



## Usage Guideline

# **auth.078.001.02\_ESMAUG\_1.0.0**

## EMIR Refit - Outgoing Messages - FINAL - V1.1.0

This document describes a usage guideline restricting the base message auth.078.001.02. You can also consult this [information online](#).

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# Message Functionality

## Collection Description

EMIR Refit - Outgoing Messages - FINAL - V1.1.0 ([link](#))

## Usage Guideline Description

auth.078.001.02\_ESMAUG\_1.0.0 ([link](#))

The SecuritiesFinancingReportingPairingRequest is sent by the trade repository (TR) to the other trade repositories (TRs) in order to identify the trade repository (TR) holding information on a second leg of a given transaction.

## Outline

In the Collection EMIR Refit - Outgoing Messages - FINAL - V1.1.0, the message auth.078.001.02\_ESMAUG\_1.0.0 is composed of a mandatory Business Application Header V01 (head.001.001.01) and a Document.

### Business Application Header V01 (head.001.001.01)

The Business Application Header V01 (head.001.001.01) is composed of 13 elements.

#### a - Character Set

Contains the character set of the text-based elements used in the Business Message.

#### b - From

The sending MessagingEndpoint that has created this Business Message for the receiving MessagingEndpoint that will process this Business Message.

Note the sending MessagingEndpoint might be different from the sending address potentially contained in the transport header (as defined in the transport layer).

#### c - To

The MessagingEndpoint designated by the sending MessagingEndpoint to be the recipient who will ultimately process this Business Message.

Note the receiving MessagingEndpoint might be different from the receiving address potentially contained in the transport header (as defined in the transport layer).

#### d - Business Message Identifier

Unambiguously identifies the Business Message to the MessagingEndpoint that has created the Business Message.

#### e - Message Definition Identifier

Contains the MessageIdentifier that defines the BusinessMessage.

It must contain a MessageIdentifier published on the ISO 20022 website.

example camt.001.001.03.

#### f - Business Service

Specifies the business service agreed between the two MessagingEndpoints under which rules this Business Message is exchanged.

To be used when there is a choice of processing services or processing service levels.

Example: E&I.

**g - Creation Date**

Date and time when this Business Message (header) was created.

Note Times must be normalized, using the "Z" annotation.

**h - Copy Duplicate**

Indicates whether the message is a Copy, a Duplicate or a copy of a duplicate of a previously sent ISO 20022 Message.

**i - Possible Duplicate**

Flag indicating if the Business Message exchanged between the MessagingEndpoints is possibly a duplicate.

If the receiving MessagingEndpoint did not receive the original, then this Business Message should be processed as if it were the original.

If the receiving MessagingEndpoint did receive the original, then it should perform necessary actions to avoid processing this Business Message again.

This will guarantee business idempotent behaviour.

NOTE: this is named "PossResend" in FIX - this is an application level resend not a network level retransmission.

**j - Priority**

Relative indication of the processing precedence of the message over a (set of) Business Messages with assigned priorities.

**k - Signature**

Contains the digital signature of the Business Entity authorised to sign this Business Message.

**l - Related**

Specifies the Business Application Header of the Business Message to which this Business Message relates.

Can be used when replying to a query; can also be used when canceling or amending.

**m - CrossElementComplexRule : RelatedPresentWhenCopyDupl**

Related MUST contain the relevant BusinessMessageHeader elements of the BusinessMessage to which this BusinessMessage relates.

If CopyDuplicate is present, then Related MUST be present.

**Document - Securities Financing Reporting Pairing Request V02 (auth.078.001.02)**

The Document - Securities Financing Reporting Pairing Request V02 (auth.078.001.02) is

composed of 2 elements.

**a - Transaction Identification**

Set of information identifying the transactions that are to be paired.

**b - Supplementary Data**

Additional information that can not be captured in the structured fields and/or any other specific block.

## About this document

### Legend

Abbreviation	Term	Description
X	Excluded	An optional field or element must not be populated.
I	Ignored	A field or element could be populated but is ignored by the receiver.
[x..y]	Multiplicity	A field or element multiplicity has changed.
FV	Fixed Value	A field or element must contain a given value.
T/C	Type / Code Change	A user-defined datatype replaces an existing simple datatype.
A	Element Added	A field or element has been added.

