

## ESMA Staff Stakeholder contacts in the Fourth Quarter 2020

ESMA is committed to the highest standards of transparency in its interactions with stakeholders. ESMA will therefore publish information on ESMA staff meetings with external stakeholders.

Date	Institution met	Main topics discussed
02/10/2020	BSE Ltd	ESMA's opinion on determining third-country trading venues for the purposes of transparency under MiFIR
02/10/2020	EFAMA, Finance Watch, Bloomberg, WWF, Accountancy Europe, UPM Kymmene Corporation	ESMA advice under Article 8 of the Taxonomy Regulation
05/10/2020	Large accounting firms	Sustainability reporting: current developments
07/10/2020	AFME	Post Trade Name Give-Ups (PTNGU)
09/10/2020	DTCC	CSDR Review
09/10/2020	IHS Markit	STPs, no -name give ups and DTO
12/10/2020	Financement Participatif France	Crowdfunding
13/10/2020	DTCC	C19 crisis impact, settlement fails, CCP margins
16/10/2020	The Investing and Saving Alliance (TISA-TURN)	MiFID II and universal reporting network
16/10/2020	Invest Europe	AIFMD Review

21/10/2020	EACH	CSDR Review and reports on internalised settlement and cross-border services
22/10/2020	Bundesverband Crowdfunding eV	Crowdfunding Regulation and ESMA's technical standards mandates
23/10/2020	Jump Trading	Private and public feed dynamics
26/10/2020	Susquehanna	Private and public feed dynamics
28/10/2020	Finance Denmark	Interpretation of art. 16 of MAR
28/10/2020	LSE	Share Trading Obligation, List of third country trading venue published by ESMA
28/10/2020	Goldman Sachs	Digital finance
29/10/2020	Trading associations	Implementation of Shareholder Rights Directive II (SRD II)
30/10/2020	Euroclear	Digital finance
04/11/2020	Quandl Astec	Data use and data quality
04/11/2020	FTSE Russell	FTSE-Russell Green Revenues database
06/11/2020	Moody's	Quarterly update
11/11/2020	ICI Global	AIFMD review and ESMA's work on liquidity risk management
12/11/2020	Meeting with consumer representatives on investor protection	Costs and performance report 2020 CSA on costs in the funds sector Follow-up on the application of the guidelines on performance fees Closet indexing Guidelines on marketing communication Appropriateness Product governance Crowdfunding
12/11/2020	ISDA	Extension of third country benchmarks transitional period
17/11/2020	AFME	CSDR settlement discipline issues

17/11/2020	Blackrock, Barclays, Citi, JPM, GS, Societe Generale, UBS, Vanguard	Recovery and Resolution, CCP Margin Procyclicality
18/11/2020	European Issuers	CSDR settlement discipline issues
18/11/2020	ISDA	EMIR, DTO BREXIT, Name Give Up/EMIR Reporting
20/11/2020	FESE	Consistency of supervisory practices in relation to DEA
20/11/2020	Association Francaise des Societes Financieres (ASF)	Financial research, Green finance, End of the day loss reporting
20/11/2020	RepRisk	ESG ratings data subscription
20/11/2020	Large accounting firms	Accounting for banks' lending operations
02/12/2020	ECSDA	Contingency measures for cash penalties
03/12/2020	FESE	MiFID II Annual Statistical report
04/12/2020	Large accounting firms	Accounting for lease reliefs
14/12/2020	CCP12	CCP resilience and resolution
16/12/2020	ESC Clermont	Green bond market
16/12/2020	LCH Ltd	New supervisory regime for Tier 2 CCPs
17/12/2020	AFME	MAR Review follow up
17/12/2020	AFME	OTF, Reference data, Transaction reporting
18/12/2020	ISDA	Delegated acts on ESG disclosures for benchmark administrators
23/12/2020	Euronext	Delegated acts on ESG disclosures for benchmark administrators