 15, Splaiul Independenței, Bucharest
Type/area of activity Capital market
Period September 2014 - 2015
Job title Director, Licensing and Supervision of Regulated Entities Division

Main activities and responsibilities Coordinating the licensing and supervision activities in relation to regulated entities (investment firms, management companies, collective investment undertakings, alternative investment fund managers, investment advisors, market operators, system operators, central depositories, clearing houses, central counterparties, Investors Compensation Fund, branches of investment firms/credit institutions/management companies/ alternative investment fund managers).

Other responsibilities:

- September 2011 – present: CNVM/FSA representative in the ESMA Investor

Protection and Intermediaries Standing Committee;

- May 2012 – present: main CNVM/ASF representative in the IOSCO Committee on Regulation of Market Intermediaries (Committee 3)

Employer name and address Financial Supervisory Authority (FSA)/ 15, Splaiul Independenței, Bucharest

Type/area of activity Capital market

Period January 2014 – September 2014

Job title Deputy Director, Licensing and Supervision of Regulated Entities Division

Main activities and responsibilities Coordinating the activity of licensing/certification/registration of the regulated entities and monitoring of their compliance with the conduct rules (investment firms, management companies, collective investment undertakings, alternative investment fund managers, investment advisors, market operators, system operators, central depositories, clearing houses, central counterparties, Investors Compensation Fund, branches of investment firms/credit institutions/management companies/alternative investment fund managers).

Other responsibilities:

- September 2011 – present: CNVM/FSA representative in the ESMA Investor Protection and Intermediaries Standing Committee;

- May 2012 – present: main CNVM/ASF representative in the IOSCO Committee on Regulation of Market Intermediaries (Committee 3)

Employer name and address Financial Supervisory Authority (FSA)/ 15, Splaiul Independenței, Bucharest

Type/area of activity Capital market

Period August 2013 – January 2014

Job title Deputy director by delegation, Licensing and Supervision of Regulated Entities Division

Main activities and responsibilities Coordinating the activity of licensing / certification / registration of the regulated entities and monitoring of their compliance with the conduct rules (investment firms, management companies, collective investment undertakings, alternative investment fund managers, investment advisors, market operators, system operators, central depositories, clearing houses, central counterparties, Investors Compensation Fund, branches of investment firms/credit institutions/management companies/ alternative investment fund managers).

Other responsibilities:

- September 2011 - March 2013: main CNVM expert in the negotiations within the EU Council regarding:

- the proposal for a Directive amending Directive 2004/39/EC on markets in financial instruments;
- the proposal for a Regulation on markets financial instruments (MiFIR).

Employer name and address Financial Supervisory Authority (FSA)/ 15, Splaiul Independenței, Bucharest

Type/area of activity Capital market

Period November 2005 – August 2013

Job title Director - Licensing Division, Licensing and Regulation General Division

Main activities and responsibilities > Coordinating the activity of licensing/ certification/registration of the regulated entities and monitoring of their compliance with the conduct rules (investment

firms, management companies, collective investment undertakings, alternative investment fund managers, investment advisors, market operators, system operators, central depositories, clearing houses, central counterparties, Investors Compensation Fund, branches of investment firms/credit institutions/management companies/ alternative investment fund managers;

- Coordinating the process of approval of amendments in the organisation and functioning of the abovementioned entities and coordinating the monitoring of compliance with the licensing requirements by regulated entities.

Other responsibilities:

- July 2006 - February 2007 - CNVM representative within the CESR-Fin - CESR Standing Committee - committee responsible for oversight and implementation of financial reporting standards in Europe;
- December 2007 - December 2008 - CNVM representative within the group "3L3 - Cross Border Mergers and Acquisitions Task Force (CMATF)" whose objective was to facilitate the correct transposition of the Mergers and Acquisitions Directive into the Member States' national law;
- October 2006 - February 2009 CNVM representative within the CRD Transposition Group (CRDTG), whose objective was to ensure the correct transposition of the Capital Requirements Directives in the Member States' national law;
- 2006 - CNVM representative within the National Bank of Romania (BNR) - CNVM working group established for the implementation in the national legislation of the Capital Requirements Directives (Directive 2006/48/EC and Directive 2006/49/EC).

