



European Securities and  
Markets Authority

### Declaration of Interests (DoI)

<b>First Name</b>	<i>Ugo</i>
<b>Surname</b>	<i>BASSI</i>
<b>Authority/ MS</b>	<i>EUROPEAN COMMISSION</i>
<b>Current ESMA involvement</b>	<i>Non-voting member</i>

- ✓ I declare that I have read the Decision of the Management Board on Conflict of Interest Policy (ESMA/2014/MB/60) and that this declaration is truthful and complete.
- ✓ I do hereby declare on my honour that, to the best of my knowledge, the only interests<sup>1</sup> that create a Conflict of Interest<sup>2</sup> as defined in Article 1(2)(c) in respect of my activities which fall under ESMA's scope of action are those listed in the annex.
- ✓ Whenever I have a ~~Conflict~~ of Interest I will alert ESMA.

Date:	Signature:
08/01/2019	signed

Please send a signed copy of this form to ~~ESMA's~~ Ethics Officer at [ethics@esma.europa.eu](mailto:ethics@esma.europa.eu)

<sup>1</sup> as defined in Article 1(2)(a) and (b)

<sup>2</sup> conflict of interests means a conflict between the public duty of ESMA and private interests of an individual or interests of his/her close family members, in which an individual has private-capacity interests which could improperly influence the performance of his/her official duties and responsibilities or could compromise his/her impartiality, objectivity or independence

### **Annex to Declaration of Interests**

*In all cases, please provide as many details as possible (in the case of a body or employer, full name, location, private or public nature and your role, if interest of close family member, whether interest is current or was in the past 2 years).*

#### **Interests to be declared in accordance with Art 1(3) of the Conflict of Interest Policy**

<b>Nature of interests</b>	<b>Period (from /till)</b>	<b>Organisation</b>	<b>Subject matter/Reasons</b> why my independence is impaired
I. Holding of Financial Instrument	1. 2.	<b>N.A.</b>	
II. Membership a managing body or equivalent structure or a trade association	1. 2.	<b>N.A.</b>	
III. Employment or Consultancy	1. 2.	<b>N.A.</b>	

#### **Interests to be declared in accordance with Art 1(4) of the Conflict of Interest Policy**

<b>Nature of interests</b>	<b>Period (from /till)</b>	<b>Organisation</b>	<b>Subject matter/Reasons</b> why my independence may be impaired
I. Economic Interest	1. 2.	<b>N.A.</b>	
II. Membership a managing body or equivalent structure or a trade association	1. 2.	<b>N.A.</b>	
III. Employment or Consultancy	1. 2.	<b>N.A.</b>	
IV. Intellectual Property Rights	1. 2.	<b>N.A.</b>	
V. Other	1. 2.	<b>N.A.</b>	