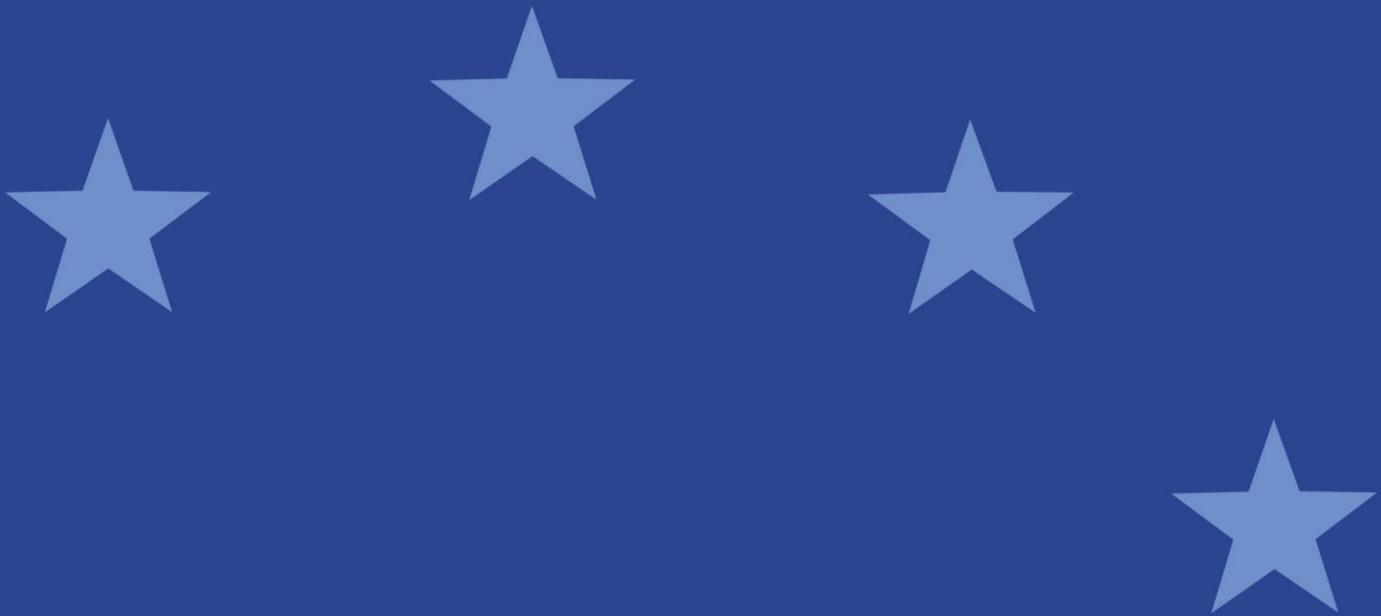




European Securities and
Markets Authority

Budget 2020 amendment n.4





ESMA Revenue for 2020 – amendment n.4

Source of revenue	Budget 2020 n3 In Euro	Amending budget No.4/2020 In Euro	New amount after Budget Amendment 4/2020 In Euro
Contribution from National Competent Authorities from the Member States	21,215,167		21,215,167
Contribution from the European Union	15,664,157		15,664,157
EU advance (ESAs Review direct supervision BMRK and DSRP)			
Credit Rating Agencies' Fees	9,645,966		9,645,966
Trade Repositories' Fees	2,713,483		2,713,483
SFTR Fees	466,710		466,710
STS Fees	238,711	-73,711	165,000
EMIR 2.2.	1,717,620		1,717,620
Contribution from Observers	602,704		602,704
Contribution from National Supervisory Authorities for delegated tasks	1,697,615	50,924	1,748,539
TOTAL	53,962,133	-22,787	53,939,346

Revenue cashed outside the budget (hors-budget)		2,358,300	2,358,300
EU advance for ESAs Review direct supervision of Benchmarks and Data Reporting Service Providers		1,101,268	1,101,268
NCA's contribution collected in 2020, to be off-set from 2021 NCA contribution		404,652	404,652
EMIR 2.2. fees to be paid back to the Commission in 2021 as reimbursement of the 2019 EU advance*		852,380	852,380

* Not all fees have yet been cashed, as the deadline for the Recovery orders is still not due.

ESMA Budgeted Expenditure for 2020 n.4

TITLE Chapter	Description	2020 Budget appropriations after Budget Reviews and Budget amendment 3/2020	Amending Budget No. 4/2020	New amount after Budget Amendment 4/2020
1	STAFF EXPENDITURE			
11	Staff in active employment	29,743,150	-73,711	29,669,439
12	Expenditure relating to staff management and recruitment	470,000		470,000
14	Socio-medical infrastructure	565,000		565,000
16	Training	260,000		260,000
1	TOTAL TITLE I	31,038,150	-73,711	30,964,439

2	INFRASTRUCTURE AND ADMINISTRATIVE EXPENDITURE			
20	Rental of building and associated costs	6,352,000		6,352,000
21	Information and communication technology	450,000		450,000
23	Current administrative expenditure	1,110,115		1,110,115
27	Representation expenses, receptions and events	6,000		6,000
2	TOTAL TITLE II	7,918,115		7,918,115

3	OPERATING EXPENDITURE			
31	Training for a common supervisory culture	0		0
32	Collection of information: IT projects	10,916,251		10,916,251
34	Legal advice	65,000		65,000
35	Access to data for Economic Research	600,000		600,000
36	Mission expenses, travel and incidental expenses	70,811		70,811
37	Communications	793,200		793,200
38	Meeting expenses	108,529		108,529
39	Services on operational matters	2,077		2,077
3	TOTAL TITLE III	12,555,868		12,555,868

4	DELEGATED TASKS			
40	Single interface to Trade Repositories	400,000	50,924	450,924
41	Instruments Reference Data	2,050,000		2,050,000
4	TOTAL TITLE IV	2,450,000	50,924	2,500,924
TOTAL EXPENDITURE		53,962,133	-22,787	53,939,346

9	ITEMS OUTSIDE THE FORESEEN BUDGET LINES		2,358,300	2,358,300
	EU advance for ESAs Review direct supervision of Benchmarks and Data Reporting Service Providers		1,101,268	1,101,268
	NCA's contribution collected in 2020, to be off-set from 2021 NCA contribution		404,652	404,652
	EMIR 2.2. fees to be paid back to the Commission in 2021 as reimbursement of the 2019 EU advance		852,380	852,380