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| **APPLICATION FORM**  **ESMA Securities and Markets Stakeholder Group 2018** |

Dear Sir/Madam,

You will find enclosed the application of [**Surname, Forename**] in response to the Call for Expression of Interest regarding membership in the Securities and Markets Stakeholder Group of the European Securities and Markets Authority.

This application file includes the following documents (please select the corresponding box where appropriate):

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| Detailed curriculum vitae (**CV**) of the person replying to the Call for Expression of Interest. The detailed CV should specify the different diplomas obtained (copies of which may be requested by ESMA) and the expertise and experience relevant to the subject of the present Call for Expression of Interest. | yes | no |
| **Application form** signed on all pages. If the form is submitted electronically (via email), the applicant will be deemed to have formally signed and accepted the application and conditions by typing in his name. | yes | no |
| Letter of motivation | yes | no |

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| **SECTION 1 – IDENTIFICATION** |

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| **Surname, Forename** | Please insert | |
| **Nationality** | Please insert | |
| **Address** | Please insert | |
| **Contact Details** | Telephone: | Please insert |
| E-mail address: | Please insert |
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| Type of membership | Please select ***one*** category to which you seek to be considered: | |
| **Employees’ representatives** |  | Includes individuals representing associations, bodies, which represent the interest of employees and trade unions. |
| **Consumers** |  | Includes representatives of consumer organisations or any natural person acting in a consumer capacity with proven record in the protection of consumers’ interests |
| **Representatives of SMEs** |  | Includes individuals employed by an SME relevant to the securities and financial markets field, or representatives of their organisations. |
| Please enter explanation of the choice and contact details of the organisation you represent:    Please note that you can tick ***only one box*** above. | | |

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| **SECTION 2 – MANDATORY CRITERIA** |

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| **Criterion 1** | A minimum of 4 years of experience relevant to the financial services field | yes | no |
| **Criterion 2** | Availability to participate in meetings at least 4 times a year and commitment to carry out significant work to prepare the output of the Group (opinions, feedback statements, position papers, reports etc.) | yes | no |
| **Criterion 3** | Sufficient English written and oral communication skills to enable the candidate to actively participate in the work of the Group. | yes | no |

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| **SECTION 3 – STATEMENT ON COMMITMENT and EXCLUSION CRITERIA**  **(declaration)** |

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| **Recipient:** | European Securities and Markets Authority (ESMA) | | |
| **Statement:** | *Please complete the declaration below*  I  [insert Surname, Forename] hereby, commit myself, when providing ESMA with an opinion on its regulatory products, to be guided by the public interest of the European Union as a whole, and to comply with rules on confidentiality as set out in the SMSG Rules of Procedure. | | |
| **QUESTIONS RELATING TO EXCLUSION**  **(declaration)** | | | |
| By signing this form, the candidate certifies that he/she does not find himself/herself in any of the following situations: | | | |
| 1. Have you been convicted of an offence concerning your professional conduct by a judgment which has the force of res judicata? | | yes | no |
| 1. Have you been guilty of grave professional misconduct? | | yes | no |
| 1. Have you been the subject of a judgment which has the force of res judicata for fraud, corruption, involvement in a criminal organisation or any other illegal activity detrimental to the Communities' financial interests? | | yes | no |

ESMA processes personal data in line with Regulation (EU) 2018/1725 and Decision ESMA40-133-716. For more information, please see ESMA's Data Protection Statement on <https://www.esma.europa.eu/data-protection>. In case of queries, please consult ESMA's Data Protection Officer ([DPO@esma.europa.eu](mailto:DPO@esma.europa.eu)). You may also contact the European Data Protection Supervisor ([edps@edps.europa.eu](mailto:edps@edps.europa.eu)).

Applicants who are initially not selected to become members of the Group could remain on a list of experts, with the possibility to be invited to become a member of the Group at a later stage during the term of the Group, were a vacancy on the Group to arise.

I consent to revisit my application for future vacancies in the Group:  yes  no

I also confirm that I will abide by strict confidentiality requirements and will not reveal any non-public papers shared with the Group or their contents.

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| ……………………………………………….. |  |
| (Date and Signature of applicant expert[[1]](#footnote-1)) |  |

1. If sent electronically, please type in the name and this will be considered as a formal acceptance/signature by the applicant [↑](#footnote-ref-1)