

Declaration of Interests (DoI)

First Name	<i>Klaus</i>
Surname	<i>Kumpfmüller</i>
Authority/ MS	<i>Financial Market Authority Austria</i>
Current ESMA involvement	<i>BoS Voting Member</i>

- ✓ I declare that I have read the Decision of the Management Board on Conflict of Interest Policy (ESMA/2014/MB/60) and that this declaration is truthful and complete.
- ✓ I do hereby declare on my honour that, to the best of my knowledge, the only interests¹ that create a Conflict of Interest² as defined in Article 1(2)(c) in respect of my activities which fall under ESMA's scope of action are those listed in the annex.
- ✓ Whenever I have a Conflict of Interest I will alert ESMA.

Date: <i>29.01.19</i>	Signature: <i>signed</i>
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Please send a signed copy of this form to ESMA's Ethics Officer at ethics@esma.europa.eu

¹ as defined in Article 1(2)(a) and (b)

² conflict of interests means a conflict between the public duty of ESMA and private interests of an individual or interests of his/her close family members, in which an individual has private-capacity interests which could improperly influence the performance of his/her official duties and responsibilities or could compromise his/her impartiality, objectivity or independence



Annex to Declaration of Interests

In all cases, please provide as many details as possible (in the case of a body or employer, full name, location, private or public nature and your role, if interest of close family member, whether interest is current or was in the past 2 years).

Interests to be declared in accordance with Art 1(3) of the Conflict of Interest Policy

Nature of interests	Period (from /till)	Organisation	Subject matter/Reasons why my independence is impaired
I. Holding of Financial Instrument	1. 2.		
II. Membership a managing body or equivalent structure or a trade association	1. 2.		
III. Employment or Consultancy	1. 2.		

Interests to be declared in accordance with Art 1(4) of the Conflict of Interest Policy

Nature of interests	Period (from /till)	Organisation	Subject matter/Reasons why my independence may be impaired
I. Economic Interest	1. 2.		
II. Membership a managing body or equivalent structure or a trade association	1. 2.		
III. Employment or Consultancy	1. 2.		
IV. Intellectual Property Rights	1. 2.		
V. Other	1. 2.		