



European Securities and  
Markets Authority

### Declaration of Interests (DoI)

<b>First Name</b>	<i>Frank</i>
<b>Surname</b>	<i>BÜCHEL</i>
<b>Authority/ MS</b>	<i>EFTA Surveillance Authority</i>
<b>Current ESMA involvement</b>	<i>BoS Member (non-voting)</i>



I declare that I have read the Decision of the Management Board on Conflict of Interest Policy (ESMA/2014/MB/60) and that this declaration is truthful and complete.



I do hereby declare on my honour that, to the best of my knowledge, the only interests<sup>1</sup> that create a Conflict of Interest<sup>2</sup> as defined in Article 1(2)(c) in respect of my activities which fall under ESMA's scope of action are those listed in the annex.



Whenever I have a Conflict of Interest I will alert ESMA.

<b>Date:</b> <i>19/2/2019</i>	<b>Signature:</b> <i>signed</i> <i>(Frank J. Büchel)</i>
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*Please send a signed copy of this form to ESMA's Ethics Officer at [ethics@esma.europa.eu](mailto:ethics@esma.europa.eu)*

<sup>1</sup> as defined in Article 1(2)(a) and (b)

<sup>2</sup> conflict of interest means a conflict between the public duty of ESMA and private interests of an individual or interests of his/her close family members, in which an individual has private-capacity interests which could improperly influence the performance of his/her official duties and responsibilities or could compromise his/her impartiality, objectivity or independence

### Annex to Declaration of Interests

In all cases, please provide as many details as possible (in the case of a body or employer, full name, location, private or public nature and your role, if interest of close family member, whether interest is current or was in the past 2 years).

#### Interests to be declared in accordance with Art 1(3) of the Conflict of Interest Policy

Nature of interests	Period (from /till)	Organisation	Subject matter/ Reasons why my independence is impaired
I. Holding of Financial Instrument	1. N/A 2. N/A		
II. Membership a managing body or equivalent structure or a trade association	1. N/A 2. N/A		
III. Employment or Consultancy	1. N/A 2. N/A		

#### Interests to be declared in accordance with Art 1(4) of the Conflict of Interest Policy

Nature of interests	Period (from /till)	Organisation	Subject matter/ Reasons why my independence may be impaired
IV. Economic Interest	1. N/A 2. N/A		
V. Membership a managing body or equivalent structure or a trade association	1. N/A 2. N/A		
VI. Employment or Consultancy	1. N/A 2. N/A		
VII. Intellectual Property Rights	1. N/A 2. N/A		
VIII. Other	1. N/A 2. N/A		