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Additional Information

Level 1 Regulation
Markets in Financial Instruments Regulation (MiFIR) Regulation (EU) No 600/2014- MDP

Topic
ESMA70-1861941480-56 Questions and Answers on MiFIR reporting

Subject Matter
Reporting of Emission Allowances

Question
In case of phase 4 emission allowances or derivatives thereof reported with an ISIN which is different from the ISIN displayed on the European Commission website,
a) should trading venues and SIs amend the record in FIRDS with the correct ISIN displayed on the European Commission's website?
b) how should trading venues amend the record concerning phase 4 emission allowances in

FIRDS with the correct ISIN displayed on the European Commission's website?
c) how should trading venues and SIs amend the record concerning futures on phase 4 emission allowances in FIRDS with the correct ISIN displayed on the European Commission's website?

ESMA Answer

11-07-2022

Original language

[ESMA 70-1861941480-56 MiFIR data reporting Q&A, Q&A 19.2]

1. Yes
2. Trading venues that previously submitted *phase 4 emission allowances* with a different ISIN in FIRDS (i.e. not the EU ISIN for phase 4) should:
    1. Terminate the incorrect *phase 4 emission allowances* in FIRDS, and
    2. Resubmit in FIRDS the *phase 4 emission allowance* with the ISIN for phase 4 (i.e. EU000A2QMW50 or EU000A2QMW68) displayed by the European Commission.
3. Trading venues and SIs that previously submitted futures on *phase 4 emission allowances* with a different underlying ISIN (i.e. not the EU ISIN for phase 4) as underlying should:
    1. Terminate the future in FIRDS, and
    2. Resubmit in FIRDS the future on phase 4 emission allowance with the ISIN of the phase 4 emission allowances as underlying (i.e. EU000A2QMW50 or EU000A2QMW68) displayed by the European Commission.