

# List of third-country central counterparties recognised to offer services and activities in the Union

The central counterparties (CCPs) established in a third country listed below have been recognised to offer services and activities in the Union in accordance with Regulation (EU) No 648/2012 of the European Parliament and of the Council of 4 July 2012 on OTC derivatives, central counterparties and trade repositories (EMIR).

The tables below are published by ESMA in accordance with Article 88(1) of EMIR.

**Table 1: List of CCPs established in a third country that have been recognised to offer services and activities in the Union**

id	Name of the CCP	CCP short name	Identification Code of CCP (LEI)	Country of establishment	Date of recognition	Date of last review	Tier	Comments
1	ASX Clear (Futures) Pty Limited	ASXF	549300ZD7BBOVZVHK49	Australia	27 April 2015	21 July 2023	Tier 1	
2	ASX Clear Pty Limited	ASX	549300JQL1BXTGCCGP11	Australia	27 April 2015	21 July 2023	Tier 1	
3	Hong Kong Securities Clearing Company Limited	HKSCC	213800NM8ZN1F16ARD34	Hong Kong	27 April 2015	18 March 2022	Tier 1	
4	HKFE Clearing Corporation Limited	HKFE	213800WPJUJBAXI5162	Hong Kong	27 April 2015	18 March 2022	Tier 1	
5	OTC Clearing Hong Kong Limited	OTCHK	213800CKBBZUAHHARH83	Hong Kong	27 April 2015	18 March 2022	Tier 1	
6	The SEHK Options Clearing House Limited	SEOCH	213800NAOHHKRD9IHE35	Hong Kong	27 April 2015	18 March 2022	Tier 1	
7	Japan Securities Clearing Corporation <sup>1</sup>	JSCC	549300JHM7D8P3TS4S86	Japan	27 April 2015	26 May 2023	Tier 1	
8	Tokyo Financial Exchange	TFX	353800016BHKLPQSY33	Japan	27 April 2015	9 March 2022	Tier 1	
9	Central Depository (Pte) Limited	CDP	549300CMH3J8ASUM8N29	Singapore	27 April 2015	8 March 2022	Tier 1	
10	Singapore Exchange Derivatives Clearing	SGXDC	549300ZLWT3FK3F0FW61	Singapore	27 April 2015	8 March 2022	Tier 1	
11	ICE Clear Singapore	ICSG	549300FKHU9M1PAGIO86	Singapore	24 September 2015	8 March 2022	Tier 1	

<sup>1</sup> Following the JSCC-JCCH integration on 27 July 2020, JSCC, as the surviving company, has absorbed all existing JCCH clearing services.

	JSE Clear <sup>2</sup>	JSEC	213800PJDCEXAVMM3J32	South Africa	27 January 2016	9 August 2022	Tier 1
	ICE Clear Canada <sup>3</sup>	ICECA	549300MDWJV6LDHP3U32	Canada	27 January 2016	n/a	n/a
12	ICE NGX Canada Inc. <sup>4</sup>	NGX	549300MZWL9C8T4VI12	Canada	27 January 2016	8 March 2022	Tier 1
13	Canadian Derivatives Clearing Corporation	CDCC	5493004XJK1P32XQLA57	Canada	27 January 2016	8 March 2022	Tier 1
14	Asigna Compensacion y Liquidacion	ACYL	549300T5G56HZH1I6F15	Mexico	27 January 2016	8 March 2022	Tier 1
15	SIX x-clear AG	SIXX	5493000C6JWJSISPU377	Switzerland	23 March 2016	9 March 2022	Tier 1
16	Korea Exchange, Inc.	KRX	549300TJ3RRV6Q1UEW14	South Korea	22 April 2016	9 March 2022	Tier 1
17	Chicago Mercantile Exchange, Inc.	CME	SNZ2OJLFK8MNNCLQOF39	United States of America	13 June 2016	8 March 2022	Tier 1
18	ICE Clear Credit LLC	ICC	T33OE4AS4QXXS2TT7X50	United States of America	28 September 2016 <sup>5</sup>	8 March 2022	Tier 1
19	Minneapolis Grain Exchange, Inc.	MGEX	549300RGCVWZUN04IA69	United States of America	28 September 2016	8 March 2022	Tier 1
20	ICE Clear US, Inc.	ICUS	549300HWWR1D8OTS2G29	United States of America	14 December 2016	9 March 2022	Tier 1
21	National Securities Clearing Corporation	NSCC	549300RYC9NELN2ICA34	United States of America	8 March 2022	23 November 2022	Tier 1

