

Usage Guideline

auth.031.001.01_ESMAUG_1.0.0

SFTR - Securities Financing Transactions

This document describes a usage guideline restricting the base message auth.031.001.01. You can also consult this [information online](#).

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Message Functionality

Collection Description

SFTR - Securities Financing Transactions ([link](#))

Usage Guideline Description

auth.031.001.01_ESMAUG_1.0.0 ([link](#))

The FinancialInstrumentReportingStatusAdvice message is sent by the national competent authority to the reporting agent to provide a status advice for the correctness, issues or errors that arise from the submitted report.

Outline

The auth.031.001.01_ESMAUG_1.0.0 message is composed of 1 building blocks

a - Status Advice

Status advice report.

About this document

Legend

Abbreviation	Term	Description
X	Excluded	An optional field or element must not be populated.
I	Ignored	A field or element could be populated but is ignored by the receiver.
[x..y]	Multiplicity	A field or element multiplicity has changed.
FV	Fixed Value	A field or element must contain a given value.
T/C	Type / Code Change	A user-defined datatype replaces an existing simple datatype.
A	Element Added	A field or element has been added.

Header	Description
Index	Element reference
LvL	Element nesting in tree hierarchy
Name	Element name
XML Tag	Element XML tag
Mult	Element multiplicity
Type / Code	Element formatting
Rest	Restriction type
Additional details	Other restriction specifics

Type/Code Notation	Describes the Element	Examples
text{m,M}	minimum (m) and maximum (M) length	text{1,35}
text{L}	maximum (L) length, minimum length is 0	test{10}
m <= decimal <= M	minimum (m) and maximum (M) values	0.01 <= decimal <= 9999.99
fd = F, td = T	maximum fractional (F) and total (T) number of digits	fd = 2, td = 11
<<regular expression>>	regular expression pattern	[A-Z]{6,6}([A-Z0-9]{3,3}){0,1}

Index	Level	Name	XML Tag	Mult	Type / Code	Rest	Additional details
	0	Financial Instrument Reporting Status Advice V01 (auth.031.001.01)	<FinInstrmRptgStsAdv>				
	1	Status Advice	<StsAdv>	[1..*]			
	2	Message Report Identifier	<MsgRptIdr>	[0..1]	text{1,140}		
	2	Message Status	<MsgSts>	[0..1]			
	3	Status	<Sts>	[1..1]	text		
	4	Accepted			ACPT		
	4	Accepted Technical Validation			ACTC		
	4	Partially Accepted			PART		
	4	Received			RCVD		
	4	Rejected			RJCT		
	4	Reminder			RMDR		
	4	Warning			WARN		
	4	Incorrect Filename			INCF		
	4	Corrupted File			CRPT		
	3	Validation Rule	<VldtnRule>	[0..*]			
	4	Identification	<Id>	[1..1]	text{1,35}		
	4	Description	<Desc>	[0..1]	text{1,350}		
	4	Scheme Name	<SchmeNm>	[0..1]	Choice		
	5	Code	<Cd>	[1..1]	text{1,4}		
	5	Proprietary	<Prtry>	[1..1]	text{1,35}		
	4	Issuer	<Issr>	[0..1]	text{1,35}		
	3	Message Date	<MsgDt>	[0..1]	date		
	3	Statistics	<Sttstcs>	[0..1]			
	4	Total Number Of Records	<TtlNbOfRcrds>	[1..1]	text [0-9]{1,15}		
	4	Number Of Records Per Status	<NbOfRcrdsPerSts>	[1..*]			
	5	Detailed Number Of Records	<DtldNbOfRcrds>	[1..1]	text [0-9]{1,15}		
	5	Detailed Status	<DtldSts>	[1..1]	text		
	6	Accepted			ACPT		
	6	Accepted After Pending			ACPD		
	6	Pending			PDNG		
	6	Received			RCVD		

Index	Level	Name	XML Tag	Mult	Type / Code	Rest r	Additional details
	6	Rejected			RJCT		
	6	Rejected After Pending			RJPD		
	6	Warning			WARN		
2		Record Status	<RcrdSts>	[0..*]			
	3	Original Record Identification	<OrgnlRcrdId>	[1..1]	text{1,140}		
	3	Status	<Sts>	[1..1]	text		
	4	Accepted			ACPT		
	4	Accepted After Pending			ACPD		
	4	Pending			PDNG		
	4	Received			RCVD		
	4	Rejected			RJCT		
	4	Rejected After Pending			RJPD		
	4	Warning			WARN		
	3	Validation Rule	<VldtnRule>	[0..*]			
	4	Identification	<Id>	[1..1]	text{1,35}		
	4	Description	<Desc>	[0..1]	text{1,350}		
	4	Scheme Name	<SchmeNm>	[0..1]	Choice		
	5	Code	<Cd>	[1..1]	text{1,4}		
	5	Proprietary	<Prtry>	[1..1]	text{1,35}		
	4	Issuer	<Issr>	[0..1]	text{1,35}		

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