

**Submission Date**

12/08/2024

# ESMA\_QA\_2248

Status: Forwarded to EC/Public Consultation/Other

## **Additional Information**

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### **Level 1 Regulation**

Regulation (EU) 2022/2554 - The Digital Operational Resilience Act (DORA)

### **Topic**

Digital operational resilience testing

### **Additional Legal Reference**

Article 3 of the DORA Regulation points (60), (63) and (64)

## **Subject Matter**

Size Thresholds for Self-Managed AIFs and UCITS

## **Question**

We have been discussing a number of queries submitted by the local industry regarding practical difficulties when applying the definitions of micro, small and medium-sized

enterprises as per Article 3 points (60), (63) and (64) of the DORA Regulation to self-managed AIFs and UCITS. We would like to obtain further clarification on the correct and proportionate interpretation of “annual turnover”, “total assets” and “employees” in the context of self-managed AIFs and UCITS.