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| **APPLICATION FORM** |

Dear Sir, Madam,

Please find enclosed the application of [name of applicant] in response to the call for expression of interest (Ref: ESMA35-30-703) to become a member of the Consultative Working Group of ESMA’s Investor Protection and Intermediaries Standing Committee (IPISC).

This application includes the following documents (please underline the relevant answer):

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| Detailed curriculum vitae (CV) of the person replying to the call for expression of interest. The detailed CV should specify the different diplomas obtained (copies of which may be requested by ESMA), the expertise and experience relevant to the call for expression of interest. | **YES** | **NO** |
| The completed application form (including Sections 1 and 2 attached hereto). | **YES** | **NO** |
| Motivation letter. | **YES** | **NO** |

I hereby commit, should I be appointed as a member of the IPISC Consultative Working Group, not to disclose, share or more generally make public the content, in part or in full, of any non-public papers I may be provided with in my capacity of member of the IPISC Consultative Working Group.

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Name (Title / First name / Surname)

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Date (dd/mm/yyyy)

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| **SECTION 1 – IDENTIFICATION** | | | | | | |
| NAME | | |  | | | |
| CONTACT ADDRESS | | |  | | | |
| CONTACT DETAILS | | | Telephone: |  | | |
| E-mail address: |  | | |
| NATIONALITY | | | Of the Applicant: |  | | |
| Of the firm/organisation (if applicable): |  | | |
| CURRENT POSITION HELD | | | Job title |  | | |
| Name of organisation/firm |  | | |
| **SECTION 2 – CRITERIA** | | | | | | |
| TYPE OF BACKGROUND[[1]](#footnote-1) | **1** | **Representatives of retail investors / users of financial services** | | | | |
| **2** | **Academics** | | | | |
| **3** | **Financial institutions / financial industry representatives** | | | | |
| Criterion 1 | Depending on the type of background, at least one of the three options below applies:  **1. For representatives of retail investors / users of financial services**: 4 years of experience relevant to the financial services field; or,  **2. For academics**: 7 years of experience relevant to the financial services field; or,  **3. For financial institutions / financial industry representatives**: 10 years of experience relevant to the financial services field. | | | | YES | NO |
| Criterion 2 | Available to participate in meetings at least twice a year. | | | | YES | NO |
| Advantageous criterion | Experience in a senior role in an investment firm or a credit institution providing investment services. | | | | YES | NO |

1. Please indicate your background by underlying the number of the relevant category (1, 2 or 3). [↑](#footnote-ref-1)