

ESMA 2018 Regulatory Work Programme

ESMA20-95-823

#	2018 AWP sub-activity	Name of Document	Relevant Legislative Text	Procedure	Mandatory / Discretionary	Deadline	Department	Consultation proposed	Cost-benefit analysis proposed	ESMA groups from which advice will be sought
1	Corporate Finance	Article 20(12) - Regulatory Technical Standards specifying the data necessary for the classification of prospectuses in the expanded prospectus register and the practical arrangements to ensure that such data is machine readable.	Prospectus Regulation	Regulatory Technical Standards (article 10)	Mandatory	01/06/2018	Investors and Issuers Department	Yes	Yes	Corporate Finance Standing Committee
2	Corporate Finance	Article 21(6) - Regulatory Technical Standards further specifying provisions concerning advertisements, including procedures for co-operation between home and host authorities.	Prospectus Regulation	Regulatory Technical Standards (article 10)	Mandatory	01/06/2018	Investors and Issuers Department	Yes	Yes	Corporate Finance Standing Committee
3	Corporate Finance	Article 22(6) - Regulatory Technical Standards specifying situations where a supplement to the prospectus is required.	Prospectus Regulation	Regulatory Technical Standards (article 10)	Mandatory	01/06/2018	Investors and Issuers Department	Yes	Yes	Corporate Finance Standing Committee
4	Corporate Finance	Article 24(5b) - Regulatory Technical Standards specifying the technical arrangements necessary for the functioning of the notification portal ESMA is required to develop.	Prospectus Regulation	Regulatory Technical Standards (article 10)	Mandatory	01/06/2018	Investors and Issuers Department	Yes	Yes	Corporate Finance Standing Committee
5	Corporate Finance	Article 7(11) - Regulatory Technical Standards specifying the content and format of presentation of the key financial information to be contained in the summary and the relevant key financial information to be provided on any guarantor in the summary.	Prospectus Regulation	Regulatory Technical Standards (article 10)	Mandatory	01/06/2018	Investors and Issuers Department	Yes	Yes	Corporate Finance Standing Committee
6	Corporate Finance	Article 20(11) - Regulatory Technical Standards specifying requirements relating to the publication of a prospectus.	Prospectus Regulation	Regulatory Technical Standards (article 10)	Discretionary	01/06/2018	Investors and Issuers Department	Yes	Yes	Corporate Finance Standing Committee
7	Corporate Finance	Article 13(1) - proposing both the format and content of the prospectus schedules (information to be included in a prospectus), including specific information requirements for wholesale non-equity securities.	Prospectus Regulation	Technical Advice	Mandatory	01/03/2018	Investors and Issuers Department	Yes	Yes	Corporate Finance Standing Committee
8	Corporate Finance	Article 13(2) - proposing both the format and content of the universal registration document schedule.	Prospectus Regulation	Technical Advice	Mandatory	01/03/2018	Investors and Issuers Department	Yes	Yes	Corporate Finance Standing Committee
9	Corporate Finance	Article 14(3) - specifying the reduced information to be included in the new simplified disclosure regime for secondary issuance.	Prospectus Regulation	Technical Advice	Mandatory	01/03/2018	Investors and Issuers Department	Yes	Yes	Corporate Finance Standing Committee
10	Corporate Finance	Article 15(3) - specifying the reduced content and standardised format and sequence for the EU Growth prospectus as well as the reduced content and standardised format of the specific summary.	Prospectus Regulation	Technical Advice	Mandatory	01/03/2018	Investors and Issuers Department	Yes	Yes	Corporate Finance Standing Committee
11	Corporate Finance	Article 19(10) - specifying the criteria for the scrutiny of prospectuses, in particular the completeness, comprehensibility and consistency of the information contained therein, and the procedures for the approval of the prospectus	Prospectus Regulation	Technical Advice	Mandatory	01/03/2018	Investors and Issuers Department	Yes	Yes	Corporate Finance Standing Committee
12	Corporate Finance	Article 9(14) - specifying the criteria for the scrutiny of the universal registration document, its amendments and the procedures for the approval, filing and review of those documents and when the status of frequent issuer is lost.	Prospectus Regulation	Technical Advice	Mandatory	01/03/2018	Investors and Issuers Department	Yes	Yes	Corporate Finance Standing Committee
