

### Declaration of Interests (DoI)

<b>First Name</b>	<i>Frédéric</i>
<b>Surname</b>	<i>HERVO</i>
<b>Authority / MS</b>	<i>Autorité de Contrôle Prudentiel et de Résolution / France</i>
<b>Current ESMA involvement</b>	<i>CCPSC Member</i>

- x I declare that I have read the Decision of the Board of Supervisors adopting a Policy on Independence and Decision-Making Processes for avoiding Conflicts of Interest (Conflict of Interest Policy) for Non-Staff (ESMA40-134-3263) of 7 July 2022 and that I am aware of my obligations.
- x I do hereby declare on my honour that, to the best of my knowledge, the only interests<sup>1</sup> that create a Conflict of Interest<sup>2</sup> as defined in Article 1(2)(c) in respect of my activities which fall under ESMA's scope of action are those listed in the annex.
- x Whenever I have a Conflict of Interest, I will alert ESMA.

<b>Date:</b>  <b>17 January 2023</b>	<b>Signature:</b>  signed  L
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**Please send a signed copy of this form to ESMA's Ethics Officer [ethics@esma.europa.eu](mailto:ethics@esma.europa.eu).**

<sup>1</sup> as defined in Article 1(2)(a) and (b)

<sup>2</sup> conflict of interest means a conflict between the public duty of ESMA and any interests of an individual, interests of his/her close family members or of his/her employer, which could improperly influence the performance of his/her official duties and responsibilities, including the duty to act in the sole interest of the Union as a whole, or could compromise his/her impartiality, objectivity or independence

### **Annex to Declaration of Interests**

*In all cases, please provide as many details as possible (in the case of a body or employer, full name, location, private or public nature and your role, if interest of close family member, whether interest is current or was in the past 2 years).*

#### **Interests to be declared in accordance with Art 1(3) of the Conflict of Interest Policy**

<b>Nature of interests</b>	<b>Period (from /till)</b>	<b>Organisation</b>	<b>Subject matter/ Reasons why my independence is impaired</b>
I. Holding of Financial Instrument	1. 2.		
II. Membership a managing body or equivalent structure or a trade association	1. 2.		
III. Employment or Consultancy	1. 2.		

#### **Interests to be declared in accordance with Art 1(4) of the Conflict of Interest Policy**

<b>Nature of interests</b>	<b>Period (from /till)</b>	<b>Organisation</b>	<b>Subject matter/ Reasons why my independence may be impaired</b>
IV. Economic Interest	1. 2.		
V. Membership a managing body or equivalent structure or a trade association	1. 2.		
VI. Employment or Consultancy	1. 2.		
VII. Intellectual Property Rights	1. 2.		
VIII. Other	1. 2.		