



European Securities and
Markets Authority

Declaration of Interests (DoI)

First Name	<i>Reto</i>
Surname	<i>DEGEN</i>
Authority/ MS	<i>FMA – Financial Market Authority Liechtenstein</i>
Current ESMA involvement	<i>Board of Supervisors (EEA/EFTA-Member, Alternate) ESMA Supervisory Convergence Standing Committee ESMA International Relations Network (ESMA Internal Coordinator) ESMA Supervisory Coordination Network</i>

I declare that I have read the Decision of the Management Board on Conflict of Interest Policy (ESMA/2014/MB/60) and that this declaration is truthful and complete.

I do hereby declare on my honour that, to the best of my knowledge, the only interests¹ that create a Conflict of Interest² as defined in Article 1(2)(c) in respect of my activities which fall under ESMA's scope of action are those listed in the annex.

Whenever I have a Conflict of Interest I will alert ESMA.

Date: 09.01.2019	Signature: signed
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Please send a signed copy of this form to **ESMA's Ethics Officer** at ethics@esma.europa.eu

¹ as defined in Article 1(2)(a) and (b)

² conflict of interests means a conflict between the public duty of ESMA and private interests of an individual or interests of his/her close family members, in which an individual has private-capacity interests which could improperly influence the performance of his/her official duties and responsibilities or could compromise his/her impartiality, objectivity or independence

Annex to Declaration of Interests

In all cases, please provide as many details as possible (in the case of a body or employer, full name, location, private or public nature and your role, if interest of close family member, whether interest is current or was in the past 2 years).

Interests to be declared in accordance with Art 1(3) of the Conflict of Interest Policy

Nature of interests	Period (from /till)	Organisation	Subject matter/Reasons why my independence is impaired
I. Holding of Financial Instrument	1. 2.	None	n.a.
II. Membership a managing body or equivalent structure or a trade association	1. 2.	None	n.a.
III. Employment or Consultancy	1. 2.	None	n.a.

Interests to be declared in accordance with Art 1(4) of the Conflict of Interest Policy

Nature of interests	Period (from /till)	Organisation	Subject matter/Reasons why my independence may be impaired
I. Economic Interest	1. 2.	None	n.a.
II. Membership a managing body or equivalent structure or a trade association	1. 2.	None	n.a.
III. Employment or Consultancy	1. 2.	None	n.a.
IV. Intellectual Property Rights	1. 2.	None	n.a.
V. Other	1. 2.	None	n.a.