13	Corporate Finance	Article 1(7) - the minimum information content of the documents containing minimum information describing a takeover by way of exchange offer, a merger or a division.	Prospectus Regulation	Technical Advice	Mandatory	01/09/2018	Investors and Issuers Department	Yes	Yes	Corporate Finance Standing Committee

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14	Corporate Finance	Article 29(3) - general equivalence criteria, for prospectuses drawn up under the laws of third countries.	Prospectus Regulation	Technical Advice	Mandatory	01/08/2019	Investors and Issuers Department	Yes	Yes	Corporate Finance Standing Committee
15	Corporate Finance	Article 27(7) (Regulation 2017/2402) templates through which originators, sponsors and SSPEs shall notify ESMA that the securitisation comply with the STS requirements for non ABCP securitisation (articles 120 to 23) and ABCP securitisation (articles 23 to 26)	STS Securitisation Regulation	Implementing Technical Standards (article 15)	Mandatory	18/07/2018	Investors and Issuers Department	Yes	Yes	Credit Rating Agency Technical Committee & Securitisation Task Force
16	Corporate Finance	Article 10(8) (Regulation 2017/2402) - registration of a securitisation repository (a) format of the application for registration, (b) application for an extension of registration.	STS Securitisation Regulation	Implementing Technical Standards (article 15)	Mandatory	18/01/2019	Investors and Issuers Department	Yes	Yes	Credit Rating Agency Technical Committee & Securitisation Task Force
17	Corporate Finance	Article 17(3) (Regulation 2017/2402) - availability of data held in securitisation repository - standardised templates by which the originator, sponsor or SSPE shall provide the information to the securitisation repository.	STS Securitisation Regulation	Implementing Technical Standards (article 15)	Mandatory	18/01/2019	Investors and Issuers Department	Yes	Yes	Credit Rating Agency Technical Committee & Securitisation Task Force
18	Corporate Finance	Article 7(4) (Regulation 2017/2402) - format thereof by means of standardised templates.	STS Securitisation Regulation	Implementing Technical Standards (article 15)	Mandatory	18/01/2019	Investors and Issuers Department	Yes	Yes	Credit Rating Agency Technical Committee & Securitisation Task Force
19	Corporate Finance	Article 27(6) (Regulation 2017/2402) information that the originators, sponsors and Securitisation Special Purpose Entities (SSPEs) shall notify ESMA that the securitisation comply with the STS requirements for non Asset Backed Commercial Paper (ABCP) securitisation (articles 120 to 23) and ABCP securitisation (articles 23 to 26).	STS Securitisation Regulation	Regulatory Technical Standards (article 10)	Mandatory	18/07/2018	Investors and Issuers Department	Yes	Yes	Credit Rating Agency Technical Committee & Securitisation Task Force
20	Corporate Finance	Article 28(4) (Regulation 2017/2402) - Information to be provided to the competent authorities in the application for the authorisation of a third party ("third party verifying STS compliance").	STS Securitisation Regulation	Regulatory Technical Standards (article 10)	Mandatory	18/07/2018	Investors and Issuers Department	Yes	Yes	Credit Rating Agency Technical Committee & Securitisation Task Force
21	Corporate Finance	Article 17(2) (Regulation 2017/2402)- availability of data held in securitisation repository including (a) details of the securitisation that the originator, sponsor or SSPE shall provide; (b) operational standards required to allow timely, structured and comprehensive collection of data and aggregation and comparison of data; (c) details of the information to which specific entities have access; (d) terms and conditions under which such entities are to have direct access.	STS Securitisation Regulation	Regulatory Technical Standards (article 10)	Mandatory	18/01/2019	Investors and Issuers Department	Yes	Yes	Credit Rating Agency Technical Committee & Securitisation Task Force
22	Corporate Finance	Article 36((8) (Regulation 2017/2402) - general co-operation obligation and the information to be exchanged and the notifications obligations across Competent Authorities and ESAs.	STS Securitisation Regulation	Regulatory Technical Standards (article 10)	Mandatory	18/01/2019	Investors and Issuers Department	Yes	Yes	Credit Rating Agency Technical Committee & Securitisation Task Force
