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| **APPLICATION FORM**  **Consultative Working Group of ESMA’s**  **Secondary Markets Standing Committee** |

Dear Sir, Madam,

Please find enclosed the application of [**name of applicant**] in response to the call for expressions of interest (Ref: ESMA/2016/1068) to become a member of the Consultative Working Group (CWG) of ESMA’s Secondary Markets Standing Committee (SMSC).

This application includes the following documents (please underline the relevant answer):

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| Detailed curriculum vitae (CV) of the person replying to the call for expressions of interest. The detailed CV should specify the different diplomas obtained (copies of which may be requested by ESMA), the expertise and experience relevant to the call for expressions of interest. | **YES** | **NO** |
| The completed application form (including Sections 1 and 2 attached hereto). | **YES** | **NO** |
| Motivation letter (optional). | **YES** | **NO** |

I hereby commit, should I be appointed as a member of the SMSC CWG, not to disclose, share or more generally make public the content, in part or in full, of any non-public papers I may be provided with in my capacity of member of the SMSC CWG.

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Name (Title / First name / Surname)

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Date (dd/mm/yyyy)

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| **SECTION 1 – IDENTIFICATION** | | | | | | |
| NAME | | |  | | | |
| CONTACT ADDRESS | | |  | | | |
| CONTACT DETAILS | | | Telephone: |  | | |
| E-mail address: |  | | |
| NATIONALITY | | | Applicant |  | | |
| Of firm/organisation (if applicable): |  | | |
| CURRENT POSITION HELD | | |  | | | |
| **SECTION 2 – CRITERIA** | | | | | | |
| TYPE OF BACKGROUND[[1]](#footnote-1) |  | **Financial services’ intermediaries** | | | | |
| A minimum of 4 years relevant professional experience in the financial services sector related to secondary markets will be required. | | | **YES** | **NO** |
|  | **Market infrastructure providers** | | | | |
| A minimum of 4 years relevant experience related to secondary markets will be required. | | | **YES** | **NO** |
|  | **Issuers** | | | | |
|  | A minimum of 4 years relevant experience related to secondary markets will be required. | | | **YES** | **NO** |
|  | **Proprietary traders, including algo/HFT traders** | | | | |
| A minimum of 4 years relevant experience related to secondary markets will be required. | | | **YES** | **NO** |
|  | **Institutional investors** | | | | |
| A minimum of 4 years relevant experience related to secondary markets will be required. | | | **YES** | **NO** |
|  | **Non-financial counterparties** | | | | |
|  | A minimum of 4 years relevant experience related to secondary markets will be required. | | | **YES** | **NO** |
|  | **Data services providers** | | | | |
|  | A minimum of 4 years relevant experience related to secondary markets will be required. | | | **YES** | **NO** |
|  | **Representatives of consumer associations or non-governmental organisations, retail investor associations and academics** | | | | |
|  | A minimum of 4 years relevant experience related to secondary markets will be required. | | | **YES** | **NO** |

1. Please indicate your background by underlying, “yes” or “no” under the relevant category. You may indicate more than one background. To do so, please underline “yes” or “no” under the relevant categories *and* indicate your primary background by checking the box on the right side of your primary category. [↑](#footnote-ref-1)