<sup>2</sup> The recognition of JSE Clear ('JSEC'), TC-CCP from South Africa, was withdrawn by decision of ESMA's Board of Supervisors of 25 September 2023, effective as of 29 December 2023, due to the inclusion by the European Commission of South Africa to the table in point I of the Annex to the AML Delegated Regulation as being identified as a third-country having strategic deficiencies in its national anti-money laundering and counter financing of terrorism regime that poses significant threats to the financial system of the Union.

<sup>3</sup> In light of the Manitoba Securities Commission's revocation of ICE Clear Canada's designation as a clearing house, the recognition of ICE Clear Canada as a third-country CCP was withdrawn on 11 July 2019 under Chapter 4 of Title III of EMIR and entered into force on 24 July 2019.

<sup>4</sup> ICE NGX Canada Inc. was recognised under the name Natural Gas Exchange Inc. and changed name on 16.04.2018.

<sup>5</sup> ICE Clear Credit LLC was initially recognised on 28 September 2016 for the CFTC-supervised part of its business; following the review of recognition on 8 March 2022, ICE Clear Credit LLC was recognised for the entirety of its business (both CFTC-supervised and SEC-supervised).

	Dubai Commodities Clearing Corporation <sup>6</sup>	DCCC	549300958ME22EPI3U08	United Arab Emirates	29 March 2017	18 March 2022	Tier 1
	The Clearing Corporation of India Ltd <sup>7</sup>	CCIL	335800CNVQFGRCP1PR55	India	29 March 2017	31 October 2022	n/a
	Nasdaq Dubai Ltd <sup>8</sup>	NDL	213800QL3V1PYPQMLU38	Dubai International Financial Centre	29 March 2017	18 March 2022	Tier 1
	Japan Commodity Clearing House Co., Ltd. <sup>9</sup>	JCCH	353800014689ADHKNO82	Japan	29 March 2017	n/a	n/a
22	B3 <sup>10</sup>	B3 <sup>11</sup>	4GTK5S46E6H318LMDS44	Brazil	29 March 2017	9 March 2022	Tier 1
23	Nodal Clear, LLC	NCL	549300LU7QHVE6ILLC47	United States of America	29 March 2017	8 March 2022	Tier 1
24	New Zealand Clearing Limited	NZX	549300P2ZLEW2OKT5733	New Zealand	24 May 2017	21 July 2023	Tier 1
	Indian Clearing Corporation Limited <sup>6</sup>	ICCL	335800EV4FPEFRWNVX08	India	27 September 2017	31 October 2022	n/a
	NSE Clearing Limited <sup>6</sup>	NSCCL	2138003214435KV3SI18	India	27 September 2017	31 October 2022	n/a
	Metropolitan Clearing Corporation of India Limited	MCX-SXCCL	335800QRNLKAHGA1BL68	India	27 September 2017	n/a	n/a
	India International Clearing Corporation (IFSC) Limited <sup>6</sup>	IICC	33580091N184YGWNU567	India	6 May 2019	31 October 2022	n/a

<sup>6</sup> The recognition of Dubai Commodities Clearing Corporation ('DCCC') from the United Arab Emirates was withdrawn by decision of ESMA's Board of Supervisors of 21 July 2023, effective as of 6 February 2024, due to the inclusion by the European Commission of the United Arab Emirates to the table in point I of the Annex to the AML Delegated Regulation **as being identified as a third-country having strategic deficiencies in its national anti-money laundering and counter financing of terrorism regime that poses significant threats to the financial system of the Union.**

<sup>7</sup> The recognitions of six Indian CCPs, namely The Clearing Corporation of India Ltd, Indian Clearing Corporation Limited, NSE Clearing Limited, India International Clearing Corporation (IFSC) Limited, NSE IFSC Clearing Corporation Limited and Multi Commodity Exchange Clearing Corporation Limited, were withdrawn by decisions of ESMA's Board of Supervisors of 31 October 2022, effective as of 30 April 2023.

