

ESMA Staff Stakeholder contacts between July and December 2024

ESMA is committed to the highest standards of transparency in its interactions with stakeholders. ESMA will therefore publish information on ESMA staff meetings with external stakeholders.

Date	Institution met	Main topics discussed
02/07/2024	Federation of European Securities Exchanges (FESE)	Markets in Financial Instruments Regulation (MiFIR) Review – Regulatory Technical Standards (RTS) on reasonable commercial basis (RCB) & Consolidated Tape Providers (CTPs)
03/07/2024	OSTTRA	European Market Infrastructure Regulation (EMIR) 3 – RTS on exemption of clearing obligation for post trade risk reduction services (PTRRS)
04/07/2024	Quantile	EMIR 3 – RTS on exemption of clearing obligation for PTRRS
04/07/2024	European Bank for Reconstruction and Development	ESG Rating Regulation Proposal
08/07/2024	Samsung	ESG Rating Regulation Proposal
09/07/2024	Coinbase	Market surveillance, Crypto-asset service providers' (CASPs') liquidity sources, maximum extractable value (MEV).

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09/07/2024	International Swaps and Derivatives Association (ISDA)	MiFIR Review – Open consultations & CTPs
10/07/2024	Bloomberg	European Single Access Point (ESAP) / XBRL mandates
10/07/2024	Predictiva	Use of AI in trading
11/07/2024	European Energy Exchange (EEX) and European Power Exchange (EPEX)	Incident on EPEX Spot 25 June 2024
11/07/2024	European University Institute (EUI)	ESG Rating Regulation Proposal
12/07/2024	Capitalab,	EMIR 3 – RTS on exemption of clearing obligation for PTRRS
12/07/2024	EuroCTP	MiFIR Review – Open consultations & CTPs
15/07/2024	Reclaim Finance	Greenwashing in funds (including fund naming guidelines), greenwashing at entity level and transition plans
17/07/2024	Alternative Investment Management Association (AIMA)	Final Report on the Guidelines on funds' names using ESG or sustainability-related terms
18/07/2024	FESE	MiFIR Review – Non-equity deferral regime
18/07/2024	ICE Endex	Emission allowance trading
18/07/2024	BDO, KPMG, Deloitte, Mazars, EY, PricewaterhouseCoopers (PwC), Grant Thornton (GT)	Financial and digital corporate disclosures

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23/07/2024	International Capital Markets Association (ICMA) and AXA	Guidelines on funds' names and in particular with regard to the use of proceeds instruments
25/07/2024	London Stock Exchange Group (LSEG)/Turquoise	MiFIR Review – RTS on RCB
26/07/2024	Rockaway	Discussion on Staking (art. 142 Markets in Crypto-Assets Regulation (MiCA) Report)
29/07/2024	Binance	Discussion on Staking (art. 142 MiCA Report)
29/07/2024	Ava labs	Discussion on Staking (art. 142 MiCA Report)
30/07/2024	Axiology	Axiology's application for a Distributed Ledger Technology trading and settlement system (DLT-TSS)
01/08/2024	ICMA	MiFIR Review – Non-equity deferral regime
05/08/2024	Goldman Sachs	Commodity derivatives markets
05/08/2024	TRM Labs	Discussion on Staking (art. 142 MiCA Report)
06/08/2024	Coinbase	Maximum extractable value (MEV)
07/08/2024	Crypto.com	Discussion on Staking (art. 142 MiCA Report)
08/08/2024	Market Axess	MiFIR Review – Non-equity deferral regime
08/08/2024	Méria	Discussion on Staking (art. 142 MiCA Report)
08/08/2024	Kraken	Discussion on Staking (art. 142 MiCA Report)
09/08/2024	Lido	Discussion on Staking (art. 142 MiCA Report)
09/08/2024	Web3Foundation	Discussion on Staking (art. 142 MiCA Report)

