ESMA_QA_2386

Submission Date
20/12/2024

Status: Question Rejected

Additional Information

Level 1 Regulation
Regulation (EU) 2022/2554 - The Digital Operational Resilience Act (DORA)

Topic
Digital operational resilience testing

Additional Legal Reference
article 2(1)(e) DORA

Subject Matter
Applicability of DORA to third country investment firms

Question
DORA also applies to the "financial entities" listed in Article 2 DORA. This includes investment firms as defined in Article 4 point (1) of Directive 2014/65/EU. Reference is made

to Article 2 subsection 1 under e. in conjunction with Article 3 point (33) of DORA. Does this mean that DORA also applies to investment firms with their seats outside the EU which provide investment services in the EU?