Header	Description
Index	Element reference
LvL	Element nesting in tree hierarchy
Name	Element name
XML Tag	Element XML tag
Mult	Element multiplicity
Type / Code	Element formatting
Rest	Restriction type
Additional details	Other restriction specifics

Type/Code Notation	Describes the Element	Examples
text{m,M}	minimum (m) and maximum (M) length	text{1,35}
text{L}	maximum (L) length, minimum length is 0	test{10}
m <= decimal <= M	minimum (m) and maximum (M) values	0.01 <= decimal <= 9999.99
fd = F, td = T	maximum fractional (F) and total (T) number of digits	fd = 2, td = 11
<<regular expression>>	regular expression pattern	[A-Z]{6,6}([A-Z0-9]{3,3}){0,1}

Index	Lv l	Name	XML Tag	Mult	Type / Code	Rest r	Additional details
	0	Full Message		[1..1]			
	1	Business Application Header V01 (head.001.001.01)	<AppHdr>	[1..1]			
	2	From	<Fr>	[1..1]	Choice		
	3	Organisation Identification	<OrgId>	[1..1]			
	4	Identification	<Id>	[0..1]	Choice		
	5	Organisation Identification	<OrgId>	[1..1]			
	6	Other	<Othr>	[0..*]			
	7	Identification	<Id>	[1..1]	text{1,35}		
	7	Scheme Name	<SchmeNm>	[0..1]	Choice		
	8	Code	<Cd>	[1..1]	text{1,4}		
	8	Proprietary	<Prtry>	[1..1]	text{1,35}		
	7	Issuer	<Issr>	[0..1]	text{1,35}		
	2	To	<To>	[1..1]	Choice		
	3	Organisation Identification	<OrgId>	[1..1]			
	4	Identification	<Id>	[0..1]	Choice		
	5	Organisation Identification	<OrgId>	[1..1]			
	6	Other	<Othr>	[0..*]			
	7	Identification	<Id>	[1..1]	text{1,35}		
	7	Scheme Name	<SchmeNm>	[0..1]	Choice		
	8	Code	<Cd>	[1..1]	text{1,4}		
	8	Proprietary	<Prtry>	[1..1]	text{1,35}		
	7	Issuer	<Issr>	[0..1]	text{1,35}		
	2	Business Message Identifier	<BizMsgIdr>	[1..1]	text{1,35}		
	2	Message Definition Identifier	<MsgDefIdr>	[1..1]	text{1,35}		
	2	Creation Date	<CreDt>	[1..1]	dateTime .*Z		
	2	Related	<Rltd>	[0..1]			
	3	From	<Fr>	[1..1]	Choice		
	4	Organisation Identification	<OrgId>	[1..1]			
	5	Identification	<Id>	[0..1]	Choice		
	6	Organisation Identification	<OrgId>	[1..1]			
	7	Other	<Othr>	[0..*]			
	8	Identification	<Id>	[1..1]	text{1,35}		

Index	Lv l	Name	XML Tag	Mult	Type / Code	Rest r	Additional details
	8	Scheme Name	<SchmeNm>	[0..1]	Choice		
	9	Code	<Cd>	[1..1]	text{1,4}		
	9	Proprietary	<Prtry>	[1..1]	text{1,35}		
	8	Issuer	<Issr>	[0..1]	text{1,35}		
	3	To	<To>	[1..1]	Choice		
	4	Organisation Identification	<OrgId>	[1..1]			
	5	Identification	<Id>	[0..1]	Choice		
	6	Organisation Identification	<OrgId>	[1..1]			
	7	Other	<Othr>	[0..*]			
	8	Identification	<Id>	[1..1]	text{1,35}		
	8	Scheme Name	<SchmeNm>	[0..1]	Choice		
	9	Code	<Cd>	[1..1]	text{1,4}		
	9	Proprietary	<Prtry>	[1..1]	text{1,35}		
	8	Issuer	<Issr>	[0..1]	text{1,35}		
	3	Business Message Identifier	<BizMsgIdr>	[1..1]	text{1,35}		
	3	Message Definition Identifier	<MsgDefIdr>	[1..1]	text{1,35}		
	3	Creation Date	<CreDt>	[1..1]	dateTime .*Z		
	1	Document		[1..1]			
	2	Securities Financing Reporting Pairing Request V02 (auth.078.001.02)	<SctiesFincgRpt gPairgReq>				
	3	Transaction Identification	<TxId>	[1..*]			
	4	Reporting Counterparty	<RptgCtrPty>	[1..1]	Choice		
	5	LEI	<LEI>	[1..1]	text [A-Z0-9]{18,18}[0-9]{2,2}		
	4	Other Counterparty	<OthrCtrPty>	[1..1]	Choice		
	5	Legal	<Lgl>	[1..1]	Choice		
	6	LEI	<LEI>	[1..1]	text [A-Z0-9]{18,18}[0-9]{2,2}		
	5	Natural	<Ntrl>	[1..1]			
	6	Identification	<Id>	[1..1]			



