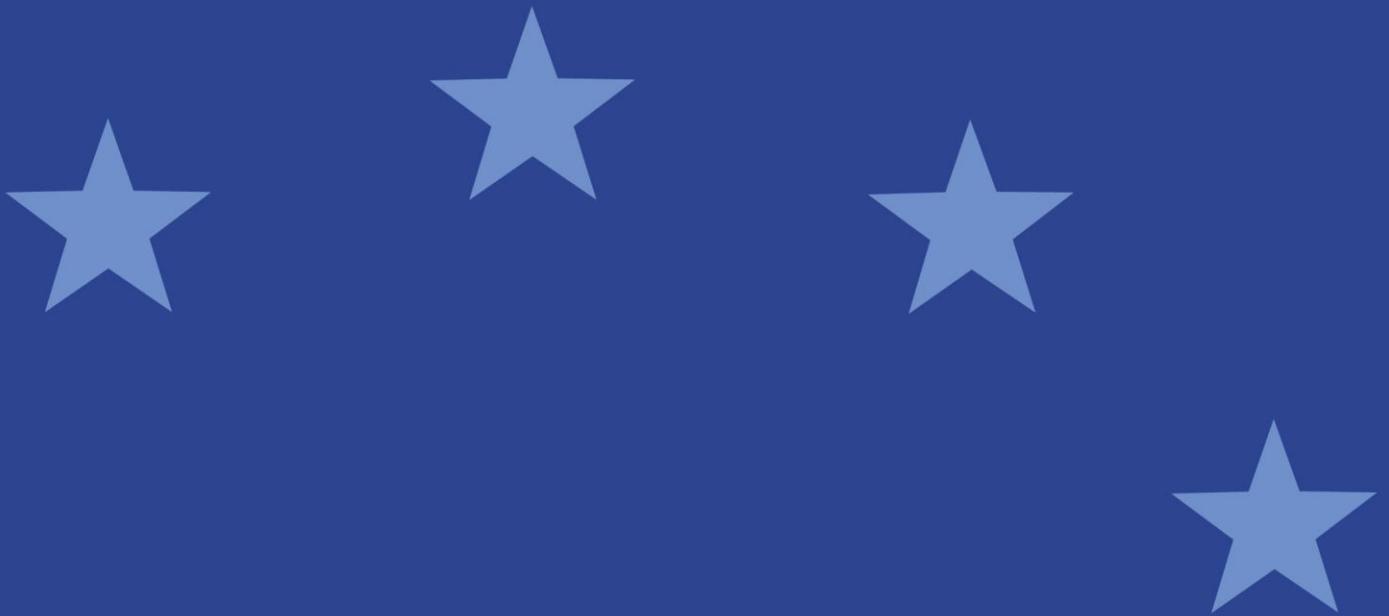




European Securities and
Markets Authority

Budget 2022 amendment n.2





ESMA Budget Revenues for 2022

Source of revenue	Budget 2022 In Euro
Contribution from National Competent Authorities from the Member States	27,293,765
Contribution from the European Union	17,301,544
Credit Rating Agencies Fees	10,021,978
Trade Repositories Fees	1,915,408
SFTR Fees	841,322
STS Fees	411,648
EMIR 2.2. Fees	5,247,111
Benchmarks Fees	740,767
DRSP Fees	3,050,000
Contribution from Observers	845,008
Contribution from National Supervisory Authorities for delegated tasks	400,000
TOTAL	68,068,551

ESMA Budgeted Expenditure for 2022

TITLE	Description	2022 budget appropriations
Chapter		In Euro
1	STAFF EXPENDITURE	
11	Staff in active employment	41,832,152
12	Expenditure relating to staff management and recruitment	640,000
14	Socio-medical infrastructure	722,000
16	Training	408,000
1	TOTAL TITLE I	43,602,152

2	INFRASTRUCTURE AND ADMINISTRATIVE EXPENDITURE	
20	Rental of building and associated costs	6,590,000
21	Information and communication technology	529,000
23	Current administrative expenditure	1,037,116
27	Representation expenses, receptions and events	6,000
2	TOTAL TITLE II	8,162,116

3	OPERATING EXPENDITURE	
31	Training for a common supervisory culture	14,700
32	Collection of information: IT projects	13,722,167
34	Legal advice	80,000
35	Access to data for Economic Research	670,000
36	Mission expenses, travel and incidental expenses	180,000
37	Communications	959,863
38	Meeting expenses	210,903
39	Services on operational matters	66,651
3	TOTAL TITLE III	15,904,283

