

Declaration of Interests (DoI)

First Name	<i>Elisabeth</i>
Surname	<i>Roegele</i>
Authority/ MS	<i>Bundesanstalt für Finanzdienstleistungsaufsicht (BaFin), Germany</i>
Current ESMA involvement	<i>Member of the Board of Supervisors</i> <i>Member of the Management Board</i> <i>Chair of the Secondary Markets Standing Committee</i> <i>Member of the Delegated Project Board</i> <i>Member of the Mediation Panel</i> <i>Member of the Enforcement Panel</i> <i>Member of the Validation Panel</i>

- I declare that I have read the Decision of the Management Board on Conflict of Interest Policy (ESMA/2014/MB/60) and that this declaration is truthful and complete.
- I do hereby declare on my honour that, to the best of my knowledge, the only interests¹ that create a Conflict of Interest² as defined in Article 1(2)(c) in respect of my activities which fall under ESMA's scope of action are those listed in the annex.
- Whenever I have a Conflict of Interest I will alert ESMA.

Date:	Signature:
01.02.2019	signed

Please send a signed copy of this form to ESMA's Ethics Officer at ethics@esma.europa.eu

¹ as defined in Article 1(2)(a) and (b)

² conflict of interests means a conflict between the public duty of ESMA and private interests of an individual or interests of his/her close family members, in which an individual has private-capacity interests which could improperly influence the performance of his/her official duties and responsibilities or could compromise his/her impartiality, objectivity or independence

Annex to Declaration of Interests

In all cases, please provide as many details as possible (in the case of a body or employer, full name, location, private or public nature and your role, if interest of close family member, whether interest is current or was in the past 2 years).

Interests to be declared in accordance with Art 1(3) of the Conflict of Interest Policy

Nature of interests	Period (from /till)	Organisation	Subject matter/Reasons why my independence is impaired
I. Holding of Financial Instrument	N/A	N/A	N/A
II. Membership a managing body or equivalent structure or a trade association	N/A	N/A	N/A
III. Employment or Consultancy	N/A	N/A	N/A

Interests to be declared in accordance with Art 1(4) of the Conflict of Interest Policy

Nature of interests	Period (from /till)	Organisation	Subject matter/Reasons why my independence may be impaired
I. Economic Interest	N/A	N/A	N/A
II. Membership a managing body or equivalent structure or a trade association	N/A	N/A	N/A
III. Employment or Consultancy	N/A	N/A	N/A
IV. Intellectual Property Rights	up 2019	Deutscher Fachverlag GmbH	Short Introduction in the 3 rd volume of "Recht der Assetklassen". No remuneration.
V. Other	1. Mid 2017 2. 2016	1. Stiftung Warentest Kuratorium 2. Marktwächter Finanzen	1. Neutrales Mitglied / Neutral Member 2. Beirat / Observer