<sup>8</sup> The recognition of Nasdaq Dubai Limited ('NDL') and Dubai Clear LLC ('DUBC'), two TC-CCPs from the United Arab Emirates, were withdrawn by decision of ESMA's Board of Supervisors of 21 July 2023, effective as of 25 October 2023, due to the inclusion by the European Commission of the United Arab Emirates to the table in point I of the Annex to the AML Delegated Regulation **as being identified as a third-country having strategic deficiencies in its national anti-money laundering and counter financing of terrorism regime that poses significant threats to the financial system of the Union.**

<sup>9</sup> JCCH merged with Japan Securities Clearing Corporation (JSCC) on 27 July 2020, with JSCC being the surviving entity.

<sup>10</sup> B3 was recognised under the name BM&FBovespa S.A. and changed name on 30.03.2017.

<sup>11</sup> Previously BMFB

	NSE IFSC Clearing Corporation Limited <sup>6</sup>	NICCL	3358008BK48AHRZV4U98	India	24 June 2019	31 October 2022	n/a	
	Multi Commodity Exchange Clearing Corporation Limited <sup>6</sup>	MCXCCL	254900PJ8P0BANFMCE45	India	3 December 2019	31 October 2022	n/a	
25	LCH Limited <sup>12</sup>	LCH	F226TOH6YD6XJB17KS62	United Kingdom	1 January 2021	n/a	Tier 2	
26	ICE Clear Europe Limited <sup>13</sup>	ICEU	5R6J7JCQRIPQR1EEP713	United Kingdom	1 January 2021	25 April 2023	Tier 2	<b>Closure of CDS clearing service as of 28 Oct 2023</b>
27	LME Clear Limited <sup>14</sup>	LMEC	213800L8AQD59D3JRW81	United Kingdom	1 January 2021	n/a	Tier 1	
28	Options Clearing Corporation	OCC	549300CII6SLYGKNA04	United States of America	27 June 2022	n/a	Tier 1	
29	Fixed Income Clearing Corporation	FICC	549300H47WTHXPU08X20	United States of America	27 June 2022	n/a	Tier 1	
30	ComDer Contraparte Central	CDER	549300OO5RDECUYL6P03	Chile	8 August 2022	n/a	Tier 1	
31	Shanghai Clearing House	SHCH	3003009OCN1WA6ED1054	People's Republic of China	27 September 2022	n/a	Tier 1	
	Dubai Clear LLC <sup>7</sup>	DUBC	254900QDS9JCFT3WQV28	United Arab Emirates	27 September 2022	n/a	Tier 1	
32	Bursa Malaysia Derivatives Clearing Berhad	BMDC	549300RY3Q0YLHMEXM25	Malaysia	7 November 2022	n/a	Tier 1	
33	Taiwan Futures Exchange Corporation	TAIFEX	549300J778QS7XTS7440	Taiwan	31 December 2022	n/a	Tier 1	
34	Cámara de Riesgo Central de Contraparte de Colombia S.A.	CRCC	254900ZOYULOJ1V1KD30	Colombia	10 February 2023	n/a	Tier 1	

<sup>12</sup> LCH Limited became a recognised third-country CCP on the date following that on which the Treaties cease to apply to and in the United Kingdom pursuant to Article 50(3) of the Treaty on European Union (i.e. on the day after the end of the Brexit transitional period).

<sup>13</sup> ICE Clear Europe Limited became a recognised third-country CCP on the date following that on which the Treaties cease to apply to and in the United Kingdom pursuant to Article 50(3) of the Treaty on European Union (i.e. on the day after the end of the Brexit transitional period).

<sup>14</sup> LME Clear Limited became a recognised third-country CCP on the date following that on which the Treaties cease to apply to and in the United Kingdom pursuant to Article 50(3) of the Treaty on European Union (i.e. on the day after the end of the Brexit transitional period).