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28/08/2024	Comité consultatif du secteur financier, Quantalys and Semaphore Conseil	Costs and performance of structured retail products
29/08/2024	International Ethics Standards Board for Accountants (IESBA)	The role of auditors as per the AIFMD and UCITSD with respect to the audit of financial statements and that additional audit obligations with respect to compliance with AIFMD and UCITSD-related requirements vary across the EU member states.
02/09/2024	Valuecometrics	Insight into the unfolding commercial landscape of ESG data use and aggregation
03/09/2024	Clarity AI	ESG Rating Regulation Proposal
04/09/2024	Global Financial Markets Association (GFMA), ISDA	Spot FX benchmarks exemptions and EMIR reporting
06/09/2024	Norges Bank Investment Managers	ESG Rating Regulation Proposal
10/09/2024	Amazon Web Services (AWS)	DORA Oversight
12/09/2024	Polygon	Staking, permissionless DLT
13/09/2024	FactSet	Trading venue perimeter: Portware
13/09/2024	Institute of Insurance Science, University of Leipzig	ESG Rating Regulation Proposal
13/09/2024	FEIFA	One-off report on costs
16/09/2024	ECOVADIS, Sustainable Public Affairs,	ESG Rating Regulation Proposal
16/09/2024	INREV European Investors in Non-Listed Real Estate	AIFMD/UCITS review, integrated supervisory data collection

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17/09/2024	European Blockchain Sandbox	Possibility of ESMA participation in the EU Blockchain Sandbox (via EFIF, etc)
17/09/2024	Principles for Responsible Investment	ESG Rating Regulation Proposal
18/09/2024	European Association of CCP Clearing Houses (EACH)	roundtables in view of EMIR 3 RTS on exemption of clearing obligation for PTRRS
18/09/2024	AIMA	AIFMD/UCITS review, integrated supervisory data collection
18/09/2024	EACH	Impact of T+1 on clearing
18/09/2024	ISCF	Sustainable finance
19/09/2024	NowCM	NowCM activity, Capital Markets Union (CMU)
19/09/2024	Bloomberg	Sustainable finance
20/09/2024	Euronext	MiFIR review – RTS on RCB
20/09/2024	GFMA, ISDA	Spot FX benchmarks exemptions and EMIR reporting
20/09/2024	Potsdam Institute for Climate Impact Research	EU Emissions Trading System (EU ETS) and consultative working group (CWG) meeting preparation
24/09/2024	Circle	MiCA application to stablecoins
24/09/2024	EASRA	ESG Rating Regulation Proposal
25/09/2024	BNP Paribas Asset Management (BNPP)	Clarifications requested on ESMA Guidelines on funds' names
26/09/2024	Deloitte	Retail Investment Strategy (RIS)
01/10/2024	MarketsIO	MiFIR Review – CTPs

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01/10/2024	S&P Global Europe	European green bond standard (EuGB) Reg and ESG Rating Regulation
01/10/2024	The European Fund and Asset Management Association (EFAMA)	One-off report on costs
01/10/2024	BDO, KPMG, Deloitte, Mazars, EY, PricewaterhouseCoopers (PwC), Grant Thornton (GT)	Financial and digital corporate disclosures
02/10/2024	International Advisory Panel on Biodiversity Credits (IAPB)	Biodiversity credits
02/10/2024	ABI Associazione Bancaria Italiana	Sustainability: SFDR and Markets in Financial Instruments Directive (MiFID II) Framework
04/10/2024	ShareAction	SFDR disclosure on investor engagement and due diligence
04/10/2024	EFAMA	Alternative Investment Fund Managers Directive (AIFMD)/ Undertakings for Collective Investment in Transferable Securities (UCITS) review, integrated supervisory data collection
04/10/2024	Invest Europe	AIFMD/UCITS review, integrated supervisory data collection
07/10/2024	Chartered Financial Analyst (CFA) Institute	Fund classification framework
07/10/2024	European Banking Federation (EBF)	one-off report on costs
08/10/2024	Deutsches Aktieninstitut	Listing Act, Disclosure of Inside Information in Protracted Processes

