Nr.	Item	Exchange of information within CSDR and SFD networks
1	Name of the Controller	Head of Markets and Data Reporting Department csdr.notifications@esma.europa.eu
1,1	Address of the Controller	18 Nov 2019 201-203 rue de Bercy, 75012 Paris, France
1,2	ESMA Area Entrusted with Processing	Markets and Data Reporting Department/ Trading Unit
1,3	Processors (If any)	N/A
2	Name and contact details of DPO	ESMA DPO dpo@esma.europa.eu
3	Name and contact details of processor (where applicable)	N/A
4	Purpose of the processing	**Under Directive 98/26/EC (SFD) and Regulation (EU) No 909/2014 (CSDR)**, ESMA publishes various lists: ☐ According to Article 10 of SFD, Member States shall notify the payment and securities settlement systems and the respective system operators which are to be included in the scope of SFD. Member States shall also inform ESMA of the authorities chosen in accordance with Article 6(2) of SFD to be notified of a decision of opening of insolvency proceedings against a participant in a system. ESMA publishes two lists under the SFD on its website [SFD designated systems and SFD designated authorities] which are updated as necessary. ☐ According to Article 11 of CSDR, each Member State shall designate one or more competent authorities responsible for carrying out the duties under CSDR for the authorisation and supervision of central securities depositories (CSDs) established in their respective territory and shall inform ESMA thereof. ESMA publishes the list of such competent authorities on its website [CSDR competent authorities]. ☐ According to Article 12 of CSDR, three other types of authorities shall be involved in the authorisation and supervision of CSDs, where specifically referred to in CSDR. ESMA publishes the list of such relevant authorities on its website [CSDR relevant authorities]. Under both SFD and CSDR, authorities shall communicate and exchange information with each other on an on-going basis, as well as with SFD system operators, in particular upon declaration of insolvency proceedings. In order to facilitate communication and cooperation amongst these entities, ESMA collects and stores the names and contact details of their relevant personnel and circulates them to the concerned authorities.
5	Description of categories of persons whose data ESMA processes and list of data categories	**Data subjects**: ☐ the staff of the authorities designated under SFD; ☐ the staff of the operators of the systems designated under SFD; ☐ the staff of the national competent authorities and relevant authorities under CSDR. ☐ ESMA staff. **Data categories**: ESMA stores the information communicated by such authorities and system operators. Such information may include: ☐ title and name of the person; ☐ position; ☐ email address; ☐ phone number.
6	Time limit for keeping the data	ESMA will keep the contact details only for as long as the data subject is designated as such by an authority or a system operator.
7	Recipients of the data	Data disclosed to the following recipients: ☐ ESMA staff in charge of managing the lists; ☐ SFD designated system operators and SFD designated authorities: staff members of SFD designated authorities; ☐ CSDR national competent and relevant authorities: staff members of CSDR national competent and relevant authorities.
8	Are there any transfers of personal data to third countries or international organisations? If so, to which ones and with which safeguards?	N/A
9	General description of security measures, where possible.	All information received is treated confidentially and accessed only by ESMA policy officers involved in the process.
10	For more information, including how to exercise your rights to access, rectification, object and data portability (where applicable), see the privacy statement:	You may exercise your rights by contacting the relevant Data Controller (see contact details above). ESMA understands that designation by the authorities and operators of relevant contact persons to be included in the lists decribed above is done based on the explicit consent of the designated persons. Once you have given your explicit consent to your designating authority and your data is processed by ESMA, you have the following rights: ☐ You are entitled to access your information relating to your personal data processed by ESMA, verify its accuracy and, if necessary, correct it in case the data is inaccurate or incomplete. ☐ You have the right to request the erasure of your personal data, if your personal data is no longer needed for the purpose of the processing , if you withdraw your consent or if the processing operation is unlawful. ☐ You can ask the Data Controller to restrict the personal data processing, under certain circumstances, such as if you contest the accuracy of the processed personal data or if you are not sure if your personal data is lawfully processed. ☐ You may also object, on compelling legitimate grounds, to the processing of your personal data. If you have additional questions or concerns you can also contact: DPO@esma.europa.eu. You have the right to lodge a complaint with the European Data Protection Supervisor (edps@edps.europa.eu) if you consider that your rights under the Regulation (EU) 2018/1725 have been infringed as a result of the processing of your personal data by ESMA.