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| **APPLICATION FORM**  **Consultative Working Group of ESMA’s**  **Investor Protection and Intermediaries Standing Committee (IPISC)** |

Please find enclosed the application of [**INSERT NAME OF APPLICANT**] in response to the call for expressions of interest (Ref: ESMA 35-30-473 to become a member of the Consultative Working Group of ESMA’s Investor Protection and Intermediaries Standing Committee (IPISC).

This application includes the following documents (please underline the relevant answer):

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| 1. Detailed curriculum vitae (CV) of the person replying to the call for expressions of interest.   The CV should specify the different diplomas obtained (copies of which may be requested by ESMA), the expertise and experience relevant to the call for expressions of interest. | **YES** | **NO** |
| 1. The completed application form (including Sections 1 and 2 attached hereto). | **YES** | **NO** |
| 1. Motivation letter (optional). | **YES** | **NO** |

**I hereby commit, should I be appointed as a member of the IPISC CWG, not to disclose, share or more generally make public the content, in part or in full, of any non-public working papers I may be provided with in my capacity of member of the IPISC Consultative Working Group.**

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Name (Title / First name / Surname)

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Date (dd/mm/yyyy)

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| **SECTION 1 – IDENTIFICATION** | | | | | | |
| **NAME** | | |  | | | |
| **CONTACT ADDRESS** | | |  | | | |
| **CONTACT DETAILS** | | | Telephone: |  | | |
| E-mail address: |  | | |
| **NATIONALITY** | | | of Applicant |  | | |
| of firm/organisation (if applicable): |  | | |
| **CURRENT POSITION HELD** | | |  | | | |
| **SECTION 2 – CRITERIA** | | | | | | |
| **TYPE OF BACKGROUND**[[1]](#footnote-1) |  | **Representatives of retail investors and users of financial services** | | | | |
| A minimum of 7 years of experience relevant to the financial services field will be required for representatives of retail investors and users of financial services, and academics | | | **YES** | **NO** |
|  | **Financial market participants (including advisors)** | | | | |
| A minimum of 10 years of relevant professional experience in the financial services sector related to investor protection and intermediaries will be required for those representing financial institutions | | | **YES** | **NO** |
|  | **Academics** | | | | |
| A minimum of 7 years of experience relevant to the financial services field will be required for representatives of retail investors and users of financial services, and academics | | | **YES** | **NO** |

1. Please indicate your background by underlying, “yes” or “no” under the relevant category. You may indicate more than one background. To do so, please underline “yes” or “no” under the relevant categories *and* indicate your primary background by checking the box on the right side of your primary category. [↑](#footnote-ref-1)