**CSD Register**

Articles 21 and 58 of Regulation (EU) No 909/2014 (CSDR)

**Competent authorities shall send the information requested in the below tables in WORD format to** **CSDR.Notifications@esma.europa.eu****. The information provided will be published by ESMA in accordance with Article 21(3) and Article 58(2) of CSDR.**

 Article 21 - **CSD register**

*1.   Decisions taken by competent authorities under Articles 16, 19 and 20 shall be immediately communicated to ESMA.*

*2.   Central banks shall without undue delay inform ESMA of any securities settlement system that they operate.*

*3.   The* ***name******of each CSD*** *operating in compliance with this Regulation and to which* ***authorisation*** *or recognition has been granted pursuant to Article 16, 19 or 25 shall be entered in a register specifying the* ***services*** *and, where applicable,* ***classes of financial instruments*** *for which the CSD has been authorised. The register shall include* ***branches operated by the CSD in other Member States, CSD links and the information required under Article 31 where Member States have made use of the possibility provided for in that Article****. ESMA shall make the register available on its dedicated website and keep it up to date.*

*Article 58 -* ***CSD register***

*1. Decisions taken by competent authorities under Articles 54, 56 and 57 shall be notified to ESMA.*

*2. ESMA shall introduce in the register, that it is required to make available on its dedicated website in accordance with Article 21(3), the following information:*

*(a) the* ***name of each CSD*** *which was subject to a decision under Articles 54, 56 and 57;*

*(b) the* ***name of each******designated credit institution****;*

*(c) the* ***list of banking-type ancillary services*** *that a designated credit institution or a CSD authorised under Article 54 is authorised to provide for the CSD’s participants.*

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| **EEA CSDs (authorised under Art 16/Art 54 of CSDR) – general information** |
| --- |
| **CSD Home Member State** | **Competent Authority** | **CSD Name** | **LEI of CSD** | **Initial Authorisation,****Extension or Outsourcing of Activities and Services** |
| Date | Type of decision | **Core Services of central securities depositories****depositories** Section A of CSDR Annex | **Non-banking-type ancillary services of CSDs that do not entail credit or liquidity risks**Section B of CSDR Annex | **Banking-type ancillary services** Section C of CSDR Annex | If applicable, name of entity(ies) to which services oractivities are outsourced |
| [country code] |  | Commercial name (legal name) |  | (DD/MM/YYYY ) |  | * [Notary Service
* Central Maintenance Service
* Settlement Service]
 | **Ancillary services listed in Section B**:Services related to the Settlement Service1. (a) Organising a securities lending mechanism, as agent among participants of a securities settlement system;
2. (b) Providing collateral management services, as agent for participants in a securities settlement system;
3. (c) Settlement matching, instruction routing, trade confirmation, trade verification.

Services related to the notary and central maintenance services(a) Services related to shareholders’ registers;(b) Supporting the processing of corporate actions, including tax, general meetings and information services;(c) New issue services, including allocation and management of ISIN codes and similar codes;(d) Instruction routing and processing, fee collection and processing and related reporting.Establishing CSD links, providing, maintaining or operating securities accounts in relation to the settlement service, collateral management, other ancillary servicesAny other services(a) Providing general collateral management services as agent;(b) Providing regulatory reporting;(c) Providing information, data and statistics to market/census bureaus or other governmental or inter-governmental entities;(d) Providing IT services.**Ancillary services allowed but not explicitly listed in Section B:** | **Banking-type ancillary services listed in Section C:** (a) Providing cash accounts to, and accepting deposits from, participants in a securities settlement system and holders of securities accounts, within the meaning of point 1 of Annex I to Directive 2013/36/EU;(b) Providing cash credit for reimbursement no later than the following business day, cash lending to pre-finance corporate actions and lending securities to holders of securities accounts, within the meaning of point 2 of Annex I to Directive 2013/36/EU;(c) Payment services involving processing of cash and foreign exchange transactions, within the meaning of point 4 of Annex I to Directive 2013/36/EU;(d) Guarantees and commitments related to securities lending and borrowing, within the meaning of point 6 of Annex I to Directive 2013/36/EU;(e) Treasury activities involving foreign exchange and transferable securities related to managing participants’ long balances, within the meaning of points 7(b) and (e) of Annex I to Directive 2013/36/EU.**Banking-type ancillary services allowed but not listed in Section C:** |  |

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| **EEA CSDs – additional information on each CSD** |
| **[specify CSD Name - Home Member State]** |
| **Securities settlement system(s) operated by the CSD** | **Classes of financial instruments** | **CSD links** | **Designated credit institutions** | **Services** **provided in** **host Member States**  |
|  | Specify the type of financial instruments as referred to in Article 42(1)(d)(i) of the Commission Delegated Regulation (EU) 2017/392 | Name of the other CSDs involved in each link  | Specify for each link if the other CSDs involved in the link act as “receiving CSDs” or “requesting CSDs” | Type of link (standard link, indirect link, interopera-ble link) | Name of the credit institution(s) | Services provided (Annex to CSDR) | Host Member State | If applicable, name of the **branch** providing services  | Services provided (Annex to CSDR) | If applicable, the law referred to in Article 49(1) of CSDR of the host Member State |
|  | 1. transferable securities referred to in point (a) of Article 4(1)(44) of Directive 2014/65/EU,
2. sovereign debt referred to in Article 4(1)(61) of Directive 2014/65/EU,
3. transferable securities referred to in point (b) of Article 4(1)(44) of Directive 2014/65/EU, other than sovereign debt referred to in Article 4(1)(61) of Directive 2014/65/EU,
4. transferable securities referred to in point (c) of Article 4(1)(44) of Directive 2014/65/EU,
5. exchange-traded funds as defined in point (46) of Article 4(1) of Directive 2014/65/UE (ETF),
6. units in collective investment undertakings, other than ETFs,
7. money-market instruments, other than sovereign debt referred to in Article 4(1)(61) of Directive 2014/65/EU,
8. emission allowances,
9. other financial instruments (please specify).
 |  |  |  |  | a) Banking-type ancillary services referred to in Section C:b) Banking-type ancillary services allowed but not explicitly listed in Section C: |  |  | 1. Core services referred to in Section A:
2. Ancillary services listed in Section B:
3. Ancillary services allowed but not explicitly listed in Section B:
4. Banking-type ancillary services listed in Section C:
5. Banking-type ancillary services allowed but not explicitly listed in Section C:
 |  |

| **EEA entities allowed to record book entries into securities accounts maintained by CSDs (Art 31 of CSDR)** |
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| **Home Member State** | **Name of the CSD maintaining the securities accounts** | **Name of entity allowed to record book entries into securities accounts maintained by the CSD** | **Core services referred to in Section A of the Annex to CSDR provided by the entity** | **Regime applicable to the provision of these services, incl. applicable national law provisions** |
| [country code] | [commercial name] | [if any, commercial name (legal name)] | * [Notary Service
* Central Maintenance Service
* Settlement Service]
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| **Third-country CSDs (TC-CSDs) recognised by ESMA under Article 25 of CSDR** |
| **Third countries** | **Responsible third-country authorities**  | **TC-CSDs Names** | **LEIs** **of the** **TC-CSD** | **Services provided in the EU** |
| Validity of the recognition decision  | Member States in which the TC-CSD provides or intends to provide services | Services covered, as per the CSDR Annex | Classes of financial instruments covered, as per Section C of Annex 1 of Directive 2014/65/EU |
| [country code] |  | [if any, commercial name (legal name)] |  |  | [country code] |  |  |