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35	Tel-Aviv Stock Exchange Clearing House Ltd	TASE-CH	213800WG3A9RJ78EGT48	Israel	13 March 2023	n/a	Tier 1
36	PT Kliring Penjaminan Efek Indonesia	KPEI	2549003ZAGGN05O7X242	Indonesia	19 October 2023	n/a	Tier 1

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**Table 2: Classes of financial instruments covered by the CCP's recognition<sup>15</sup>**

Please refer to Table 3 below for more information on the definition of the classes			ASX F	ASX	HK SC C	HKF E	OT CH K	SE OC H	JSC C	TFX	CD P	SG XD C	ICS G	
MIFID II financial instruments	Securities (financial instruments referred to in points 1, 2 and 3 of Section C of Annex I of MiFID II)	Equity	OTC bilateral <sup>16</sup>											
			TV <sup>17</sup>		X	X				X		X		
	Debt	OTC bilateral							X					
		TV		X	X				X		X			
	Derivatives (financial instruments referred to in points 4 to 10 of Section C of Annex I of MiFID II)	Equity	OTC bilateral <sup>18</sup>		X									
			OTC third country exchange <sup>15</sup>				X		X					
			RM <sup>19</sup>	X	X					X	X	X	X	X
		Debt	OTC bilateral											
			OTC third country exchange				X							
			RM							X				
		Interest Rate	OTC bilateral	X				X		X				
			OTC third country exchange				X							
			RM	X						X	X		X	
		Inflation Rate	OTC bilateral											
			OTC third country exchange											
			RM											
		Credit	OTC bilateral							X				
			OTC third country exchange											
			RM											
		Currencies	OTC bilateral					X						
			OTC third country exchange				X							
			RM								X		X	X
		Commodities	OTC bilateral										X	
			OTC third country exchange				X							
			RM	X						X			X	X
		Emission/ Climatic	OTC bilateral											
			OTC third country exchange											
			RM											
	Freight	OTC bilateral										X		

<sup>15</sup> In accordance with Article 25(5) of Regulation (EU) No 648/2012 (EMIR), ESMA shall, after consulting the authorities and entities referred to in Article 25(3) of EMIR, review the recognition of the CCP where the CCP intends to extend or reduce the range of its activities and services in the Union, in which case the CCP shall inform ESMA thereof submitting all necessary information, and in any case at least every five years. That review shall be conducted in accordance with paragraphs 2 to 4 of Article 25 of EMIR. ESMA may withdraw the recognition of the CCP where the conditions set out in Article 25 of EMIR are no longer met and in the circumstances set out in Article 25p of EMIR.

Securities/Emission Allowances/Repo/Securities Lending/Spot Contracts:

<sup>16</sup> Over The Counter (OTC) bilateral: the execution of the instrument does not take place on a multilateral trading venue (e.g. an exchange).

<sup>17</sup> Trading Venue (TV): the execution of the instrument takes place on an EU or non-EU multilateral trading venue (e.g. an exchange).

Derivatives:

<sup>18</sup> Over The Counter (OTC) Derivative (as defined in Article 2(7) of Regulation (EU) No 648/2012 (EMIR)): a derivative contract the execution of which does not take place on a regulated market within the meaning of Article 4(1)(21) of Directive 2014/65/EU (MiFID II) or on a third-country market considered to be equivalent to a regulated market in accordance with Article 2a of EMIR:

— OTC bilateral: the execution of the derivative does not take place on a regulated market as within the meaning of Article 4(1)(21) of MiFID II or on a third country market not considered as equivalent to a regulated market in accordance with Article 2a of EMIR;

— OTC third-country exchange: the execution of the derivative takes place on a third country market not considered as equivalent to a regulated market in accordance with Article 2a of EMIR.

<sup>19</sup> Regulated Market (RM): the execution of the derivative takes place on a regulated market as within the meaning of Article 4(1)(21) of Directive 2014/65/EU (MiFID II) or on a third country market considered as equivalent to a regulated market in accordance with Article 2a of Regulation (EU) No 648/2012 (EMIR).





