

NOTE

Calendar 2011 of the Chair and Executive Director of ESMA

Date	Meetings/Conferences	Topics discussed
<u>April</u>		
5	The Chair of ESMA, Steven Maijor, gives an interview to Les Echos	
7	The Chair of ESMA, Steven Maijor, meets with European Issuers	The new European supervisory framework
13	The Chair of ESMA, Steven Maijor, gives the keynote speech at ISDA's Annual General Meeting	
18	The Chair of ESMA, Steven Maijor, gives an interview to Risk Magazine	
21	The Chair of ESMA, Steven Maijor, gives an interview to FT-NPN and NRC	
26	The Chair of ESMA, Steven Maijor, meets the Chair of EFRAG, Françoise Flores	

<u>May</u>		
2	The Chair of ESMA, Steven Maijoor, gives an interview to the Agefi	
3	The Chair of ESMA, Steven Maijoor, meets the President, Gael de Boissard, and the Chief Executive Officer, Simon Lewis, of the Association for Financial Markets in Europe (AFME),	The new European supervisory framework
3	The Chair of ESMA, Steven Maijoor, meets the Deputy Governor Financial Stability, Bank of England, Paul Tucker	
3	The Chair of ESMA, Steven Maijoor, meets the Chief Executive of the London Stock Exchange (LSE), Xavier Rolet	The new European supervisory framework
3	The Chair of ESMA, Steven Maijoor, meets the Chief Executive of the British Bankers Association, Angela Knight	The new European supervisory framework
9	The Chair of ESMA, Steven Maijoor, participates in the bell ceremony at Euronext Amsterdam	
11	The Chair of ESMA, Steven Maijoor, meets the Directeur du Trésor Français, Ramon Fernandez	
12	The Chair of ESMA, Steven Maijoor, meets the Dutch Ambassador to France, His Excellency Mr Hugo Siblesz	
13	The Chair of ESMA, Steven Maijoor, gives an interview to Peter Eimers of PWC	
16	The Chair of ESMA, Steven Maijoor, speaks in the German Bundestag “Ratings	

	in der Schuldenkrise: Brandbeschleuniger oder Sündenbock?“	
17	The Chair of ESMA, Steven Maijoor, meets the President, Rene Karsenti, and the Chief Executive Officer, Martin Scheck, of the International Capital Market Association (ICMA),	The new European supervisory framework
26	The Chair of ESMA, Steven Maijoor, meets Dr H. Onno Ruding	The new European supervisory framework
26	The Chair of ESMA, Steven Maijoor, gives the keynote speech at the International Capital Market Association (ICMA) Annual conference	
26	The Chair of ESMA, Steven Maijoor, gives interviews to the Financial Times, IFLR and Il Sole	
30	The Chair of ESMA, Steven Maijoor, and the Executive Director, Verena Ross, meet the Chief Executive Office of Deutsche Börse AG, Reto Francioni	The new European supervisory framework, MiFID and EMIR
31	The Chair of ESMA, Steven Maijoor, gives an interview to Global Risk Regulator	
<u>June</u>		
20	The Chair of ESMA, Steven Maijoor, meets a Member of the Management Board of Commerzbank; Michael Reuther	The new European supervisory framework, MiFID and EMIR
20	The Chair of ESMA, Steven Maijoor, meets the Chairman of the Management-Board of Deka Investment GmbH and Chairman of the Bundesverband Investment und Asset Management (BVI) Thomas Neißé	The new European supervisory framework, UCITS and AIFMD
20	The Chair of ESMA, Steven Maijoor, meets a Member of the Management	The new European supervisory framework, MiFID and



	Board of DZ Bank AG, Lars Hille	EMIR
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20	The Chair of ESMA, Steven Maijor, meets the Chairman of the Management Board of DekaBank, Franz Waas	The new European supervisory framework, UCITS and AIFMD
20	The Chair of ESMA, Steven Maijor, gives an interview to the Wirtschafts Woche	
21	The Chair of ESMA, Steven Maijor, gives an interview to the Financial Times Deutschland	
23	The Executive Director of ESMA, Verena Ross, meets with representative of ICFR, Michel Prada and Barbara Ridpath	The new European supervisory framework
24	The Chair of ESMA, Steven Maijor, appears before a select committee at the Dutch Parliament	
29	The Chair of ESMA, Steven Maijor, speaks at the CDU/CSU Parliamentary Group Conference “Regulating Financial Markets Post-Crisis - A balance sheet” in the Bundestag	
July		
1	The Chair of ESMA, Steven Maijor, gives an interview to VNO-NCW	
4	The Chair of ESMA, Steven Maijor and the Executive Director of ESMA, Verena Ross, meet with the Turkish Capital Markets Board	
4	The Executive Director of ESMA, Verena Ross, meets with representatives of Goldman Sachs, led by Marty Chavez	The new European supervisory framework and EMIR

