ESMA_QA_1619

Submission Date
15/11/2017

Status: Published Answer Updated

Additional Information

Level 1 Regulation
Markets in Financial Instruments Directive II (MiFID II) Directive 2014/65/EU- Secondary Markets

Level 2 Regulation
Regulation 2017/589 - RTS specifying the organisational requirements for investment firms (RTS 6)

Level 3 Regulation
ESMA70-872942901-38 - Q&A on MiFID II and MiFIR market structures topics

Topic
Direct Electronic Access and algorithmic trading

Additional Legal Reference
Regulation 2017/584- RTS on organisational requirements for trading venues (RTS 7)

Subject Matter
EU trading venue through sub-delegated DEA and exemption offered under Article 2(1)(d) of MiFID II

Question
Can DEA clients accessing an EU trading venue through sub-delegated DEA benefit from the exemption offered under Article 2(1)(d) of MiFID II?

ESMA Answer

15-11-2017

Original language

This question was deleted on 21 October 2026.