Employer name and address National Securities Commission (CNVM), 2, Foişorului Street, Bucharest

Type/area of activity Capital market

Period July 2004 - November 2005

Job title Director - Regulation Division, Licensing and Regulation General Division

Main activities and responsibilities Coordinating the process of drafting of CNVM regulations in application of Law no. 297/2004, namely: regulations on financial investment services, the activity of collective investment undertakings, management companies and depositories, market operators, regulated markets in financial instruments and alternative trading systems, central depositories and clearing houses, Investors Compensation Fund, issuers and market operations; member in various working groups.

Employer name and address National Securities Commission (CNVM), 2, Foişorului Street, Bucharest

Type/area of activity Capital market

Period February 2003 – July 2004

Job title Director - Intermediaries Division, Capital Market Department

Main activities and responsibilities Coordinating the activity of licensing, supervision and regulation of intermediaries

Employer name and address National Securities Commission (CNVM), 2, Foişorului Street, Bucharest

Type/area of activity Capital market

Period September 2002 – February 2003

Job title Head of Office - Intermediaries Division, Capital Market Department

Main activities and responsibilities

- Coordinating the activity of licensing, supervision and regulation of intermediaries
- Analysing the documents submitted for authorization process and drafting of

analysis notes regarding the decision on licensing of intermediaries, approval of amendments in the organisation and functioning of such entities

- Supervising the ongoing activity of intermediaries

Employer name and address National Securities Commission (CNVM), 2, Foişorului Street, Bucharest

Type/area of activity Capital market

Period June 2001 – September 2002

Job title Head of Office - Financial Intermediation Division, Licensing and Regulation Department

- Main activities and responsibilities
- Coordinating the activity of licensing, supervision and regulation of intermediaries
 - Analysing the documents submitted for authorisation, drafting of analysis notes regarding the decision on licensing of intermediaries, approval of amendments in the organisation and functioning of such entities
 - Supervising the activity of intermediaries
 - Drafting of regulations in the field of intermediaries
 - Member in various working groups set up to elaborate the regulations applicable to intermediaries

Employer name and address National Securities Commission (CNVM), 2, Foişorului Street, Bucharest

Type/area of activity Capital market

Period February 1998 – June 2001

Job title Capital markets regulator, Markets Regulation and Financial Intermediation Division

- Main activities and responsibilities
- Analysis of the files submitted by intermediaries in order to obtain the authorization, drafting of notes presented to the members of the Commission Board, supervision of compliance by intermediaries with the minimum net capital requirements calculated according to their financial reports and with the requirements imposed upon licensing

Employer name and address National Securities Commission (CNVM), 2, Foişorului Street, Bucharest

Type/area of activity Capital market

Education and training

Education

Period September 2004 – April 2006

Qualification / diploma obtained Master's degree

Principal subjects / Occupational skills "Economics and European Finance" (EUREF)

Name and type of education / training provider **Bucharest University of Economic Studies**, Master's degree jointly organized by the Faculty of Finance Insurance, Banking and Stock Exchanges and the Faculty of International Economic Relations

Period 1993 – 1997

Qualification / diploma obtained Economist

Principal subjects / Occupational skills Banking and Stock Exchanges

Graduation paper: "The Romanian Financial Market"

Name and type of education / training provider Bucharest University of Economic Studies –The Faculty of Finance, Insurance, Banking and Stock Exchanges

- Additional courses**
- June 25-29, 2012: Course Mortgage market and debt securitization, Joint Vienna Institute and Institute for Capacity Development - Certificate of participation
 - May 2005 - study visit to Consob Italy, the module "Supervision of regulated markets and alternative trading systems"
 - May 2003 - study visit to Consob Italy and the Bank of Italy in Milan and Rome, "Supervision of intermediaries"
 - April 2003 - study visit to Consob Italia in Milan, module "Market manipulation"
 - August 1998 - "Control techniques and public offer of securities", organized by Phare
 - July 1998 - "European practices and experiences in the field of regulation, supervision and control", organized by Denton Hall
 - Lecturer at the Conference on "Control, supervision of the capital market and prevention of money laundering", organized by USAID and CNVM
 - Lecturer at the Seminar on Capital adequacy organized by the Romanian Brokers Association

Personal Skills

Mother tongue	Romanian				
Other language(s)	English				
Self-assessment	Understanding		Speaking		Writing
<i>European level</i>	Listening	Reading	Spoken interaction	Spoken production	Written expression
English	C2	C2	C1	C1	C2

Social skills and competences Team spirit, increased adaptability, seriousness, communication ability

Organisational/Managerial Skills Leadership skills, synthesis and analysis skills, coordination skills, self-improvement skills

Computational skills Microsoft Word, Microsoft Excel, Microsoft PowerPoint