

ESMA Staff Stakeholder contacts in the Fourth Quarter 2019

ESMA is committed to the highest standards of transparency in its interactions with stakeholders. ESMA will therefore publish information on ESMA staff meetings with external stakeholders.

Date	Institution met	Main topics discussed
02/10/2019	Nivaura/LSEG	Crypto assets
02/10/2019	GDF Summit	Crypto assets
03/10/2019	Morningstar	Behavioural finance and investor preferences, ESG fund ratings
03/10/2019	Pension Fund Stakeholders	Pension fund exemption from clearing
04/10/2019	AIMA	Short-termism report and AIFMD review
04/10/2019	Nasdaq	US Consolidated Tape
07/10/2019	Parseport	Tool for preparation of ESEF reports
08/10/2019	ICI Global	ETFs and financial stability related policy developments
10/10/2019	Association of Global Custodians	Depositary rules
11/10/2019	European Central Securities Depositories Association	Passporting
11/10/2019	PensionDanmark	CLO and leveraged loans
14/10/2019	Accountancy Europe	ESMA Corporate Reporting work
14/10/2019	ISLA	SFTR validation rules
15/10/2019	AFME	CSDR settlement discipline issues
15/10/2019	Blackrock	CLOs, Sustainable investing, Artificial Intelligence, Fund stress testing
16/10/2019	LiquidShare	Crypto assets
16/10/2019	Aquis	Consolidated Tape equity instruments

18/10/2019	FESE	Cost of Market Data and Consolidated Tape
18/10/2019	Large accounting firms	Current issues in corporate reporting and its development in the future
21/10/2019	Datalend	Securities lending data
22/10/2019	ICE Benchmark Administration (IBA)	ESTR, EURIBOR, LIBOR and Overnight Financing Rates.
22/10/2019	Italian Banking Association	MAR review process
22/10/2019	ISDA	PTRR services
23/10/2019	ICMA	CSDR settlement discipline issues
23/10/2019	Wisdom tree	PRIIPs
24/10/2019	European Central Securities Depositories Association	CSDR settlement discipline: valuation, representation and duration of settlement fails
24/10/2019	Vanguard	Investment fund liquidity risk management, ETF
25/10/2019	European Association of CCP Clearing Houses	PTRR services
29/10/2019	European Association of CCP Clearing Houses	CSDR CCP-related issues
29/10/2019	KPMG, Deloitte, Ernst & Young, PWC, BDO, GT, Mazars	Short-termism and ESG reporting, IFRS 9 implementation and IFRS 17 considerations
04/11/2019	Gide	Settlement coins
06/11/2019	XBRL Europe	ESEF Best practice task force
06/11/2019	S&P	ESMA CRA Policy
07/11/2019	European Central Securities Depositories Association, European Association of CCP Clearing Houses	CSDR settlement discipline issues: cash penalties collection and distribution
11/11/2019	EEX	Merger of Powernext and EEX
12/11/2019	BEUC, Better Finance	Funds' performance fees, closet indexing, Costs and charges, inducements, financial education
13/11/2019	European Central Securities Depositories Association	CSDR settlement discipline issues: cash penalties collection and distribution
14/11/2019	GRI (Global Reporting Initiative)	GRI proposals on management statement of compliance
18/11/2019	MSCI	Liquidity risk
19/11/2019	Capital Group	Sustainable finance
25/11/2019	Institute of International Finance	Sustainable finance, measurement of climate risk
27/11/2019	J.P Morgan, Goldman Sachs, BlackRock	CCP Resilience, Recovery and Resolution
27/11/2019	European Central Securities Depositories Association	CSDR issues
28/11/2019	FIA/ISDA working group	Commodity derivatives

28/11/2019	The City UK	Exchange of views on Brexit related issues
28/11/2019	EFRAG	Financial reporting
02/12/2019	European Central Securities Depositories Association, European Association of CCP Clearing Houses	CSDR issues
02/12/2019	Morningstar	Morningstar sustainability scoring
03/12/2019	EVIA	MiFID II, Brexit and MAR
04/12/2019	Accountancy Europe	Proposals regarding standard-setting in the area of non-financial reporting
04/12/2019	ISDA, BNP Paribas, Clifford Chance, BlackRock, Société Générale, IHS Markit	PTRR services, FRANDT, DTO, MMFs as eligible investments for CCPs
06/12/2019	TriOptima	PTRR services
09/12/2019	BDO, KPMG, Deloitte, Mazars, Ernst & Young, Price Waterhouse Coopers, Grant Thornton	Discussion on Q4 2019 IFRS reporting issues
10/12/2019	FESE	Position limits, pre-trade transparency for commodity derivatives
10/12/2019	European Central Securities Depositories Association	CSDR - cash penalties scope
10/12/2019	Capitalab (BG partners)	PTRR services
11/12/2019	MFA	CMU, AIFMD review and sustainable finance
17/12/2019	Euroclear	Irish CSD Solution
18/12/2019	Société Générale	Crypto assets
18/12/2019	TriOptima	PTRR services
19/12/2019	Global FX Code	Spot FX contracts and the FX Global Code for Conduct
19/12/2019	Federated Investors	UCITS benchmarks Q&A, MMF stress tests, AIFMD review and ESG disclosure
20/12/2019	European Association of CCP Clearing Houses	CSDR settlement discipline issues