

## ESMA Staff Stakeholder contacts in the first quarter 2022

ESMA is committed to the highest standards of transparency in its interactions with stakeholders. ESMA will therefore publish information on ESMA staff meetings with external stakeholders.

| Date       | Institution met   | Main topics discussed   |
|------------|---|---|
| 05/01/2022 | Association for Financial Markets in Europe (AFME)  | Pre-hedging and general update on ESMA's work on MAR                  |
| 05/01/2022 | Finance Watch   | Greenwashing risks  |
| 11/01/2022 | Simmetree   | Market data   |
| 12/01/2022 | European Association for Investors in Non-Listed Real Estate Vehicles (INREV)   | Sustainable Finance Disclosure Regulation and Taxonomy Regulation     |
| 13/01/2022 | Finance Watch, Better Finance, Norwegian Consumer Council, Sveriges Konsumenter, Verbraucherzentrale Bundesverband (VZBV), Test Achats, DECO, Bureau Européen des Unions de Consommateurs (BEUC), Deutsche Schutzvereinigung für Wertpapierbesitz (DSW) | Consumer protection, including digitalisation and sustainable finance |

| Date       | Institution met   | Main topics discussed   |
|------------|---|---|
| 14/01/2022 | European Association of CCP Clearing Houses (EACH)/ European Association of Central Securities Depositories (ECSDA) | Central Securities Depository Regulation (CSDR) Settlement Discipline                       |
| 19/01/2022 | Goldman Sachs   | General update on EU MiFID II Review  |
| 20/01/2022 | Potsdam Institute for Climate Impact Research   | Carbon markets  |
| 20/01/2022 | Federation of European Securities Exchanges (FESE)  | RTS 1 and 2 review, MiFIR review  |
| 21/01/2022 | ShareAction   | Stewardship and corporate governance in the EU, ESG   |
| 24/01/2022 | London Stock Exchange Group (LSEG)  | Data Service Provider: MiFID Review – Proposal for a consolidated tape                      |
| 27/01/2022 | European Energy Exchange (EEX)  | European Union Allowances (EUAs) auction process  |
| 28/01/2022 | Association for Financial Markets in Europe (AFME)  | Central Securities Depository Regulation (CSDR) Cash Penalties                              |
| 31/01/2022 | Alternative Investment Management Association (AIMA)  | Publication of net short position notification data, Short Selling Regulation review report |
| 07/02/2022 | FIA European Principal Traders Association (EPTA)   | ESMA Report on Algorithmic Trading  |
| 08/02/2022 | Ripple  | Markets in Crypto-Assets (MiCA)   |
| 09/02/2022 | Intercontinental Exchange (ICE)   | ESMA Report on Emission Allowances  |
| 09/02/2022 | Tradegate   | Neobrokers, market microstructure, payment for order flow (PFOF), pricing                   |

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|------------|---|--|
| 09/02/2022 | Federation of European Securities Exchanges (FESE)              | MiFID II and MiFIR requirements in the area of investor protection and intermediaries                                      |
| 10/02/2022 | FIX Trading Community   | RTS 1 and 2 review (MiFID II), update on FIX work  |
| 10/02/2022 | Oxera   | European Union Allowances (EUAs) market  |
| 10/02/2022 | Commerzbank   | MiFID II and MiFIR requirements in the area of investor protection and intermediaries                                      |
| 10/02/2022 | CBInsights  | Market data  |
| 14/02/2022 | Lang & Schwarz  | Neobrokers, market microstructure, payment for order flow (PFOF), pricing  |
| 15/02/2022 | European Association of CCP Clearing Houses (EACH)              | Central Securities Depository Regulation (CSDR) Settlement discipline  |
| 15/02/2022 | European Association of Central Securities Depositories (ECSDA) | Central Securities Depository Regulation (CSDR) Settlement Discipline and Distributed Ledger Technology (DLT) Pilot Regime |
| 16/02/2022 | Glassnode   | Market data  |
| 17/02/2022 | ShareAction   | Sustainable Finance Disclosure Regulation and Taxonomy Regulation  |
| 17/02/2022 | Bundesverband Öffentlicher Banken Deutschlands                  | Credit Ratings   |
| 18/02/2022 | Susquehanna International Group                                 | RTS 2 review   |
| 21/02/2022 | Tradeweb, MarketAxess, Bloomberg, Quorsus                       | Data Service Provider: MiFID Review – Proposal for a consolidated tape   |

