Submission Date
15/09/2026

ESMA_QA_2919

Status: Forwarded to EC/Public Consultation/Other

Additional Information

Level 1 Regulation
Markets in Financial Instruments Directive II (MiFID II) Directive 2014/65/EU- Investor Protection and Intermediaries

Topic
Provision of investment services and activities by third country firms

Subject Matter
Extraterritorirality of investor protection rules under MiFID II

Question
Do rules on investor protection set out in Directive 2014/65/ EU on markets in financial instruments (MiFID II) apply to investment firms, based and authorised in the EU, when they provide investment services to clients outside the EU?