

ESMA Staff Stakeholder contacts in the third quarter 2022

ESMA is committed to the highest standards of transparency in its interactions with stakeholders. ESMA will therefore publish information on ESMA staff meetings with external stakeholders.

Date	Institution met	Main topics discussed
05/07/2022	Galaxy Digital	Crypto-assets, financial innovation
05/07/2022	Better Finance	Efficient portfolio management (EPM) techniques
06/07/2022	International Capital Market Association (ICMA)	Level 3 guidance on post-trade transparency
06/07/2022	Institutional Shareholder Services	Artificial intelligence
07/07/2022	APARMA	Level 3 guidance on post-trade transparency
07/07/2022	Ediphy	Level 3 guidance on post-trade transparency
07/07/2022	Optiver	Level 3 guidance on post-trade transparency

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07/07/2022	European Association of Central Securities Depositories (ECSDA)	Central Securities Depositories Regulation (CSDR), Digital Operational Resilience Act (DORA)
07/07/2022	General Index	Commodity benchmarks
08/07/2022	L'Association française des marchés financiers (AMAFI)	Level 3 guidance on post-trade transparency
08/07/2022	FIX Trading Community	Level 3 guidance on post-trade transparency
11/07/2022	Depository Trust & Clearing Corporation (DTCC)	SFTR analysis and data
11/07/2022	PricewaterhouseCoopers (PwC)	Environmental, social and governance (ESG) benchmarks
11/07/2022	Data Provider -Stockpulse	Potential data on social media
12/07/2022	Investment Company Institute (ICI)	Mutual funds in the fixed income markets, strategic complementarity among investors with overlapping portfolios, ETF redemptions
13/07/2022	Euronext	ESMA Q&As on the Benchmarks Regulation (BMR)
13/07/2022	Bureau Européen des Unions de Consommateurs (BEUC)	Product governance guidelines
19/07/2022	ICE Endex	Emission allowance derivatives
19/07/2022	APARMA	Level 3 guidance on post-trade transparency
20/07/2022	Global Financial Markets Association (GFMA), Association for Financial Markets in Europe (AFME)	Level 3 guidance on post-trade transparency

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21/07/2022	APARMA	Level 3 guidance on post-trade transparency
22/07/2022	Finbourne	Level 3 guidance on post-trade transparency
22/07/2022	Fortia	Artificial intelligence
26/07/2022	Propellant Media	Level 3 guidance on post-trade transparency
26/07/2022	International Swaps and Derivatives Association (ISDA)	Level 3 guidance on post-trade transparency
28/07/2022	Federation of European Securities Exchanges (FESE)	Level 3 guidance on post-trade transparency
28/07/2022	Deutsche Bank	Level 3 guidance on post-trade transparency
29/07/2022	GlimpseMarkets	Level 3 guidance on post-trade transparency
02/08/2022	Europe Economics	Carbon markets
02/08/2022	APARMA	Level 3 guidance on post-trade transparency
02/08/2022	CFA Institute	Artificial intelligence
03/08/2022	Association Française de la gestion financière (ASG), BSD investing	Active and passive fund investing
03/08/2022	AGMinfo	Shareholder Rights Directive II (SRD2)

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04/08/2022	Axyon AI	Artificial intelligence
04/08/2022	Clarity AI	Artificial intelligence
09/08/2022	XAI Asset Management	Artificial intelligence
10/08/2022	AI for Alpha	Artificial intelligence
17/08/2022	APG Asset Management	Artificial intelligence
18/08/2022	Finance Watch	Product governance guidelines
18/08/2022	Deutsche Bank	Artificial Intelligence
19/08/2022	Securities Industry and Financial Markets Association (SIFMA)	ESMA Q&As on guidelines on performance fees in Undertakings for the Collective Investment in Transferable Securities (UCITS) and certain types of Alternative Investment Funds (AIFs)
19/08/2022	Goldman Sachs Research	Sustainable Finance Disclosures Regulation (SFDR)
22/08/2022	Deutsche Bank	Artificial intelligence
25/08/2022	BNY Mellon	Artificial intelligence
26/08/2022	Euronext	Artificial intelligence

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29/08/2022	Solidus Labs	Crypto-assets market surveillance
31/08/2022	Deutsches Aktieninstitut (DAI)	Trading Venue Perimeter
31/08/2022	Kepler Chevreux	Artificial intelligence
01/09/2022	Bureau Européen des Unions de Consommateurs (BEUC)	European Sustainability Reporting Standards
06/09/2022	Association of European Energy Exchanges (Europex)	Level 3 guidance on post-trade transparency
06/09/2022	European Federation of Energy Traders (EFET)	Level 3 guidance on post-trade transparency
06/09/2022	JP Morgan	CCP risks issues
09/09/2022	Seattle University	General ESMA presentation
09/09/2022	Greenomy	Green financial and regulatory technology (FinTech and RegTech)
13/09/2022	Binance	Crypto-assets
14/09/2022	Blockchain.com	Crypto-assets
16/09/2022	Eurosif	Environmental, social and governance (ESG) ratings
20/09/2022	Deutsche Schutzvereinigung für Wertpapierbesitz e.V. (DSW)	Shareholder Rights Directive II (SRD2), Sustainable Finance Disclosures Regulation (SFDR), Corporate Sustainability Due Diligence Directive (CSDDD), Remuneration Guidelines

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21/09/2022	Federation of European Securities Exchanges (FESE)	Distributed Ledger Technology (DLT) Pilot, Markets in Crypto-Assets Regulation (MiCA)
21/09/2022	Accountancy Europe	Corporate finance and reporting
22/09/2022	European Association of CCP Clearing Houses (EACH)	Central Securities Depository Regulation (CSDR) Settlement Discipline
22/09/2022	BDO, KPMG, Deloitte, Mazars, EY, Grant Thornton, PricewaterhouseCoopers (PwC)	Corporate finance and reporting
22/09/2022	European Fund and Asset Management Association (EFAMA)	MiFID II Best Execution reporting
22/09/2022	Crypto Council for Innovation	Crypto-assets
23/09/2022	FIA European Principal Traders Association (EPTA)	Pre-hedging
26/09/2022	ICE Endex	Circuit breakers for energy markets
26/09/2022	S&P Global Ratings	Sustainable finance
28/09/2022	Celo Foundation	Crypto-assets
28/09/2022	Equifax	European Forum for Innovation Facilitators (EFIF), regulatory technology (RegTech)
30/09/2022	European Energy Exchange (EEX)	Circuit breakers for energy markets
30/09/2022	Ledger	European Forum for Innovation Facilitators (EFIF), non-fungible tokens (NFTs)

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30/09/2022	Binance	European Forum for Innovation Facilitators (EFIF), non-fungible tokens (NFTs)