

ESMA staff stakeholder contacts in Q1 2017

ESMA is committed to the highest standards of transparency in its interactions with external stakeholders. For this reason, ESMA publishes information on a quarterly basis about ESMA staff meetings held with external stakeholders in the previous quarter.

Date	Stakeholder met	Main topics discussed
12/01/2017	ISS	BPP industry review, SRD II
13/01/2017	IBM	Machine learning software
13/01/2017	CFA	CFA paper on CG policy, CMU, Prospectus Regulation, PRIIPS
13/01/2017	ICI Global	Consultation prudential regime investment firms
16/01/2017	IC3	Smart contracts
18/01/2017	Moody's	Use of ratings, Green bond assessments, new policy initiatives by ESMA
18/01/2017	Association of National Numbering Agencies (ANNA)	MiFIR reporting
20/01/2017	Wholesale Markets Brokers' Association (WMBA)	Concept of "traded on a trading venue" (TOTV) and how best apply it to OTC derivative instruments
24/01/2017	European Issuers	Prospectus Regulation Level 2 measures, EU Growth prospectus
25/01/2017	Tradition (UK) Ltd	Swap pricing ("Mid Swap Reference Page")
27/01/2017	IBM	DLT

30/01/2017	Powernext	Calculation methods for deliverable supply for power and gas for commodity derivatives position limits
31/01/2017	SFAF	Investment research, Analysts, Qualifications
01/02/2017	ENTSO	Statistics provided by ENTSO-e and ENTSO-g on power and gas markets in Europe.
02/02/2017	European University Institute	Options for ESMA cooperation with academic institutions
02/02/2017	AFME	Internalised settlement reporting; the buy-in process under CSDR
07/02/2017	TP ICAP	Trading obligation derivatives, package orders
09/02/2017	FESE	Systematic internalisers, market operator requirements when operating an OTF/MTF (investment compensation scheme), issuers' compliance, position reporting, position management, position limits
09/02/2017	European Savings and Retail Banking Group	MiFID II, ESMA draft Guidelines on Product Governance Requirement, ESMA Q&As on investor protection topics, Shadow ratings, PRIIPs: State of play
21/02/2017	PWC	Benchmarks Regulation
22/02/2017	IIF	Exchange of views on financial stability / orderly markets related topics
22/02/2017	Fitch Ratings	Update on securitization markets
22/02/2017	Moody's	Update on securitization markets
22/02/2017	S&P	Update on securitization markets, BRRD Update and bail-in instruments
23/02/2017	EFAMA	Exchange of views on international work streams related to asset management.

27/02/2017	Tradeweb	MiFID II, APAs
27/02/2017	AFG	Provisions on costs and charges under MiFID II and related ESMA work
02/03/2017	EACH	CCP Recovery and Resolution
09/03/2017	DDRL	Portability of TR
09/03/2017	FIA	MIFID II reporting
14/03/2017	Erasmus University Rotterdam HEC Paris Deutsche Börse NASDAQ Capital Fund Management	Circuit breakers and their market impacts
15/03/2017	AMAFI	Exchange of views on MiFID 2 costs and charges
21/03/2017	European Issuers	Market Abuse Regulation (MAR)
22/03/2017	The Fundschain	DLT
22/03/2017	Blackrock	CCP Recovery and Resolution
23/03/2017	DSGV	MiFID II, Sis
23/03/2017	ICI Global	AIFMD 3rd country passport, cross-border distribution of funds, pan-EU private placement regime, prudential regime for investment firms
30/03/2017	CFA	Research of prospectus summary
31/03/2017	CFA Institute	CRAs & TRs supervision