5	The Chair of ESMA, Steven Maijor, speaks at the IFRS Foundation Conference in Zurich	
5	The Chair of ESMA, Steven Maijor, gives an interview to the Neue Zürcher Zeitung	
6	The Chair of ESMA, Steven Maijor, speaks at Paris Europlace	
6	The Executive Director of ESMA, Verena Ross, participates in the EU Commission Roundtable on Credit Rating Agencies	
8	The Chair of ESMA, Steven Maijor, meets Jeff Willemain, Deloitte	Financial crisis implications for accounting
13	The Executive Director of ESMA, Verena Ross, meets with representatives from DTCC	EMIR
18	The Chair of ESMA, Steven Maijor, meets the Chairman of the European Association of Corporate Treasurers (EACT), Richard Raeburn	The new European supervisory framework, MifID and EMIR
18	The Executive Director of ESMA, Verena Ross, meets with representatives of the French Treasury	
26	The Chair of ESMA, Steven Maijor, meets the Secretary General of Finance Watch, Thierry Philipponnat	
26	The Executive Director of ESMA, Verena Ross, meets the President of the International Capital Market Association (ICMA), Rene Karsenti	The new EU supervisory framework
26	The Executive Director of ESMA, Verena Ross, meets with representatives of Moodys, S&P, Fitch, DBRS and AM Best	The new EU supervisory framework, CRA supervision

27	The Executive Director of ESMA, Verena Ross, gives an interview to Securities and Investment Review	
29	The Executive Director of ESMA, Verena Ross, meets Thomas Krantz, World Federation of Exchanges (WFE)	The new EU supervisory framework
<u>August</u>		
1	The Chair of ESMA, Steven Maijoor, and the Executive Director, Verena Ross, meet with representatives from EuroInvestors, Jella Benner-Heinacher, Guillaume Prache and Jan Maarten Slagter	
1	The Executive Director of ESMA, Verena Ross, meets with Andrew Procter and Daniel Trinder, Deutsche Bank	The new European supervisory framework, Mifid and EMIR
2	The Executive Director of ESMA, Verena Ross, gives an interview for Nasdaq OMX Market View	
2	The Executive Director of ESMA, Verena Ross, meets with representatives from Creditreform Rating AG, Bulgarian Credit Rating Agency AD, ICAP, Japanese Credit Rating Agency, Feri EuroRating Services AG and GBB-Rating	The new EU supervisory framework
3	The Chair of ESMA, Steven Maijoor, and the Executive Director, Verena Ross, meet with the Chair of Moody's, Ray McDaniels	CRA supervision
10	The Chair of ESMA, Steven Maijoor, gives an interview to The Trade	
<u>September</u>		
7	The Chair of ESMA, Steven Maijoor, and the Executive Director, Verena Ross,	ESMA consultation process, AIFMD, ETFs, PRIPS,

	meet with the Chairman, Paul-Henri de la Porte du Theil, and the Director General, Pierre Bollon, of the Association Française de Gestion (AFG)	MiFID Review
7	The Chair of ESMA, Steven Maijoor, gives an interview to Financial World	
7	The Chair of ESMA, Steven Maijoor, meets with the President of EFAMA, Peter de Proft, and the General Director of the Dutch Fund and Asset Management Association, Hans Janssen Daalen	The new EU supervisory framework, AIFMD, MiFID, PRIIPS
8	The Chair of ESMA, Steven Maijoor, and the Executive Director, Verena Ross, meet with the FESE President, Hans-Ole Jochumsen (President of NASDAQ OMX Nordic) and Secretary General, Judith Hardt	The new EU supervisory framework, MiFID, EMIR
12	The Chair of ESMA, Steven Maijoor meets with Jacques de Larosière	
13	The Chair of ESMA, Steven Maijoor meets with Jean-Baptiste de Franssu	AIFMD, MiFID, PRIIPS
13	The Chair of ESMA, Steven Maijoor, and the Executive Director, Verena Ross, meet with Labe Jackson and Sally Dewar of JP Morgan	The new EU supervisory framework, financial crisis issues, MiFID, EMIR, Stakeholder Group
14	The Executive Director of ESMA, Verena Ross, speaks at the International Corporate Governance Network (ICGN) Annual Conference in Paris	
15/16	The Chair of ESMA, Steven Maijoor, and the Executive Director, Verena Ross, speak at the Eurofi conference	
22	The Executive Director of ESMA, Verena Ross, speaks at the Alternative Investment Management Association (AIMA) annual conference in London	
23	The Executive Director of ESMA, Verena Ross, speaks with Financial Service	The new EU supervisory framework, EMIR, AIFMD,

