

ESMA Staff Stakeholder contacts in the fourth quarter 2021

ESMA is committed to the highest standards of transparency in its interactions with stakeholders. ESMA will therefore publish information on ESMA staff meetings with external stakeholders.

Date	Institution met	Main topics discussed
04/10/2021	Association for Financial Markets in Europe (AFME)	ESG factors in credit ratings
06/10/2021	European Fund and Asset Management Association (EFAMA)	ESG factors in credit ratings
07/10/2021	Accountancy Europe	ESMA corporate reporting activities
07/10/2021	UN PRI	ESG factors in credit ratings
11/10/2021	Association for Financial Markets in Europe (AFME), Federation of European Securities Exchanges (FESE)	EU Securities Markets Annual Statistical Report
11/10/2021	Goldman Sachs, Tradeweb, Liquidnet, CBOE, Deutsche Boerse	EU Securities Markets Annual Statistical Report

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12/10/2021	Susquehanna International Group	Pre-trade transparency and pre-arranged trades below large in scale (LIS)
12/10/2021	Oktris	Traded on a trading venue (TOTV)
13/10/2021	International Capital Market Association (ICMA)	EU Securities Markets Annual Statistical Report
13/10/2021	MarketAxess, SI Registry, MTS Euronext Group, Goldman Sachs, Liquidnet, Barclays, Tradeweb	EU Securities Markets Annual Statistical Report
19/10/2021	Propellant Digital	Markets in Financial Instruments Directive (MiFID II) data, consolidated tape provider (CTP), US Private Placement (USPP)
19/10/2021	Hermes	Money Market Funds (MMFs) regulatory framework review, ESG disclosure
20/10/2021	Euronext	MiFID II Recovery Package, methodology for position limits
20/10/2021	German Investment Funds Association (BVI) and German Insurers Association (GVD)	Follow up to ESMA's Opinion on Access to and Use of Credit Ratings
26/10/2021	Susquehanna International Group	Pre-hedging, illegitimate practices
29/10/2021	European Energy Exchange (EEX)	European emission allowances (EUA) markets
29/10/2021	DWS Group	Outlook on fund industry, climate risks
03/11/2021	Intercontinental Exchange (ICE)	Emission allowances (EUA) markets

Date	Institution met	Main topics discussed
04/11/2021	FIX Trading Community	MiFID II/MiFIR – consultation paper on RTS 2 review
09/11/2021	European Association of CCP Clearing Houses (EACH)	Central Securities Depositories Regulation (CSDR) settlement discipline
09/11/2021	Bank of America	Central Securities Depositories Regulation (CSDR) settlement discipline
09/11/2021	Eurosif	Taxonomy-related product disclosures RTS, Sustainable Finance Disclosure Regulation (SFDR)
09/11/2021	Latham&Watkins and FICC Markets Standards Board	Pre-hedging
10/11/2021	European Federation of Energy Traders (EFET)	Trading of emission allowances
12/11/2021	Redlap Consulting	Pricing of portfolio trades
16/11/2021	De Nederlandsche Bank (DNB)	Market intelligence
18/11/2021	Crowdfunding Europe	Crowdfunding
19/11/2021	Alternative Investment Management Association (AIMA)	ESMA consultation paper on the review of the Short Selling Regulation (SSR)
19/11/2021	Association for Financial Markets in Europe (AFME)	Way forward on buy-in
22/11/2021	Alternative Investment Management Association (AIMA)	Alternative Investment Fund Managers Directive (AIFMD) review, loan funds, Sustainable Finance Disclosure Regulation (SFDR)/Taxonomy Regulation (TR) application
22/11/2021	RepRisk	RepRisk product suite

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25/11/2021	Plato Partnership	Consolidated tape provider (CTP), US Private Placement (USPP)
25/11/2021	Microsoft	Digital Operational Resilience Act (DORA)
26/11/2021	Accountancy Europe XBRL Europe	General corporate reporting topics
29/11/2021	Managed Funds Association (MFA)	Short selling, disclosure requirements in the US and the EU, ESG strategies
29/11/2021	International Capital Market Association (AMIC)	Markets in Financial Instruments Directive (MiFID II) sustainability requirements
30/11/2021	FIA European Principal Traders Association (EPTA)	Payment for order flow (PFOF), consolidated tape (CT), share trading obligation (STO)
30/11/2021	European Association of CCP Clearing Houses (EACH)	Central Securities Depositories Regulation (CSDR) Settlement Discipline
01/12/2021	European Fund and Asset Management Association (EFAMA), European Association of Co-operative Banks (EACB)	Sustainable Finance Disclosure Regulation (SFDR) and Taxonomy Regulation (TR) disclosures, application of MiFID II and IDD Delegated Acts, Article 8 TR disclosures
07/12/2021	CBOE Europe	Review of RTS 1 and 2, Markets in Financial Instruments Regulation (MiFIR) review
08/12/2021	Eurosif	Markets in Financial Instruments Directive (MiFID II) sustainability requirements
09/12/2021	European Central Securities Depositories Association (ECSDA)	Central Securities Depositories Regulation (CSDR) Settlement Discipline
14/12/2021	European Association of CCP Clearing Houses (EACH)	Central Securities Depositories Regulation (CSDR) Settlement Discipline

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22/12/2021	TransFICC	Consolidated tape pilot