23	Corporate Finance	Article 7(3) (Regulation 2017/2402) - information disclosure templates and information sharing by originators, sponsors and SSPE. The standardised templates apply to 1) info on underlying assets, 2) all underlying documentation/transactions, 3) transaction summary, 4) STS notification, 5) quarterly investor reports.	STS Securitisation Regulation	Regulatory Technical Standards (article 10)	Mandatory	18/01/2019	Investors and Issuers Department	Yes	Yes	Credit Rating Agency Technical Committee & Securitisation Task Force

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24	Corporate Finance	Article 44 (Regulation 2017/2402) - Joint Committee to publish a report on a) the implementation of the Simple Transparent and Secure (STS) requirements, (b) the actions that Competent Authorities have undertaken (c) the functioning of the due diligence requirements in Article 5 and the transparency requirements in Article 7 of this Regulation and the level of transparency of the securitisation market in the Union (d) the risk retention requirements in Article 6.	STS Securitisation Regulation	Technical Advice/Report	Mandatory	01/01/2021	Investors and Issuers Department	Yes	Yes	Credit Rating Agency Technical Committee & Securitisation Task Force
25	Investment Management	Article 17a(3) - ESMA shall develop draft implementing technical standards on standard forms, templates and procedures for the provision of information to be made available to ESMA in accordance with paragraph 1.	European Social Entrepreneurship Funds Regulation (EuSEF)	Implementing Technical Standards (article 15)	Mandatory	TBC	Investors and Issuers Department	No	No	Investment Management Standing Committee
26	Investment Management	Article 15(8) - ESMA may develop draft implementing technical standards on standard forms, templates and procedures for the provision of information to the competent authorities in application for registration set out in paragraph 1 and the conditions set out in paragraph 2.	European Social Entrepreneurship Funds Regulation (EuSEF)	Implementing Technical Standards (article 15)	Discretionary	TBC	Investors and Issuers Department	Yes	Yes	Investment Management Standing Committee
27	Investment Management	Article 15a(9) - ESMA may develop draft implementing technical standards on standard forms, templates and procedures for the provision of information to the competent authorities in accordance with paragraph 2.	European Social Entrepreneurship Funds Regulation (EuSEF)	Implementing Technical Standards (article 15)	Discretionary	TBC	Investors and Issuers Department	Yes	Yes	Investment Management Standing Committee
28	Investment Management	Article 15(7) - ESMA may develop draft regulatory technical standards to further specify the information to be provided to the competent authorities in the application for registration as set out in paragraph 1 and to further specify the conditions as set out in paragraph 2.	European Social Entrepreneurship Funds Regulation (EuSEF)	Regulatory Technical Standards (article 10)	Discretionary	TBC	Investors and Issuers Department	Yes	Yes	Investment Management Standing Committee
29	Investment Management	Article 15a(8) - ESMA may develop draft regulatory technical standards to further specify the information to be provided to the competent authorities in accordance with paragraph 2.	European Social Entrepreneurship Funds Regulation (EuSEF)	Regulatory Technical Standards (article 10)	Discretionary	TBC	Investors and Issuers Department	Yes	Yes	Investment Management Standing Committee
30	Investment Management	Article 17a(2) - ESMA may develop draft regulatory technical standards to further specify the information to be made available to ESMA in accordance with paragraph 1.	European Social Entrepreneurship Funds Regulation (EuSEF)	Regulatory Technical Standards (article 10)	Discretionary	TBC	Investors and Issuers Department	No	No	Investment Management Standing Committee
31	Investment Management	Article 16a(3) - ESMA shall develop draft implementing technical standards on standard forms, templates and procedures for the provision of information to be made available to ESMA in accordance with paragraph 1.	European Venture Capital Funds Regulation (EuVECA)	Implementing Technical Standards (article 15)	Mandatory	TBC	Investors and Issuers Department	No	No	Investment Management Standing Committee
32	Investment Management	Article 14(8) - ESMA may develop draft implementing technical standards on standard forms, templates and procedures for the provision of information to the competent authorities in the application for registration set out in paragraph 1 and the conditions set out in paragraph 2.	European Venture Capital Funds Regulation (EuVECA)	Implementing Technical Standards (article 15)	Discretionary	TBC	Investors and Issuers Department	Yes	Yes	Investment Management Standing Committee
