



European Securities and
Markets Authority

Amendment No. 2 (Option B)

Of the Delegation Agreement number [Reference]



Amendment No. 2 of the Delegation Agreement No. 2014/[XXX]

Following the decision by the Belgian, Croatian, Czech, German, Italian, Latvian, Luxemburgish, Slovakian, Slovenian, Spanish and UK national competent authorities to participate in the Instrument Reference Data Project, this Amendment No. 2 (hereinafter referred to as “the Amendment”) amends the Delegation Agreement referred above (hereinafter referred to as “the Delegation Agreement”), as set out below:

The European Securities and Markets Authority, represented by Verena Ross;

(“ESMA”)

of the one part,

And

[Name of the NCA] with its Head office at **[address]**, represented by **[Name of representative]**;

(“NCA”)

of the other part,

have agreed as follows:

Article 1: Description of Tasks

Annex 1.1 of the Delegation Agreement is replaced by the document entitled “Annex 1.1.B (Description of Tasks)” attached to this Amendment.

Article 2: Presentation (Instrument Reference Data Project)

Annex 2.1 of the Delegation Agreement is replaced by the document entitled “Annex 2.1 (Presentation Instrument Reference Data Project)” attached to this Amendment.

Article 3: SLA Instruments

Annex 3.1 of the Delegation Agreement is replaced by the document entitled “Annex 3.1 (SLA Instruments)” attached to this Amendment.

Article 4: Financial contributions

Annex 4.1 of the Delegation Agreement is replaced by the document entitled “Annex 4.1 (Financial contributions)” attached to this Amendment.

Article 5: Work Plan (Financial Instruments Reference Data System)



Annex 5.1 of the Delegation Agreement is replaced by the document entitled “Annex 5.1 (Work plan)” attached to this Amendment.

Article 6: Other provisions of the Delegation Agreement

All other provisions of the Delegation Agreement remain unchanged and shall continue to apply.

Article 7: Entry into force

The present Amendment shall form an integral part of the Delegation Agreement. It shall enter into force on the day when it has been signed by the Parties and the corresponding budget is approved by ESMA’s Board of Supervisors.

Article 8: Annexes

The following documents are annexed to this Amendment and form an integral part of it:

- Annex 1.1.B (Description of Tasks)
- Annex 2.1 (Presentation Instrument Reference Data Project)
- Annex 3.1 (SLA Instruments)
- Annex 4.1 (Financial contributions)
- Annex 5.1 (Work plan)

Done in two originals in the English language.

For the [Name of the NCA]

For ESMA

Name

Name

Verena ROSS

Position

Position

Executive Director

Signature

Signature

Date

Date