4	DELEGATED TASKS	
40	Single interface to Trade Repositories	400,000
41	Instruments Reference Data	0
4	TOTAL TITLE IV	400,000
TOTAL EXPENDITURE		68,068,551

2022 Establishment Plan

Function group and grade	Posts (temporary agent) Following Amendment n.1		Function group and grade	Posts (temporary agent) Following Amendment n.1
AD 16	1			
AD 15	3		AST 11	
AD 14	1		AST 10	
AD 13	1		AST 9	
AD 12	6		AST 8	
AD 11	7		AST 7	
AD 10	21		AST 6	3
AD 9	39		AST 5	5
AD 8	32		AST 4	1
AD 7	31		AST 3	
AD 6	42		AST 2	
AD 5	49		AST 1	1
AD total	233		AST total	10
TOTAL 243				

2022 BUDGET AMENDMENT No. 2 - REVENUE (in EUR)

2022 REVENUE	2022 REVENUE Opening budget for 2022 [A]	AMENDMENT N. 2 OF 2022 BUDGET [B]	2022 REVENUE FOLLOWING AMENDMENT N. 2 [A+B]	% revenue source in 2022
Fees Direct Supervision - TOTAL	21,541,604	686,630	22,228,234	32.8%
CRA	10,021,978		10,021,978	14.8%
TR	1,915,408	0	1,915,408	2.8%
SFTR	841,322	0	841,322	1.2%
SR	411,648	0	411,648	0.6%
EMIR 2.2.*	4,701,769	545,342	5,247,111	7.8%
BMRK	649,479	91,288	740,767	1.1%
DRSP**	3,000,000	50,000	3,050,000	4.5%
NCAs contribution - TOTAL	28,138,773	0	28,138,773	41.6%
NCAs contribution	28,138,773	0	28,138,773	41.6%
EU subsidy - TOTAL	17,301,544	0	17,301,544	25.6%
EU subsidy	17,301,544	0	17,301,544	25.6%
SUB-TOTAL BUDGET (Fees, NCAs contributions, EU subsidy)	66,981,921	686,630	67,668,551	100.0%
Annual contribution of NCAs and other external entities for TRACE***	334,000	66,000	400,000	
TOTAL BUDGET****	67,315,921	752,630	68,068,551	

Due to rounding, there might be a €1 difference in the sub-totals and totals.

* The TC CCPs fees under EMIR 2.2 for 2022 original budget plan are split as follows: €1,600,000 from interim fees, €55,793 from Tier 1 TC CCPs and €3,045,976 from Tier 2 TC CCPs.

** Eventually, DRSP fees for 2022 are split as follows: €1,500,000 from ARMs and €1,550,000 from APAs.

*** €66,000 external assigned revenue from the closure of FIRDS delegated project.

**** On top ESMA received €150,193 additional funding for the SLA with DG REFORM, for the establishment of the "EU - Supervisory Digital Academy". This funding (C4) is not part of ESMA's core budget (C1).