33	Investment Management	Article 14a(9) - ESMA may develop draft implementing technical standards on standard forms, templates and procedures for the provision of information to the competent authorities in accordance with paragraph 2.	European Venture Capital Funds Regulation (EuVECA)	Implementing Technical Standards (article 15)	Discretionary	TBC	Investors and Issuers Department	Yes	Yes	Investment Management Standing Committee

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34	Investment Management	Article 14(7) - ESMA may develop draft regulatory technical standards to further specify the information to be provided to the competent authorities in the application for registration as set out in paragraph 1 and to further specify the conditions as set out in paragraph 2.	European Venture Capital Funds Regulation (EuVECA)	Regulatory Technical Standards (article 10)	Discretionary	TBC	Investors and Issuers Department	Yes	Yes	Investment Management Standing Committee
35	Investment Management	Article 14a(8) - ESMA may develop draft regulatory technical standards to further specify the information to be provided to the competent authorities in the application for registration as set out in paragraph 1 and to further specify the conditions as set out in paragraph 2.	European Venture Capital Funds Regulation (EuVECA)	Regulatory Technical Standards (article 10)	Discretionary	TBC	Investors and Issuers Department	Yes	Yes	Investment Management Standing Committee
36	Investment Management	Article 16a(2) - ESMA may develop draft regulatory technical standards to further specify the information to be made available to ESMA in accordance with paragraph 1.	European Venture Capital Funds Regulation (EuVECA)	Regulatory Technical Standards (article 10)	Discretionary	TBC	Investors and Issuers Department	No	No	Investment Management Standing Committee
37	Investment Management	Article 22 - Regulatory Technical Standards specifying the common definitions, calculation methodologies and presentation formats of the costs	Regulation on European Long-term Investment Funds (ELTIFs)	Regulatory Technical Standards (article 10)	Mandatory	TBC	Investors and Issuers Department	Yes	Yes	Investment Management Standing Committee
38	Investment Management	Article 13 - ESMA may, taking into account the requirements laid down in Directives 2009/65/EC and 2011/61/EU as well as evolving market practices, develop draft regulatory technical standards further specifying the content of Section A of the Annex.	Securities Financing Transactions Regulation (SFTR)	Regulatory Technical Standards (article 10)	Discretionary	TBC	Investors and Issuers Department	Yes	Yes	Investment Management Standing Committee
39	Investment Management	Article 14 - ESMA may, taking into account the requirements laid down in Directives 2009/65/EC and 2011/61/EU, develop draft regulatory technical standards further specifying the content of Section B of the Annex.	Securities Financing Transactions Regulation (SFTR)	Regulatory Technical Standards (article 10)	Discretionary	TBC	Investors and Issuers Department	Yes	Yes	Investment Management Standing Committee
40	Investor Protection and Intermediaries	MiFID II - Contribution to EC Reports on impact of disclosure on costs and charges including on cross-border advice, on product intervention and on the application of sanctions	Markets in Financial Instruments Directive (MiFID)	Technical Advice	Mandatory	01/03/2019	Investors and Issuers Department	No	No	Investor Protection and Intermediaries Standing Committee
41	Investor Protection and Intermediaries	[Placeholder for support on the equivalence assessments of third-country regimes under Art.47 of MiFIR]	Markets in Financial Instruments Directive (MiFID)	Technical Advice	TBC	TBC	Investors and Issuers Department	No	No	Investor Protection and Intermediaries Standing Committee
42	Market Integrity and Market Data	Third Country Article 30 - Third country equivalence	Benchmarks Regulation	Technical advice	Mandatory (upon COM request)	31/12/2018	Markets Department	No	No	Market Integrity Standing Committee
43	Market Integrity and Market Data	RTS on reporting under Article 9(5)	European Market Infrastructure Regulation (EMIR)	Regulatory Technical Standards (article 10)	Discretionary	TBC	Markets Department	Yes	Yes	Market Data Standing Committee
44	Market Integrity and Market Data	Article 24(3) - exchange of information between ESMA and competent authorities	Market Abuse Regulation	Implementing Technical Standards (article 15)	Mandatory	01/02/2018	Markets Department	No	No	Market Integrity Standing Committee
