

Declaration of Interests (Dol)

| First Name | Tanya |
|--------------------------|---|
| Surname | Pieters-Gorissen |
| Authority / MS | Netherlands Authority for the Financial Markets |
| Current ESMA involvement | Member CCP-SC |

| I declare that I have read the Decision of the Board of Supervisors adopting a Policy on Independence and Decision-Making Processes for avoiding Conflicts of Interest (Conflict of Interest Policy) for Non-Staff (ESMA40-134-3263) of 7 July 2022 and that I am aware of my obligations. |
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| I do hereby declare on my honour that, to the best of my knowledge, the only interests ¹ that create a Conflict of Interest ² as defined in Article 1(2)(c) in respect of my activities which fall under ESMA's scope of action are those listed in the annex. |
| Whenever I have a Conflict of Interest, I will alert ESMA. |

| | | and the same | R |
|--------------|------------|--------------|---|
| Date:30/1/23 | Signature: | signed | |

Please send a signed copy of this form to ESMA's **Ethics** Officer ethics@esma.europa.eu.

¹ as defined in Article 1(2)(a) and (b) ² conflict of interest means a conflict between the public duty of ESMA and any interests of an individual, interests of his/her close family members or of his/her employer, which could improperly influence the performance of his/her official duties and responsibilities, including the duty to act in the sole interest of the Union as a whole, or could compromise his/her impartiality, objectivity or independence



Annex to Declaration of Interests

In all cases, please provide as many details as possible (in the case of a body or employer, full name, location, private or public nature and your role, if interest of close family member, whether interest is current or was in the past 2 years).

Interests to be declared in accordance with Art 1(3) of the Conflict of Interest Policy

| Nat | ure of interests | Period (from /till) | Organisation | Subject matter/ Reasons why my independence is impaired |
|------|-------------------|------------------------|--------------|---|
| I. | Holding o | 1. | | |
| | Financial | 2. | | |
| | Instrument | | | |
| II. | Membership a | ι 1. | | |
| | managing body o | 2. | | |
| | equivalent | | | |
| | structure or a | 1 | | |
| | trade association | | | |
| III. | Employment o | 1. | | |
| | Consultancy | 2. | | |

Interests to be declared in accordance with Art 1(4) of the Conflict of Interest Policy

| Nature of interests | Period (from /till) | Organisation | Subject matter/ Reasons why my independence may be impaired |
|---------------------|------------------------|--------------|---|
| IV. Economic | 1. | | |
| Interest | 2. | | |
| V. Membership a | 1. | | |
| managing body or | 2. | | |
| equivalent | | | |
| structure or a | | | |
| trade association | | | |
| VI. Employment or | 1. | | |
| Consultancy | 2. | | |
| VII. Intellectual | 1. | | |
| Property Rights | 2. | | |
| VIII. Other | 1. | | |
| | 2. | | |