 European Securities and Markets Authority		ESMA REGULAR USE ESMA63-43-2330			
2022 BUDGET AMENDMENT No. 2 - EXPENDITURE (in EUR)					
TITLE		2022 EXPENDITURE* (Opening budget, including budget transfers) [A]	AMENDMENT N. 2 OF 2022 BUDGET [B]	2022 EXPENDITURE FOLLOWING AMENDMENT N. 2 [A+B]	BUDGETARY COMMENTS
Chapter					
Article					
Items					
1	TITLE 1 - STAFF EXPENDITURE				
11	Staff in active employment				
110	Staff under Staff Regulations				
1100	Staff salaries, allowances, insurance and grants	38,182,627		38,182,627	Includes all salary related costs for TAs, CAs, SNEs and trainees
112	Temporary workers				
1120	Temporary workers	0		0	Interims
113	Contributions by the agency to pension scheme				
1133	Contribution by the agency to pension scheme	3,649,525		3,649,525	Pension contribution in line with the EU Staff Regulations
12	Expenditure relating to staff management and recruitment				
120	Expenditure relating to staff management and recruitment				
1200	Expenditure relating to staff management and recruitment	640,000		640,000	Recruitment and management costs, reimbursement of candidates, visibility of vacancy notices
14	Socio-medical infrastructure				
140	Medical service				
1400	Medical service	60,000		60,000	Medical check-ups for staff, in line with the Staff Regulations
141	Schools and kindergartens				
1410	Schools and kindergartens	330,000		330,000	Expenditure in line with Social Measure
142	Canteen				
1420	Canteen and associated services	332,000		332,000	ESMA's contribution to canteen costs and others
16	Training				
160	Training				
1600	Training	408,000		408,000	Training and team building programmes
1	TOTAL TITLE 1	43,602,152	0	43,602,152	
2	TITLE 2 - INFRASTRUCTURE AND ADMINISTRATIVE EXPENDITURE				
20	Rental of building and associated costs				
200	Building rental, charges and taxes				
2000	Building rental, charges and taxes	6,000,000		6,000,000	Building rental and associated costs
202	Maintenance, works and refurbishment				
2020	Maintenance, works and refurbishment	120,000		120,000	Building maintenance and works
209	Other expenditure on building, security and movable property				
2090	Other expenditure on building, security and movable property	470,000		470,000	Other expenditure related to building, building security and furniture
21	Information and communication technology				
210	Information and communication technology				
2100	ICT Office Supplies	529,000		529,000	ICT office equipment and supplies
23	Current administrative expenditure				
230	Current administrative expenditure				
2300	Facility management services	230,000		230,000	Facility management including postal charges and stationery costs
2304	Services on administrative matters	659,000		659,000	General administrative costs including cybersecurity
2305	Subscriptions	141,116		141,116	General subscriptions
2308	Library expenditure	7,000		7,000	Specialised books
27	Representation expenses, receptions and events				
270	Representation expenses, receptions and events				
2700	Representation expenses, receptions and events	6,000		6,000	Representation expenses involving participation of third parties
2	TOTAL TITLE 2	8,162,116	0	8,162,116	
3	TITLE 3 - OPERATIONAL EXPENDITURE				
31	Training for a common supervisory culture				
310	Training costs				
3100	Training costs	14,700		14,700	Training of NCAs on common supervisory culture and supervisory convergence
32	Collection of information: IT projects				
320	ICT projects costs				
3200	ICT projects costs	13,491,537	230,630	13,722,167	IT project costs in line with the IT Work Programme
34	Legal advice				
340	Legal advice				
3400	Legal advice	80,000		80,000	Legal related costs
35	Access to data for Economic Research				
350	Access to data for Economic Research				
3500	Access to data for Economic Research	670,000		670,000	Acquisition of operational datasets and subscriptions
36	Mission expenses, travel and incidental expenses				
360	Mission expenses				
3600	Mission expenses	180,000		180,000	Business trips related costs
37	Communications				
370	Communications				
3700	Translation and interpretation	348,679	522,000	870,679	Translation of guidelines and other relevant documents
3701	Communications services	89,184		89,184	Communications
38	Meeting expenses				
380	General meeting expenses				
3800	General meeting expenses	92,001		92,001	General meeting costs
381	Stakeholders Groups - Consultations				
3810	Stakeholders Groups - Consultations	70,000		70,000	All stakeholder groups related expenditure
382	Governance				
3820	Governance	19,302		19,302	Management Board and Board of Supervisors
383	Board of Appeal				
3830	Board of Appeal costs	29,600		29,600	Board of Appeal costs
39	Services on operational matters				
390	Services on operational matters				
3900	Services on operational matters	66,651		66,651	Studies, impact assessments and other services for operations
3	TOTAL TITLE 3	15,151,653	752,630	15,904,283	
	TOTAL EXPENDITURE TITLES 1, 2, 3	66,915,921	752,630	67,668,551	
4	TITLE 4 - DELEGATED TASKS				
40	Single Interface to Trade Repositories (TRACE)				
400	Single Interface to Trade Repositories				
4000	Single Interface to Trade Repositories	400,000		400,000	External assigned revenues, partially offset from the commitment appropriations left after the closure of FIRDS delegated project
4	TOTAL TITLE 4	400,000		400,000	
	TOTAL EXPENDITURE INCLUDING ALL TITLES AND DELEGATED TASKS	67,315,921	752,630	68,068,551	

* The 2022 final expenditure amounts per budget line may differ from the figures in this table, as ESMA may process additional budget transfers by the end of the year in order to optimise the yearly budget execution.