

Submission Date

15/11/2019

ESMA_QA_1301

Status: Answer Published

Additional Information

Level 1 Regulation

Securitisation Regulation (EU) 2017/2402

Topic

Securitisation Disclosure Templates

Subject Matter

Originator information for syndicated underlying exposures

Question

How should the Originator Name, Originator Legal Entity Identifier, and Originator Establishment Country (e.g. CRPL99, CRPL100, CRPL101) be completed for syndicated underlying exposures?

ESMA Answer

15-11-2019

Original language

[ESMA 33-128-563 Securitisation Q&A, Q&A 5.1.21]

In these circumstances, the information corresponding to the agent/lead originator must be provided. In the event of confusion, such as in the event of multiple agent/lead originators, the parties involved should coordinate amongst themselves so that these fields are populated with the information for one of these agent/lead originators. If this cannot be achieved, the reporting entity should contact their competent authority, copying ESMA at securitisation@esma.europa.eu, and provide detailed explanations and request further guidance.