Declaration of Intention

First Name | Thorsten
Surname | Pötzsch
Authority / MS | BaFin – Bundesanstalt für Finanzdienstleistungsaufsicht
Current ESMA involvement | BoS member

I declare that I have read the Decision of the Board of Supervisors adopting a Policy on Independence and Decision-Making Processes for avoiding Conflicts of Interest (Conflict of Interest Policy) for Non-Staff (ESMA-22-328-402) of 29 January 2020 and that I am aware of, and undertake to comply with, my obligations.

I declare that I have read the Management Board Decision on Rules of Procedure on Professional Secrecy for Non-Staff (ESMA40-1432018523-304) of 25 January 2017 and that I am aware of, and undertake to comply with, my obligations.

I hereby agree and acknowledge as follows:

1. "ESMA Information" means all oral or written information, facts, data and any other matters, of which persons referred to in Article 1(2) of the Management Board Decision on Rules of Procedure on Professional Secrecy for Non-Staff acquire knowledge, directly or indirectly, as a result of their ESMA activities whether or not contained in a document of any kind (electronic or on paper or any other medium) that is proprietary to or possessed by ESMA and has not been made public by ESMA.

2. "Unauthorised Disclosure of ESMA Information" means the act of intentionally or unintentionally disclosing ESMA Information to unauthorised recipients.

3. I will treat all ESMA Information – except for information classified as "PUBLIC" or information which has already been made public – as subject to the Management Board Decision on Rules of Procedure on Professional Secrecy for Non-Staff, and I undertake not to make any Unauthorised Disclosure of ESMA Information.
I have read and understood this undertaking and agree to its terms.

<table>
<thead>
<tr>
<th>Date:</th>
<th>Signature:</th>
</tr>
</thead>
<tbody>
<tr>
<td>27.5.21</td>
<td>signed</td>
</tr>
</tbody>
</table>

Please send a signed copy of this form to ESMA’s Ethics Officer at ethics@esma.europa.eu.