

**Submission Date**

11/07/2022

# ESMA\_QA\_1507

Status: Answer Published

## **Additional Information**

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### **Level 1 Regulation**

Markets in Financial Instruments Regulation (MiFIR) Regulation (EU) No 600/2014- MDP

### **Topic**

ESMA70-1861941480-56 Questions and Answers on MiFIR reporting

## **Subject Matter**

Reporting of Emission Allowances

### **Question**

In case of phase 4 emission allowances or derivatives thereof reported with an ISIN which is different from the ISIN displayed on the European Commission website,

- a) should trading venues and SIs amend the record in FIRDS with the correct ISIN displayed on the European Commission's website?
- b) how should trading venues amend the record concerning phase 4 emission allowances in

FIRDS with the correct ISIN displayed on the European Commission's website?  
c) how should trading venues and SIs amend the record concerning futures on phase 4 emission allowances in FIRDS with the correct ISIN displayed on the European Commission's website?

## ESMA Answer

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11-07-2022

Original language

[ESMA 70-1861941480-56 MiFIR data reporting Q&A, Q&A 19.2]

1. Yes
2. Trading venues that previously submitted *phase 4 emission allowances* with a different ISIN in FIRDS (i.e. not the EU ISIN for phase 4) should:
  1. Terminate the incorrect *phase 4 emission allowances* in FIRDS, and
  2. Resubmit in FIRDS the *phase 4 emission allowance* with the ISIN for phase 4 (i.e. EU000A2QMW50 or EU000A2QMW68) displayed by the European Commission.
3. Trading venues and SIs that previously submitted futures on *phase 4 emission allowances* with a different underlying ISIN (i.e. not the EU ISIN for phase 4) as underlying should:
  1. Terminate the future in FIRDS, and
  2. Resubmit in FIRDS the future on phase 4 emission allowance with the ISIN of the phase 4 emission allowances as underlying (i.e. EU000A2QMW50 or EU000A2QMW68) displayed by the European Commission.