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01/07/2012

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Additional Information

Level 1 Regulation

Undertakings for Collective Investment in Transferable Securities Directive (UCITS) Directive 2009/65/EC

Topic

Disclosures

Subject Matter

Notification of UCITS and UCITS management companies; exchange of information between competent authorities

Ouestion

Should a UCITS follow a new notification procedure via its competent authority when it notifies updates of documents referred to in Article 93(2) to competent authorities of host Member States?

ESMA Answer

01-07-2012

Original language

[ESMA 34-43-392 UCITS Q&A, section 4, Q&A 2c]

No. When UCITS notify updates of documents to the competent authority of the host Member State they should not undertake a new notification procedure via their competent authority.