

European Securities and Markets Authority (ESMA) 2019 Budget – Amendment n. 2

ESMA Budgeted Revenues for 2019

Source of revenue	2019 Budget	Amending budget No. 2/2019	New amount after Budget Amendment 2/2019
Contribution from National Competent Authorities from the Member States	19,659,349		19,659,349
Contribution from the European Union	13,613,000	-503	13,612,497
Credit Rating Agencies' Fees	8,863,937	4,000	8,867,937
Trade Repositories' Fees	2,459,339		2,459,339
SFTR Fees	250,000	-50,000	200,000
STS Fees	0		0
Contribution from Observers	558,504		558,504
Contribution from National Supervisory Authorities for delegated tasks	2,025,855	-4,127	2,021,728
TOTAL	47,429,984	-50,630	47,379,354

ESMA Budgeted Expenditures for 2019 (1/4)

TITLE Chapter	Description	2019 Budget appropriations after Budget Reviews and Budget amendment 1/2019	Amending Budget No. 2/2019	New amount after Budget Amendment 2/2019
1	STAFF EXPENDITURE			
11	Staff in active employment	26,922,512	-46,503	26,876,009
12	Expenditure relating to staff management and recruitment	417,500		417,500
14	Socio-medical infrastructure	569,650		569,650
16	Training	260,000		260,000
1	TOTAL TITLE I	26,169,662	-46,503	28,123,159

ESMA Budgeted Expenditures for 2019 (2/4)

2	INFRASTRUCTURE AND ADMINISTRATIVE EXPENDITURE			
20	Rental of building and associated costs	7,212,000		7,212,000
21	Information and communication technology	240,000		240,000
23	Current administrative expenditure	646,500		646,500
27	Representation expenses, receptions and events	5,000		5,000
2	TOTAL TITLE II	8,103,500		8,103,500

ESMA Budgeted Expenditures for 2019 (3/4)

3	OPERATING EXPENDITURE			
31	Training for a common supervisory culture	1,000		1,000
32	Collection of information: IT projects	7,194,000		7,194,000
34	Legal advice	100,000		100,000
35	Access to data for Economic Research	450,000		450,000
36	Mission expenses, travel and incidental expenses	515,000		515,000
37	Communications	224,000		224,000
38	Meeting expenses	370,937		370,937
39	Services on operational matters	86,000		86,000
3	TOTAL TITLE III	8,940,937		8,940,937

ESMA Budgeted Expenditures for 2019 (4/4)

4	DELEGATED TASKS			
40	Single interface to Trade Repositories	225,885	-4,127	221,758
41	Instruments Reference Data	1,990,000		1,990,000
4	TOTAL TITLE IV	2,215,885	- 4,127	2,211,758
TOTAL EXPENDITURE		47,429,984	-50,630	47,379,354

2019 Establishment Plan

Function group and grade	Posts (temporary agent)	Function group and grade	Posts (temporary agent)
AD 16	1		
AD 15	2	AST 11	
AD 14	6	AST 10	
AD 13	2	AST 9	
AD 12	7	AST 8	2
AD 11	14	AST 7	3
AD 10	17	AST 6	3
AD 9	39	AST 5	3
AD 8	30	AST 4	1
AD 7	58	AST 3	1
AD 6	10	AST 2	
AD 5	11	AST 1	
AD total	197	AST total	13
TOTAL 210¹			

¹ Following the revision of ESMA's new mandates in the course of 2019, in agreement with the Board of Supervisors, for 2019 ESMA finally targeted 166 posts and not 210.