45	Market Integrity and Market Data	Article 25(9) - co-operation (exchange of information and assistance) between ESMA, competent authorities (CAs), the Agency for the Cooperation of Energy Regulators (ACER) and energy national regulatory authorities as well as between CAs and national/third country regulatory authorities of the spot commodity markets	Market Abuse Regulation	Implementing Technical Standards (article 15)	Mandatory	01/02/2018	Markets Department	No	No	Market Integrity Standing Committee
46	Market Integrity and Market Data	Article 26(2) - template document for co-operation arrangements between competent authorities and authorities in third countries	Market Abuse Regulation	Regulatory Technical Standards (article 10)	Mandatory	01/03/2018	Markets Department	No	No	Market Integrity Standing Committee

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47	Market Integrity and Market Data	Article 38 - MAR review	Market Abuse Regulation	Technical Advice/Report	Mandatory	31/12/2018	Markets Department	Yes	No	Market Integrity Standing Committee
48	Market Integrity and Market Data	New article 9(6) - (new) ITS on reporting	PROPOSAL EMIR 2.2	Implementing Technical Standards (article 15)	Mandatory	TBC	Markets Department	Yes	Yes	Market Data Standing Committee

#	2018 AWP sub-activity	Name of Document	Relevant Legislative Text	Procedure	Mandatory / Discretionary	Deadline	Department	Consultation proposed	Cost-benefit analysis proposed	ESMA groups from which advice will be sought
49	Post Trading	Technical Advice on Third Country Central Securities Depositories equivalence	Central Securities Depositories Regulation (CSDR)	Technical Advice	Mandatory (upon COM request)	TBC	Markets Department	Yes	Yes	Post-Trading Standing Committee
50	Post Trading	RTS on EMIR risk management techniques for Over-the-counter (OTC) derivatives not cleared in relation to covered bonds and securitisation (jointly with the ESAs)	European Market Infrastructure Regulation (EMIR)	Regulatory Technical Standards (article 10)	Mandatory	TBC	Markets Department	Yes	Yes	Post-Trading Standing Committee
51	Post Trading	RTS on the criteria about the mitigation of counterparty credit risk in relation to covered bonds and securitisation and with respect to the EMIR clearing obligation (jointly with the ESAs)	European Market Infrastructure Regulation (EMIR)	Regulatory Technical Standards (article 10)	Mandatory	TBC	Markets Department	Yes	Yes	Post-Trading Standing Committee
52	Post Trading	Determining new classes of derivatives subject to the clearing obligation	European Market Infrastructure Regulation (EMIR)	Regulatory Technical Standards (article 10)	Discretionary	TBC	Markets Department	Yes	Yes	Post-Trading Standing Committee
53	Post Trading	Technical Advice on Third Country Central Securities Depositories equivalence	European Market Infrastructure Regulation (EMIR)	Technical Advice	Mandatory (upon COM request)	TBC	Markets Department	Yes	Yes	Post-Trading Standing Committee
54	Post Trading	New article 56(4) - (new) ITS on TR registration/extension application	PROPOSAL EMIR 2.2	Implementing Technical Standards (article 15)	Mandatory	TBC	Markets Department	Yes	Yes	Post-Trading Standing Committee
55	Post Trading	New article 78(10) - RTS on data reconciliation and TR verification of reporting requirements	PROPOSAL EMIR 2.2	Regulatory Technical Standards (article 10)	Mandatory	TBC	Markets Department	Yes	Yes	Post-Trading Standing Committee
56	Post Trading	New article 11(15) - (new) RTS on risk mitigation techniques	PROPOSAL EMIR 2.2	Regulatory Technical Standards (article 10)	Mandatory	TBC	Markets Department	Yes	Yes	Post-Trading Standing Committee
57	Post Trading	New article 56(3) - (new) RTS on TR registration application	PROPOSAL EMIR 2.2	Regulatory Technical Standards (article 10)	Mandatory	TBC	Markets Department	Yes	Yes	Post-Trading Standing Committee
58	Post Trading	New article 81(5) - (new) RTS on transparency and data availability	PROPOSAL EMIR 2.2	Regulatory Technical Standards (article 10)	Mandatory	TBC	Markets Department	Yes	Yes	Post-Trading Standing Committee
59	Post Trading	New article 21c(2) - TA on Fees	PROPOSAL EMIR 2.2	Technical Advice	Mandatory (upon COM request)	TBC	Markets Department	Yes	Yes	Post-Trading Standing Committee
60	Post Trading	New article 25(2a) - TA on tier 2 CCP criteria	PROPOSAL EMIR 2.2	Technical Advice	Mandatory (upon COM request)	TBC	Markets Department	Yes	Yes	Post-Trading Standing Committee