	Trade Associations in London	MiFID, third country
26	The Chair of ESMA, Steven Maijor, speaks at a Centre for the Study of Financial Innovation (CSFI) dinner discussion in London	The new EU supervisory framework, ESMA priorities
27	The Executive Director of ESMA, Verena Ross, meets with Stuart J. Kaswell, MFA's Executive Vice President and Managing Director, General Counsel.	AIFMD, short-selling regulation
28	The Chair of ESMA, Steven Maijor, meets with the representatives of the industry in Vienna	ESMA priorities and current regulatory proposals
28	The Chair of ESMA, Steven Maijor, meets with IVA Chairman Wilhelm Rasinger and Vice-Chairman Michael Knap, representatives of retail investors, in Vienna	
29	The Chair of ESMA, Steven Maijor, gives the keynote opening speech at the Conference on Market Transparency in Vienna	
30	The Executive Director of ESMA, Verena Ross, gives an interview to Op Risk & Regulation	
October		
3	The Executive Director of ESMA, Verena Ross, meets with Gary DeWaal Group General Counsel from Newedge, Patrick Cerier (Head of Strategy) and Eileen Flaherty (global head of compliance)	HFT, EMIR, 3 rd country issues
4	The Chair of ESMA, Steven Maijor, speaks at an ECON hearing	
6	The Chair of ESMA, Steven Maijor, speaks at the European Institute CEO	

	Roundtable	
6/7	The Chair of ESMA, Steven Maijoor, meets with representatives of the finance industry in Madrid and representatives for retail investors and financial consumers	ESMA priorities and current regulatory proposals
11	The Executive Director of ESMA, Verena Ross, speaks at the City of London Brussels Annual reception	
12	The Chair of ESMA, Steven Maijoor, and the Executive Director, Verena Ross, meet with Michel Madelain, President and Chief Operating Officer of Moody's Investors Service	CRA supervision, CRA regulation
12	The Chair of ESMA, Steven Maijoor, and the Executive Director, Verena Ross, meet with the Secretary General of the Association française des marchés financiers (AMAFI), Pierre de Lauzun	New EU Supervisory framework, ESMA priorities
12	The Chair of ESMA, Steven Maijoor, speaks at the IFRS Foundation conference "IFRS: The prospects for global standards"	
13	The Chair of ESMA, Steven Maijoor, speaks at the CFO Forum in Lisbon	
13-14	The Executive Director of ESMA, Verena Ross, meets with the Heads of EU Agencies	
14	The Chair of ESMA, Steven Maijoor, participates in SEC/FSA Roundtable on micro-market issues in London	
17	The Chair of ESMA, Steven Maijoor, and the Executive Director, Verena Ross, meet with Mr Masamichi Kono, Vice Commissioner for International Affairs of the Financial Services Agency of Japan (FSA) and Chairman of the Technical	International engagement of ESMA

	Committee of IOSCO	
18	The Executive Director of ESMA, Verena Ross, meets with David Strachan of Deloitte LLP	
18	The Chair of ESMA, Steven Maijoor, meets with MEP Sharon Bowles and MEP Jean-Paul Gauzes	
18	The Chair of ESMA, Steven Maijoor, gives an interview to De Tijd.	
18	The Executive Director of ESMA, Verena Ross, participates in the International Centre for Financial Regulation (ICFR) Annual Regulatory Summit in Berlin	New EU Supervisory framework, ESMA priorities
24	The Executive Director of ESMA, Verena Ross, meets with the European Banking Federation	ESMA priorities, EMIR, Mifid, AIFMD
25	The Executive Director, Verena Ross, meets with Chief Executive Olivier Bourtellis-Taft and Deputy CEO Hilde Blomme of the Federation of European Accountants	ESMA priorities, corporate reporting issues and audit regulation
26	The Chair of ESMA, Steven Maijoor meets the Minister of Finance for Luxembourg, Mr Luc Frieden	
26	The Chair of ESMA, Steven Maijoor meets with finance industry representatives in Luxembourg and with a representative of retail investors	ESMA priorities and current Regulatory proposals
26	The Executive Director of ESMA, Verena Ross, meets with Mr Faryar Shirzad, Managing Director, Goldman, Sachs & Co.	

