ESMA_QA_1901

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21/09/2023

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Additional Information

Level 1 Regulation
Short Selling Regulation (SSR) Regulation (EU) No 236/2012

Topic
Transparency of net short positions

Subject Matter
Notifications of net short positions existing prior to the application of the Regulation

Question
In Member States where a national transparency regime was already in place before the Regulation applies, do holders of existing short positions already notified to the concerned competent authority and/or publicly disclosed under that regime have to make new notifications and (if applicable) disclosures according to European regime?

If so, how should the “position date” field in the form be filled in if the threshold has been crossed before the entry into application of the Regulation?

ESMA Answer

08-10-2026

Original language

ESMA Q&A 1901 [Q5.3/A5.3]

This Q&A was deleted on 08/10/2026.