**Table 2 (continued): Classes of financial instruments covered by the CCP's recognition**

Please refer to Table 3 below for more information on the definition of the classes				JS EG 20	IC E CA	NG X	CD CC	AC YL	SIX X	KR X	CM E	IC C	MG EX	IC US		
MiFID II financial instruments	<b>Securities</b> (financial instruments referred to in points 1, 2 and 3 of Section C of Annex I of MiFID II)	Equity	OTC bilateral													
			TV					X	X							
		Debt	OTC bilateral				X									
			TV						X	X						
	<b>Derivatives</b> (financial instruments referred to in points 4 to 10 of Section C of Annex I of MiFID II)	Equity	OTC bilateral				X									
			OTC third country exchange	X				X		X						
			RM				X					X		X	X	
		Debt	OTC bilateral							X						
			OTC third country exchange							X						
			RM									X				
		Interest Rate	OTC bilateral							X		X	X			
			OTC third country exchange	X						X		X				
			RM					X					X			
		Inflation Rate	OTC bilateral													
			OTC third country exchange													
			RM													
		Credit	OTC bilateral											X		
			OTC third country exchange													
			RM													
		Currencies	OTC bilateral										X			
			OTC third country exchange	X						X		X				
			RM					X					X			X
		Commodities	OTC bilateral			X							X			
			OTC third country exchange	X								X	X			
			RM				X						X		X	X
		Emission/ Climatic	OTC bilateral													
			OTC third country exchange													
			RM										X			
		Freight	OTC bilateral													
			OTC third country exchange													
			RM									X				
		Crypto	OTC bilateral													
			OTC third country exchange													
			RM												X	X
	<b>Emission Allowances</b> (financial instruments referred to in point 11 of Section C of Annex I of MiFID II)		OTC bilateral													
			TV													
	Other	<b>Repo/Securities lending</b>	Debt/ Equity	OTC bilateral				X								
				TV												
		<b>Spot Contracts</b>		OTC bilateral												
				TV												
		<b>Crypto assets (other than derivatives)</b>		OTC bilateral												
				TV												

<sup>20</sup> Recognition of JSE Clear withdrawn as of 29 December 2023

**Table 2 (continued): Classes of financial instruments covered by the CCP's recognition**

Please refer to Table 3 below for more information on the definition of the classes				DC CC 21	CC IL	NDL <sup>22</sup>	B3 <sup>23</sup>	NC L	NZ X	IC GL	NS CC L	MG X- SX CC L	HC G		
MiFID II financial instruments	Securities (financial instruments referred to in points 1, 2 and 3 of Section C of Annex I of MiFID II)	Equity	OTC bilateral												
			TV			X	X		X	X	X	X			
		Debt	OTC bilateral		X						X		X		
			TV		X	X	X			X	X	X	X	X	
	Derivatives (financial instruments referred to in points 4 to 10 of Section C of Annex I of MiFID II)	Equity	OTC bilateral				X								
			OTC third country exchange	X			X		X	X	X	X	X		
			RM			X								X	
		Debt	OTC bilateral												
			OTC third country exchange									X		X	
			RM												
		Interest Rate	OTC bilateral		X		X								
			OTC third country exchange		X		X					X	X	X	
			RM												
		Inflation Rate	OTC bilateral												
			OTC third country exchange				X								
			RM												
		Credit	OTC bilateral												
			OTC third country exchange												
			RM												
		Currencies	OTC bilateral		X		X								
			OTC third country exchange	X	X		X					X	X		
			RM					X							X
		Commodities	OTC bilateral				X								
			OTC third country exchange	X			X								
			RM					X							X
		Emission/ Climatic	OTC bilateral												
			OTC third country exchange												
			RM					X							
		Freight	OTC bilateral												
			OTC third country exchange												
			RM												
		Crypto	OTC bilateral												
			OTC third country exchange												
			RM						X						
	Emission Allowances (financial instruments referred to in point 11 of Section C of Annex I of MiFID II)		OTC bilateral												
			TV												
	Other	Repo/Securities lending	Debt/ Equity	OTC bilateral		X									
				TV		X		X							
		Spot Contracts		OTC bilateral				X							
				TV				X							
		Crypto assets (other than derivatives)		OTC bilateral											
			TV												