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08/10/2024	Slaughter and May	Single Resolution Board (SRB) conference follow-up / ESMA's priorities and work programme / Slaughter and May's reorganisation of activities post Brexit
09/10/2024	LSEG	Greenwashing
11/10/2024	Association for Financial Markets in Europe (AFME)	MiFIR review
16/10/2024	Assogestioni	One-off report on costs
18/10/2024	AMAFI/France Post-Marché (FPM)	T+1
25/10/2024	Bloomberg	MiFIR Review – RTS on RCB
25/10/2024	IOTA	MiCA, Decentralised Finance (DeFi), third-party know your customer (KYC) checks
28/10/2024	International Accounting Standards Board (IASB)	Corporate reporting disclosures
29/10/2024	Borsa Italiana/Euronext	MiFIR Review – RTS on RCB
29/10/2024	Nasdaq	ISU, RTS on Reasonable Commercial Basis (RCB)
30/10/2024	APARMA	Designated Publishing Entity (DPE) regime
31/10/2024	EUI	Research results on sustainable finance
04/11/2024	SIX	T+1; EMIR, RIS; DORA; REGIS-TR
05/11/2024	Finance Watch	Roundtable on climate risks, climate scenario analysis
06/11/2024	Mitsubishi UFJ Financial Group (MUFG)	Credit Rating Agencies (CRA) Regulation
06/11/2024	European Financial Planning Association (EFPA)	Knowledge and competence of staff

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11/11/2024	Better Finance, European Issuers and Global Financial Markets Association Global Foreign Exchange Division (GFMA GFXD)	T+1 governance
12/11/2024	EACH, European Central Securities Depositories Association (ECSDA)	T+1 governance
12/11/2024	Electronic Debt Markets Association (EDMA), European Venues and Intermediaries Association (EVIA) and FESE	T+1 governance
12/11/2024	APARMA	Current consultation papers applicable to Data reporting services providers (DRSPs); DORA implementation; backward compatibility and late reporting
13/11/2024	Glasgow Financial Alliance for Net Zero (GFANZ)	Sustainable finance
13/11/2024	MSCI	Data for sustainability reporting
14/11/2024	ICE ENDEX	State of markets; Draghi Report energy section; data issues, RCB; clearing equivalence
14/11/2024	S&P Dow Jones Indices	ESG benchmarks
18/11/2024	AIMA, EFAMA, ICI	T+1 governance
20/11/2024	AFME, EBF, International Security Services Association (ISSA), Futures Industry Association (FIA) and European Association of Public Banks (EAPB)	T+1 governance
20/11/2024	BSW (Bundesverband für strukturierte Wertpapiere)	Structured retail products: update on developments.
21/11/2024	APARMA, Bloomberg, LSEG, CBOE, Marketaxess	DPE regime

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21/11/2024	EUROCLEAR	T+1
22/11/2024	Association of Global Custodians (AGC), ICMA, International Securities Lending Association (ISLA)	T+1 governance
22/11/2024	AIMA	Consultation paper on RTSs and Guidelines on Liquidity Management Tools (LMTs)
25/11/2024	Investment Company Institute	Fund industry risk assessment
25/11/2024	AKI EKD (Working Group of Church Investors Evangelische Kirche in Deutschland)	Social investments
26/11/2024	Nasdaq	Central Securities Depositories Regulation (CSDR), MiFIR
26/11/2024	ZUMO	MiCA sustainability indicators
26/11/2024	AXA Investment Managers	ESG Rating Regulation Proposal
28/11/2024	EFAMA Fund Regulation Standing Committee	Present ESMA's work on the UCITS EAD review
28/11/2024	Vangaard	DLT Pilot Regime and tokenised funds
28/11/2024	Samsung	ESG Rating Regulation Proposal
28/11/2024	Leibniz Institute for Financial Research SAFE	ESG Risk Management
28/11/2024	EUI	CMU
29/11/2024	Bloomberg	ESG Rating Regulation Proposal
02/12/2024	Primary Bid	Exempt documents under Listing Act
03/12/2024	Bloomberg	DORA, ESG ratings, MiFIR Review (RTS on RCB), CMU

Date	Institution met	Main topics discussed
09/12/2024	Business & Human Rights Resource Centre (BHRRC)	Social side of ESG, Database on HR violations, UN B-Tech project (HR in Tech industry)
10/12/2024	ISDA, AFME, EFAMA, AIMA, ICMA, European Forum of Securities Associations (EFSA), Nordic Securities Association	Draft RTS on order execution policies
11/12/2024	Moody's	ESG Rating Regulation Proposal
17/12/2024	ICMA	ESMA's consultation paper on draft technical advice concerning the Prospectus Regulation and updating the Commission Delegated Regulation (CDR) on metadata
19/12/2024	EACH	Interim solution on transparency under EMIR 3
20/12/2024	Transition Pathways Initiative	ESG Rating Regulation