

Annual declaration of interests

You are required to sign this form in order to confirm that you have read and taken note of Article 11a of the Staff Regulations as applied by the Conditions of Employment of Other Servants. Signing this declaration should prompt you to consider whether you may have a possible conflict of interest that could affect the performance of your duties at ESMA. The act of signing the declaration should also remind you that when you do have a conflict of interest you should alert the Appointing Authority by using the form available in Annex 4.

Article 11a of the Staff Regulations states the following:

1. *An official shall not, in the performance of his duties and save as hereinafter provided, deal with a matter in which, directly or indirectly, he has any personal interest such as to impair his independence, and, in particular, family and financial interests.*
2. *Any official to whom it falls, in the performance of his duties, to deal with a matter referred to above shall immediately inform the Appointing Authority. The Appointing Authority shall take any appropriate measure, and may in particular relieve the official from responsibility in this matter.*
3. *An official may neither keep nor acquire, directly or indirectly, in undertakings which are subject to the authority of the institution to which he belongs or which have dealings with that institution, any interest of such kind or magnitude as might impair his independence in the performance of his duties.*

I hereby declare that I have read Article 11a of the Staff Regulations and am aware of my obligations and attach a list of my current interests^{1,2}. Whenever I have a conflict of interests I will alert the Appointing Authority.

Name: Steven Maijoor	Date: 7/2/19	Signature: signed
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Please send a signed copy of this form to the Ethics Officer.

Please fill in a new form at the start of each year.

¹ Consultants, temporary workers and trainees are not subject to this declaration obligation.

² You may use the table overleaf, or your own list containing equivalent information (eg a list provided by your bank).

- I have no interest to declare
- I confirm I have no interests to declare under section 6.2
- I declare the following interests in accordance with section 6.3:

In all cases, please provide as many details as possible about the declared interests, whether current or from the past 2 years (in the case of a body or employer, full name, location, private or public nature and your role, if interest of close family member,).

Financial interests to be declared

Issuer	Financial instrument (please include ISIN)	Date holding acquired	Current holding or number of shares	Value € (06/02/2019)
Vanguard-global stock INDEX FUND	IE00BFPM9N11	2013, 2014, 2016, 2017, 2018	582	97,708
ETF Ishare-MSCI World	IE00B0M62Q58	2008-2010, 2017	2334	89,451
ETF (SHARE DI EUROSTOXX 50 (DE)	DE0005933956	2017	563	18,140

Other interests to be declared

Nature of interests	Period (from /till)	Organisation	Subject matter/ Reasons (why this interest could create a conflict of interests)
I. Other Economic Interest	1. 2.		
II. Membership in a managing body or equivalent	1. 2.		

	structure or a trade association or other relevant membership			
III.	Employment or Consultancy	1. 2.		
IV.	Intellectual Property Rights	1. 2.		
V.	Others			

Please add pages as necessary.