<sup>21</sup> Recognition of DCCC withdrawn as of 6 February 2024

<sup>22</sup> Recognition of ND L withdrawn as of 25 October 2023

<sup>23</sup> Previously BMFB

**Table 2 (continued): Classes of financial instruments covered by the CCP's recognition**

Please refer to Table 3 below for more information on the definition of the classes				NI CC L	MC XC CL	LC H	ICE U	LM EC	NS CC	OC C	FIC C	CD ER	SH CH	
MIFID II financial instruments	<b>Securities</b> (financial instruments referred to in points 1, 2 and 3 of Section C of Annex I of MiFID II)	Equity	OTC bilateral			X								
			TV			X		X						
		Debt	OTC bilateral			X			X		X		X	
			TV			X								
	<b>Derivatives</b> (financial instruments referred to in points 4 to 10 of Section C of Annex I of MiFID II)	Equity	OTC bilateral			X								
			OTC third country exchange			X	X							
			RM	X		X			X					
		Debt	OTC bilateral			X						X <sup>24</sup>		X
			OTC third country exchange			X	X							
			RM							X				
		Interest Rate	OTC bilateral			X							X	X
			OTC third country exchange			X	X							
			RM			X				X				
		Inflation Rate	OTC bilateral			X							X	
			OTC third country exchange			X								
			RM											
		Credit	OTC bilateral				X <sup>25</sup>							X
			OTC third country exchange											
			RM											
		Currencies	OTC bilateral			X	X						X	X
			OTC third country exchange			X								
			RM	X						X				
		Commodities	OTC bilateral				X	X						X
			OTC third country exchange		*		X	X						
			RM	X			X			X				
		Emission/ Climatic	OTC bilateral											X
			OTC third country exchange				X							
			RM				X							
		Freight	OTC bilateral											X
			OTC third country exchange				X							
			RM											
		Crypto	OTC bilateral											
			OTC third country exchange											
			RM											
	<b>Emission Allowances</b> (financial instruments referred to in point 11 of Section C of Annex I of MiFID II)		OTC bilateral											
			TV											
	Other	<b>Repo/Securities lending</b>	Debt/ Equity	OTC bilateral			X			X	X	X		X
				TV			X				X			
		<b>Spot Contracts</b>		OTC bilateral										X
				TV										
		<b>Crypto assets (other than derivatives)</b>		OTC bilateral										
				TV										

<sup>24</sup> Mortgage-backed securities traded on To-Be-Announced basis ("TBAs")

<sup>25</sup> Closure of the CDS clearing service as of 28 October 2023

**Table 2 (continued): Classes of financial instruments covered by the CCP's recognition**

Please refer to Table 3 below for more information on the definition of the classes				DUB € <sup>26</sup>	BM DC	TAI FEX	CRC C	TASE- CH	KPEI
MiFID II financial instruments	<b>Securities</b> (Financial instruments referred to in points 1, 2 and 3 of Section C of Annex I of MiFID II)	Equity	OTC bilateral	X				X	
			TV	X		X	X	X	
		Debt	OTC bilateral	X					
			TV	X				X	X
	<b>Derivatives</b> (Financial instruments referred to in points 4 to 10 of Section C of Annex I of MiFID II)	Equity	OTC bilateral	X					
			OTC third country exchange			X	X		X
			RM	X	X				
		Debt	OTC bilateral						
			OTC third country exchange				X		X
			RM		X				
		Interest Rate	OTC bilateral			X	X		
			OTC third country exchange				X		
			RM		X				
		Inflation Rate	OTC bilateral						
			OTC third country exchange				X		
			RM						
		Credit	OTC bilateral						
			OTC third country exchange						
			RM						
		Currencies	OTC bilateral				X		
			OTC third country exchange			X	X		
			RM						
		Commodities	OTC bilateral	X					
			OTC third country exchange			X	X		
			RM	X	X				
		Emission/ Climatic	OTC bilateral						
			OTC third country exchange						
			RM						
		Freight	OTC bilateral						
			OTC third country exchange						
			RM						
		Crypto	OTC bilateral						
			OTC third country exchange						
			RM						
	<b>Emission Allowances</b> (Financial instruments referred to in point 11 of Section C of Annex I of MiFID II)		OTC bilateral						
			TV						
	Other	<b>Repo/Securities lending</b>	Debt/ Equity	OTC bilateral	X			X	X
				TV				X	
		<b>Spot Contracts</b>		OTC bilateral				X	
				TV				X	
		<b>Crypto assets (other than Derivatives)</b>		OTC bilateral					
				TV					

<sup>26</sup> Recognition of DUBC withdrawn as of 25 October 2023