

## ESMA Staff Stakeholder contacts in the first quarter 2023

ESMA is committed to the highest standards of transparency in its interactions with stakeholders. ESMA will therefore publish information on ESMA staff meetings with external stakeholders.

Date	Institution met	Main topics discussed
12/01/2023	CSD Prague	Distributed Ledger Technology (DLT) Pilot Regime
16/01/2023	Moniflo, Investre	Distributed Ledger Technology (DLT) Pilot Regime
17/01/2023	Allfunds, Iberpay	Distributed Ledger Technology (DLT) Pilot Regime
17/01/2023	SSImple	Distributed Ledger Technology (DLT) Pilot Regime
18/01/2023	Securitize	Distributed Ledger Technology (DLT) Pilot Regime
18/01/2023	International Capital Market Association (ICMA)	ICMA podcast on market integrity
19/01/2023	Adan (Association pour le Développement des Actifs Numériques)	Crypto-assets, Markets in Crypto-Assets Regulation (MiCA)
19/01/2023	UN PRI	Impact investing, greenwashing risks and transparency

Date	Institution met	Main topics discussed
20/01/2023	DWS	Asset management risks, sustainable transformation
20/01/2023	ICE Endex	Market Correction Mechanism (MCM)
23/01/2023	Consensys, FTI Consulting	Decentralised finance (DeFi), Markets in Crypto-Assets Regulation (MiCA)
23/01/2023	Invest Europe	Consultation Paper on environmental, social and governance (ESG) terms in funds' names, European venture capital funds (EuVECA), European long-term investment funds (ELTIF)
24/01/2023	Potsdam Institute for climate research (PIK)	EU emission allowances market
25/01/2023	Global Reporting Initiative (GRI)	Sustainability reporting
25/01/2023	International Capital Market Association (ICMA)	Sustainability-linked bonds
26/01/2023	The Shift Project	Sustainable Finance Disclosures Regulation (SFDR), social indicators
27/01/2023	World Benchmarking Alliance	Corporate reporting disclosures
27/01/2023	European Association of CCP Clearing Houses (EACH)	ESMA Stress tests & Anti-Procyclicality (APC) tools
31/01/2023	European Association of Central Securities Depositories (ECSDA)	Central Securities Depository Regulation (CSDR) settlement efficiency, T+1 settlement, Central Securities

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		Depository (CSD) cross-border services survey, Digital Operational Resilience Act (DORA), Distributed Ledger Technology (DLT) Pilot
31/01/2023	SUN ZU Lab	Market manipulation detection in crypto market
02/02/2023	International Capital Market Association (ICMA)	ICMA podcast on market integrity
02/02/2023	Kriptown	Distributed Ledger Technology (DLT) Pilot Regime
02/02/2023	Tokn1	Distributed Ledger Technology (DLT) Pilot Regime
03/02/2023	European Contact Group	Article 8 Taxonomy FAQs from European Commission
09/02/2023	Harding Analysis	Financial reporting and climate-related risks
10/02/2023	The European Energy Exchange (EEX)	Market Correction Mechanism (MCM)
10/02/2023	CFA Institute	Greenwashing
13/02/2023	Euronext, Nasdaq and Deutsche Boerse	Consolidated tape
13/02/2023	Shell	Developments in energy markets

