



Nathalie Gay Guggenheim

Nathalie started her working life in 1989 in the trading room of a bank and after 5 years, joined the French Securities Regulator; then after 5 years, she moved to the Direction du Trésor in the Financial Markets Unit where she was in charge of the UCITS directive, the asset management industry, the takeover bid directive and the Accounting reforms (IFRS/IASB/ the French accounting setter). She decided to move back to the private sector in 2001, as the Head of Compliance in a large asset manager. In 2004 she joined HSBC and took several roles, first as the head of compliance of HSBC France Global Banking and Markets division, then in charge of regulatory affairs. She became an originator in the Debt Capital Markets division before being appointed as the COO of Global Markets in Paris. After 3 years, she was asked to be in charge of the regulatory transformation for GBM in Europe and late 2016, to lead the external engagement on Brexit. She is now a COO with solid track-record in banking, financial markets, advisory, assessing risks and impact of new rules, conducting wide transformations resulting from changes in the internal or regulatory environments. She has a recognized expertise in conduct, product governance, market integrity and financial crime issues, prudential rules and implementing frameworks, and an in depth insight on challenges of Brexit.