61	Post Trading	New article 25(6a) - TA on equivalence criteria	PROPOSAL EMIR 2.2	Technical Advice	Mandatory (upon COM request)	TBC	Markets Department	Yes	Yes	Post-Trading Standing Committee
62	Post Trading	New article 25a(3) - TA on comparable compliance criteria	PROPOSAL EMIR 2.2	Technical Advice	Mandatory (upon COM request)	TBC	Markets Department	Yes	Yes	Post-Trading Standing Committee
63	Post Trading	New article 25f(7) - TA on powers to impose fines and periodic penalty payments	PROPOSAL EMIR 2.2	Technical Advice	Mandatory (upon COM request)	TBC	Markets Department	Yes	Yes	Post-Trading Standing Committee
64	Post Trading	Article 13 - RTS on the contents of the resolution plan	PROPOSAL Recovery and Resolution Regulation	Regulatory Technical Standards (article 10)	Mandatory	TBC	Markets Department	Yes	Yes	Post-Trading Standing Committee
65	Post Trading	Articles 25 and 26 - RTS in relation to valuation of the assets, liabilities, rights and obligations of the CCP	PROPOSAL Recovery and Resolution Regulation	Regulatory Technical Standards (article 10)	Mandatory	TBC	Markets Department	Yes	Yes	Post-Trading Standing Committee
66	Post Trading	Article 4 - RTS on content of the written arrangements and procedures for the functioning of the resolution colleges	PROPOSAL Recovery and Resolution Regulation	Regulatory Technical Standards (article 10)	Mandatory	TBC	Markets Department	Yes	Yes	Post-Trading Standing Committee
67	Post Trading	Article 61 - RTS in relation to valuation of No Credit Worse Off	PROPOSAL Recovery and Resolution Regulation	Regulatory Technical Standards (article 10)	Mandatory	TBC	Markets Department	Yes	Yes	Post-Trading Standing Committee
68	Secondary Markets	Article 32(2) - standards for determining which derivatives are to be traded on MiFID venues only	Markets in Financial Instruments Regulation (MiFIR)	Regulatory Technical Standards (article 10)	Mandatory	TBC	Markets Department	Yes	Yes	Secondary Markets Standing Committee

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69	Secondary Markets	ITS CRR Amendments-update of the list of regulated exchanges and indices.	Capital Requirements Regulation (CRR)	Amendment of Implementing Technical Standards	Discretionary	TBC	Markets Department	Yes	No	Secondary Markets Standing Committee
70	Secondary Markets	Article 90(2) - report on functioning of the consolidated tape.	Markets in Financial Instruments Directive (MiFID II)	Report	Mandatory	31/03/2019	Markets Department	TBC	TBC	Secondary Markets Standing Committee
71	Secondary Markets	Article 90(1) - report on points (a)-(h)	Markets in Financial Instruments Directive (MiFID II)	Report	Mandatory	30/09/2019	Markets Department	TBC	TBC	Secondary Markets Standing Committee
72	Secondary Markets	RTS 1 Amendments (Article 10 on tick size for SI quotes)	Markets in Financial Instruments Regulation (MiFIR)	Amendment of Regulatory Technical Standards	Discretionary	30/06/2018	Markets Department	Yes	Yes	Secondary Markets Standing Committee
73	Secondary Markets	RTS 2 Amendments (Transparency Threshold)	Markets in Financial Instruments Regulation (MiFIR)	Amendment of Regulatory Technical Standards	Mandatory	01/07/2019	Markets Department	Yes	Yes	Secondary Markets Standing Committee
74	Secondary Markets	Article 33(1) and 33(4) - report on potential duplicative or conflicting requirements related to Art 28 and 29 (international application) and report on the effective implementation by third countries (deemed equivalent) of requirements equivalent to those in article 28 and 29 of MiFIR.	Markets in Financial Instruments Regulation (MiFIR)	Report	Mandatory	01/07/2018	Markets Department	TBC	TBC	Secondary Markets Standing Committee
75	Secondary Markets	Article 52(5) - Report on appropriate solutions to reduce information asymmetries between market participants.	Markets in Financial Instruments Regulation (MiFIR)	Report	Mandatory	30/09/2019	Markets Department	TBC	TBC	Secondary Markets Standing Committee
76	Secondary Markets	Article 19(1) - report on quotes availability to clients and degree to which quotes reflect prevailing market conditions.	Markets in Financial Instruments Regulation (MiFIR)	Report	Mandatory	31/12/2019	Markets Department	TBC	TBC	Secondary Markets Standing Committee

NB: The Regulatory Work Programme is kept under review through the year in order to respond to reflect potential legislative change or to update information as it becomes known. In accordance with its founding regulation ESMA requests the opinion of the Securities and Markets Stakeholder Group (MSG) on draft technical standards.