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13/02/2023	Reward Value	Responsible executive remuneration and sustainability reporting
14/02/2023	Bureau Européen des Unions de Consommateurs (BEUC)	European Supervisory Authorities' (ESAs) upcoming survey on innovation
15/02/2023	Google	Digital Operational Resilience Act (DORA)
17/02/2023	Microsoft	Digital Operational Resilience Act (DORA)
20/02/2023	Kay-Jörg Kaczmarek	EU green bond standard (GBS)
23/02/2023	Paris EUROPLACE	Capital markets union (CMU), ESMA strategy and international cooperation
23/02/2023	Vesta Consulting	Sustainable Finance Disclosures Regulation (SFDR)
24/02/2023	BitPanda	Markets in Crypto-Assets Regulation (MiCA) implementation
24/02/2023	PricewaterhouseCoopers (PwC), Afore Consulting	Sustainability reporting under Corporate Sustainability Reporting Directive (CSRD)
27/02/2023	European Telecommunications Network Operators' Association	Cyber security ratings, lessons learned from Credit Rating Agencies Regulation (CRAR)
01/03/2023	Federated Hermes	Sustainable Finance Disclosures Regulation (SFDR), ESMA's Consultation Paper on guidelines on fund names, sustainability, non-financial data, environmental,

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		social and governance (ESG) ratings, stewardship and engagement
02/03/2023	Shell	Market Correction Mechanism (MCM)
02/03/2023	Bundesverband deutscher Banken	Shareholders Rights Directive 2 (SRD2) implementation report
07/03/2023	Finance Watch	European Supervisory Authorities' (ESAs) upcoming survey on innovation
08/03/2023	Better Finance	European Supervisory Authorities' (ESAs) upcoming survey on innovation
08/03/2023	JP Morgan Asset Management	Environmental, social and governance (ESG), Retail Investment Strategy, Alternative Investment Fund Managers Directive (AIFMD) review
08/03/2023	Ludwig-Maximilians-Universität München, University of Cologne	Interoperability in sustainability reporting
10/03/2023	Université catholique de Louvain	Natural Language Processing
10/03/2023	Best Practice Principles (BPP) Oversight Committee	Shareholders Rights Directive 2 (SRD2) implementation report
10/03/2023	Institut der Wirtschaftsprüfer in Deutschland e.V. (IDW)	European Single Electronic Format (ESEF) block tagging requirements
13/03/2023	Euronext	Regulatory technical standards (RTS) 1 and 2 review, outages, trading venue perimeter, circuit breakers,

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		Markets in Financial Instruments Regulation (MiFIR) review
14/03/2023	European Association of CCP Clearing Houses (EACH)	Central Securities Depository Regulation (CSDR)
15/03/2023	Qontigo	Biodiversity indices
15/03/2023	XBRL International	XBRL developments
16/03/2023	Depository Trust & Clearing Corporation (DTCC)	Climate risk, crypto risk
17/03/2023	AmCham EU	Digital Operational Resilience Act (DORA)
17/03/2023	BlackRock	Developments on funds in EU and UK, ESMA consultation on funds' names and Alternative Investment Fund Managers Directive (AIFMD) and European long-term investment funds (ELTIF) reviews
20/03/2023	Institute of International Finance (IIF)	Non-bank financial intermediation (NBFI) risks and ESMA priorities
20/03/2023	Better Finance	Shareholders Rights Directive 2 (SRD2) implementation report
21/03/2023	Association for Financial Markets in Europe (AFME)	Crypto-assets, Markets in Crypto-Assets Regulation (MiCA), Digital Operational Resilience Act (DORA), AI
22/03/2023	European Fund and Asset Management Association (EFAMA)	Undertakings for Collective Investment in Transferable Securities (UCITS) costs and performance report

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23/03/2023	The World Federation of Exchanges	Greenwashing, disclosures
24/03/2023	The Investment Association	Environmental, social and governance (ESG), Alternative Investment Fund Managers Directive (AIFMD) review, Retail Investor Strategy
24/03/2023	Binance	Crypto-assets, Markets in Crypto-Assets Regulation (MiCA)
27/03/2023	Kraken	Markets in Crypto-Assets Regulation (MiCA)
27/03/2023	Investor Protection Europe	Prospectus Q&A
27/03/2023	BDO, KPMG, Deloitte, Mazars, EY, PricewaterhouseCoopers (PwC), Grant Thornton (GT)	Corporate reporting disclosures
30/03/2023	Forum of Firms	ESMA and corporate reporting