Index	Lv l	Name	XML Tag	Mult	Type / Code	Rest r	Additional details
	7	Identification	<Id>	[1..1]	text{1,72}	T/C	Type Changed: text{1,50}
	4	Unique Trade Identifier	<UnqTradIdr>	[0..1]	text{1,52}		
	4	Master Agreement	<MstrAgrmt>	[0..1]			
	5	Type	<Tp>	[1..1]	Choice		

Index	Lv l	Name	XML Tag	Mult	Type / Code	Rest r	Additional details
	6	Type	<Tp>	[1..1]	text{1,4}	T/C	Type Changed: One of the following code values must be used: MRAA (MasterRepurchaseAgreement) GMRA (GlobalMasterRepurchaseAgreement) MSLA (MasterSecuritiesLendingAgreement) GMSL (GlobalMasterSecuritiesLendingAgreement) ISDA (InternationalSwapsAndDerivativesAssociation) DERP (DeutscherRahmenvertragFurWertpapierpensionsges chafte) CNBR (ChinaBondRepurchaseMasterAgreement) KRRRA (KoreaFinancialInvestmentAssociationStandardRepur chaseAgreement) CARA (InvestmentIndustryRegulatoryOrganizationOfCanada Repurchase) FRFB (ConventionCadreRelativeAuxOperationsDePensions Livrees) CHRA (SwissMasterRepurchaseAgreement) DEMA (GermanMasterAgreement) JPBR (JapaneseMasterAgreementOnTheTransactionWithRe purchaseAgreementOfTheBonds) ESRA (ContratoMarcoDeCompraventaYReportoDeValores) OSLA (OverseasSecuritiesLendingAgreement) MEFI (MasterEquityAndFixedInterestStockLendingAgreeme nt) GESL (GiltEdgedStockLendingAgreement) KRSL (KoreanSecuritiesLendingAgreement) DERD (DeutscherRahmenvertragFurWertpapierdarlehen) AUSL (AustralianMastersSecuritiesLendingAgreement) JPBL (JapaneseMasterAgreementOnLendingTransactionOf Bonds) JPSTL (JapaneseMasterAgreementOnTheBorrowingAndLend ingTransactionsOfShareCertificates) BIAG (BilateralAgreement) CSDA (CSD BilateralAgreement ) OTHR (Other)

Index	Lv l	Name	XML Tag	Mult	Type / Code	Rest r	Additional details
							OTHR (Other)
	7	Master Repurchase Agreement			MRAA		
	7	Global Master Repurchase Agreement			GMRA		
	7	Master Securities Lending Agreement			MSLA		
	7	Global Master Securities Lending Agreement			GMSL		
	7	International Swaps And Derivatives Association			ISDA		
	7	Deutscher Rahmenvertrag Fur Wertpapierpensionsgeschafte			DERP		
	7	China Bond Repurchase Master Agreement			CNBR		
	7	Korea Financial Investment Association Standard Repurchase Agreement			KRRA		
	7	Investment Industry Regulatory Organization Of Canada Repurchase			CARA		
	7	Convention Cadre Relative Aux Operations De Pensions Livrees			FRFB		
	7	Swiss Master Repurchase Agreement			CHRA		
	7	German Master Agreement			DEMA		
	7	Japanese Master Agreement On The Transaction With Repurchase Agreement Of The Bonds			JPBR		
	7	Contrato Marco De Compraventa Y Reporto De Valores			ESRA		
	7	Overseas Securities Lending Agreement			OSLA		
	7	Master Equity And Fixed Interest Stock Lending Agreement			MEFI		

Index	Lv l	Name	XML Tag	Mult	Type / Code	Rest r	Additional details
	7	Gilt Edged Stock Lending Agreement			GESL		
	7	Korean Securities Lending Agreement			KRSL		
	7	Deutscher Rahmenvertrag Fur Wertpapierdarlehen			DERD		
	7	Australian Masters Securities Lending Agreement			AUSL		
	7	Japanese Master Agreement On Lending Transaction Of Bonds			JPBL		
	7	Japanese Master Agreement On The Borrowing And Lending Transactions Of Share Certificates			JPSL		
	7	Bilateral Agreement			BIAG		
	7	CSD Bilateral Agreement			CSDA		
	7	Other			OTHR		
	5	Version	<Vrsn>	[0..1]	text{1,50}	T/C	Type Changed: 1900 <= gYear <= 2099
	5	Other Master Agreement Details	<OthrMstrAgrmt Dtls>	[0..1]	text{1,350}	T/C	Type Changed: text{1,50}
	4	Agent Lender	<AgtLndr>	[0..1]	Choice		
	5	LEI	<LEI>	[1..1]	text [A-Z0-9]{18,18}[0-9]{2,2}		
	4	Triparty Agent	<TrptyAgt>	[0..1]	Choice		
	5	LEI	<LEI>	[1..1]	text [A-Z0-9]{18,18}[0-9]{2,2}		

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