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|------------|--|--|
| 21/02/2022 | Insurance Europe   | Corporate Finance and Reporting  |
| 21/02/2022 | Morgan Stanley Capital International (MSCI)                                    | Environmental, Social, and Governance (ESG) Ratings  |
| 25/02/2022 | Bureau Européen des Unions de Consommateurs (BEUC)                             | Investment management convergence retail investment products   |
| 25/02/2022 | Simmetree  | ESMA Opinion on Access to and Use of Credit Ratings  |
| 01/03/2022 | Bloomberg  | MiFIR Review   |
| 01/03/2022 | Coinbase   | Markets in Crypto-Assets (MiCA)  |
| 03/03/2022 | Global Legal Entity Identifier Foundation (GLEIF)                              | European Single Access Point (ESAP)  |
| 03/03/2022 | Association for Financial Markets in Europe (AFME)                             | Introductory meeting following staff changes and exchange of views on the securitisation market and regulatory framework |
| 05/03/2022 | European Energy Exchange (EEX)<br>European Energy Derivatives Exchange (Endex) | International Securities Identification Numbers (ISINs) of EU Emission Allowance   |
| 07/03/2022 | Sciences Po  | Risk monitoring and analysis   |
| 09/03/2022 | University of California   | MiFID II and MiFIR requirements in the area of investor protection and intermediaries                                    |
| 09/03/2022 | BDO, KPMG, Deloitte, Mazars, GT, EY, PwC                                       | Corporate Finance and Reporting  |
| 10/03/2022 | European Energy Exchange (EEX)   | Energy price movements and central clearing counterparty (CCP) margin calls  |

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|------------|--|---|
| 10/03/2022 | HEC/University of Melbourne, University of Amsterdam                         | Data Service Provider: MiFID Review –consolidated tape and deferral regime  |
| 10/03/2022 | Novethic   | Sustainable finance   |
| 10/03/2022 | Intercontinental Exchange (ICE) European Energy Derivatives Exchange (Endex) | ESMA Report on Emission Allowances  |
| 10/03/2022 | Euronext Securities Milan  | Central Securities Depository Regulation (CSDR) review and settlement discipline implementation   |
| 11/03/2022 | Pan-EU and global asset managers   | Europe’s future retail investor engagement strategy, Alternative Investment Fund Managers Directive (AIFMD) / Undertakings for the Collective Investment in Transferable Securities (UCITS) proposal, sustainable finance |
| 15/03/2022 | Bundesverband Investment und Asset Management (BVI)                          | MiFID II and MiFIR requirements in the area of investor protection and intermediaries   |
| 15/03/2022 | Gide Loyrette Nouel, October   | Crowdfunding Regulation   |
| 15/03/2022 | European Association of Central Securities Depositories (ECSDA)              | Central Securities Depository Regulation (CSDR) Cash Penalties  |
| 16/03/2022 | Clarity AI   | Environmental, Social, and Governance (ESG) Ratings   |
| 17/03/2022 | CoinMetrics  | Market data   |
| 17/03/2022 | Cryptoquant  | Market data   |
| 21/03/2022 | European Association of CCP Clearing Houses (EACH)                           | Central Securities Depository Regulation (CSDR) settlement discipline implementation  |
| 22/03/2022 | Principles for Responsible Investment (PRI)                                  | Sustainable Finance Disclosures Regulation (SFDR), Greenwashing, corporate sustainability reporting   |

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|------------|---|---|
| 22/03/2022 | Glasgow Financial Alliance for Net Zero (GFANZ)   | Sectoral decarbonisation pathways, corporate and FI transition plans  |
| 25/03/2022 | BitPanda  | Markets in Crypto-Assets (MiCA)   |
| 29/03/2022 | BDO, KPMG, Deloitte, Mazars, GT, EY, PwC  | Corporate Finance and Reporting   |
| 29/03/2022 | Insurance Europe  | Corporate Finance and Reporting   |
| 29/03/2022 | Finance Watch, ShareAction, E3G, WWF, Transport & Environment, Bureau Européen des Unions de Consommateurs (BEUC), ESAs | Sustainable finance   |
| 30/03/2022 | Insurance Europe  | Packaged retail investment and insurance products (PRIIPs), Green Bond Standard, European Single Access Point (ESAP), Sustainable Finance Disclosures Regulation (SFDR) |
| 30/03/2022 | Business Reporting-Advisory Group (BR-AG)   | Corporate Finance and Reporting   |
| 30/03/2022 | Business Reporting-Advisory Group (BR-AG)   | European Single Access Point (ESAP)   |
| 31/03/2022 | Institute for Climate Economics (I4CE)  | Sustainable finance   |