

ESMA Staff Stakeholder contacts in the third quarter 2021

ESMA is committed to the highest standards of transparency in its interactions with stakeholders. ESMA therefore publishes information on ESMA staff meetings with external stakeholders.

Date	Institution met	Main topics discussed
05/07/2021	EUREX	CSDR review – way forward on settlement discipline
05/07/2021	European Central Securities Depositories Association (ECSDA)	CSDR review – way forward on settlement discipline
07/07/2021	European Association of CCP Clearing Houses (EACH)	CSDR review – way forward on settlement discipline
12/07/2021	Ediphy	Consolidated tape (CT)
13/07/2021	European Association of Central Securities Depositories (ECSDA)	Guidelines on settlement fails reporting, CSDR review

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13/07/2021	L'Association française des marchés financiers (AMAFI)	MiFIR review (Consolidated Tape, non-equity transparency, Derivatives Trading Obligation, cost of market data)
15/07/2021	ICI Global	ESMA's work on the topic of the supervision of costs under UCITS
17/07/2021	True Sale International (TSI) gmbh	Securitisation Regulation
20/07/2021	Accountancy Europe	General corporate reporting topics
22/07/2021	CAPTRACE GMBH	Overview of Captrace activities, Shareholders Rights Directive II
26/07/2021	ID2S	Experience with the use of DLT running a CSD business
27/07/2021	Boerse Stuttgart	DLT Pilot Regime
27/07/2021	Invesco	ETF market structure (ETF trading during market volatility, policy recommendations to improve market efficiency)
28/07/2021	Foreign Exchange Professionals Association (FXPA)	Libor transition, the Global FX Code, new technologies
05/08/2021	Invesco	CSDR buy-in regime, cash penalties
05/08/2021	European Association of CCP Clearing Houses (EACH)	CSDR review and clarifications required by CCPs on the settlement discipline

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23/08/2021	eToro	Trading platforms in the context of EU's Digital Finance Advice.
26/08/2021	Standards Board for Alternative Investments (SBAI)	SBAI work programme, counterparty risk management / Archegos, leverage measures
27/08/2021	Cboe Europe	Introduction of the Cboe/EuroCCP strategy in Europe
30/08/2021	Deutsche Bank	Deutsche Bank's strategy in relation to technological transformation and banking
02/09/2021	Fund Channel	A Q&A session in the context of the EU's Digital Finance Advice. Description of fund distribution platform business model, types of funds/products, fee structure, market size, and types of clients
07/09/2021	Plato Partnership	U.S. Regulation for National Market System
08/09/2021	Audit Analytics	Audit Analytics report on EU audit market
09/09/2021	Société Générale	DLT pilot regime
10/09/2021	Cboe Europe	MiFID II/MiFIR – CP on RTS 1 and 2 review
13/09/2021	European Fund and Asset Management Association (EFAMA)	Hybrid Transaction Reporting Mechanism
16/09/2021	UN PRI	Principle Adverse Impact Indicators under the Sustainable Finance Disclosure Regulation

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17/09/2021	Gesamtverband der Deutschen Versicherungswirtschaft (GDV)	Retail Investment Strategy
17/09/2021	JP Morgan, Association for Financial Markets in Europe (AFME)	Cloud computing
22/09/2021	Accountancy Europe	General corporate reporting topics
27/09/2021	European Central Securities Depositories Association (ECSDA)	CSDR review
28/09/2021	Association for Financial Markets in Europe (AFME)	Buy-in
28/09/2021	European Association of CCP Clearing Houses (EACH)	CSDR settlement discipline
28/09/2021	BDO, KPMG, Deloitte, Mazars, EY, PwC	SPACs, IFRS Interpretations Committee agenda decisions, green financial assets and emission trading schemes, Covid-19, European Common Enforcement Priorities 2021
20/09/2021	European Association of Credit Rating Agencies (EACRA)	TRV (article on small CRAs)
30/09/2021	Deutsche Boerse group	CSDR settlement discipline