28	The Executive Director of ESMA, Verena Ross, meets with Mr Walter Lukken, CEO of New York Portfolio Clearing	EMIR
31	The Chair of ESMA, Steven Maijoor, gave interviews to the Financial Times, Frankfurter Allgemeine Zeitung, Les Echos and the New York Times	
<u>November</u>		
4	The Chair of ESMA, Steven Maijoor, speaks at the Cumberland Lodge conference “Impacts on Securities and Markets”	
4	The Executive Director of ESMA, Verena Ross, meets with Chief Executive Angela Knight and Deputy Chief Executive Sally Scutt of the British Bankers' Association	New EU Supervisory framework, EMIR, Mifid
10	The Executive Director of ESMA, Verena Ross, meets with Mr Simon Lewis, Chief Executive of the Association for Financial Markets in Europe	New EU Supervisory framework, EMIR
10	The Executive Director of ESMA, Verena Ross, meets with Dagong's Chairman, Mr. Guan Jianzhong	CRA Regulation
15	The Chair of ESMA, Steven Maijoor, speaks at Euro Finance week	
15	The Chair of ESMA, Steven Maijoor meets with representatives from the Association of German Banks (Bundesverband Deutscher Banken)	The new EU supervisory framework
17	The Executive Director of ESMA, Verena Ross, speaks at IEP (Sciences Po)	New EU Supervisory framework
17	The Executive Director of ESMA, Verena Ross, meets with Tom Gira and Michael Kulczak of FINRA, Financial Industry Regulatory Authority	Supervisory Dialogue, EMIR, Mifid, Consumer Protection

21	The Chair of ESMA, Steven Maijor, and the Executive Director, Verena Ross, meet with Pater Praet and Daniella Russo of the European Central Bank	EMIR
23	The Chair of ESMA, Steven Maijor meets with Mr Cyrus Ardalan and Mr Rene Karsenti from ICMA	ESMA priorities and current Regulatory proposals
24	The Executive Director of ESMA, Verena Ross, attends the 8 th Annual Conference of the Autorité des marchés financiers	
28	The Chair of ESMA, Steven Maijor meets with investors and finance industry representatives in Estonia	The regulatory reform of financial markets (EMIR, CRA, MIFID, etc.)
29	The Executive Director of ESMA, Verena Ross, speaks at the FSA Annual International Regulators Seminar	
29	The Chair of ESMA, Steven Maijor, speaks at the EFAMA Investment Management Forum in Brussels	
29	The Chair of ESMA, Steven Maijor, speaks at the Federazione delle Banche, delle Assicurazioni e della Finanza conference in Brussels	
29	The Chair of ESMA, Steven Maijor, meets with MEP Gianni Pittella	
December		
5	The Executive Director of ESMA, Verena Ross, gives evidence at the European Parliament ECON Committee open hearing on MiFID review	
8	The Chair of ESMA, Steven Maijor, and the Executive Director, Verena Ross, host a meeting of global regulators discussing OTC derivatives Regulation	Regulatory cooperation, EMIR

9	The Chair of ESMA, Steven Maijor, participates in the FSB Roundtable on Risk Disclosure in Basel	
13	The Chair of ESMA, Steven Maijor, meets with finance industry representatives in Sofia	The regulatory reform of financial markets (MIFID, CRA, EMIR, etc.)
14	The Chair of ESMA, Steven Maijor, speaks at the Bulgarian FSC conference on “Development Outlook Of The Non-Banking Financial Sector” in Sofia	
15	The Executive Director of ESMA, Verena Ross, meets the Chairs of the ICMA Committees and the ICMA Regulatory Policy Committee	New EU Supervisory framework, various Directives and Regulations
15	The Chair of ESMA, Steven Maijor, gives an interview to NRC Handelsblad	
16	The Executive Director of ESMA, Verena Ross, gives an interview to France 24	